

STATE OF TENNESSEE

FISCAL YEAR 2012-13

CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT



TENNESSEE HOUSING DEVELOPMENT AGENCY

TENNESSEE DEPARTMENT OF ECONOMIC AND COMMUNITY DEVELOPMENT

TENNESSEE DEPARTMENT OF HEALTH

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**STATE OF TENNESSEE**  
**FISCAL YEAR 2012-13 CAPER**  
**EXECUTIVE SUMMARY**

The Consolidated Annual Performance and Evaluation Report (CAPER) serves as the State of Tennessee's annual performance report to the U.S. Department of Housing and Urban Development (HUD) for four formula grant programs; Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), Emergency Solutions Grants (ESG) and Housing Opportunities for Persons Living with AIDS (HOPWA). The reporting period for the Fiscal Year (FY) 2012-13 CAPER is July 1, 2012 – June 30, 2013. As in previous years, the annual reports completed by each agency are included as appendices to this document.

In January 1995, the final rule "Consolidated Submission for Community Planning and Development Programs" was published in the Federal Register. The final rule amended HUD's regulations for Comprehensive Housing Affordability Strategies (CHAS) with a single rule that consolidated planning, applications and reporting aspects of the four formula grant programs. The names of the grant programs, the administering state agencies and the HUD allocations for (FY) 2012-13 are as follows:

- Community Development Block Program (CDBG) administered by the Tennessee Department of Economic and Community Development (ECD), \$24,488,568
- HOME Investment Partnership Program (HOME) administered by Tennessee Housing Development Agency (THDA), \$9,924,071
- Emergency Solutions Grants (ESG) administered by THDA, \$3,619,757
- Housing Opportunities for Persons with AIDS (HOPWA) administered by the Tennessee Department of Health (DOH), \$947,455

Based on two substantial amendments to the Consolidated Plan that were submitted during FY12, both the HOME and ESG programs have experienced changes. The substantial amendment to the HOME Program was approved on April 27, 2012. The changes to the program were slated to affect 2012 HOME funds, as outlined in the State of Tennessee's FY 2012-13 Annual Action Plan. However, based on the absence of a final HOME rule, Tennessee did not award 2012 HOME dollars during FY13. The substantial amendment to the ESG program, which provides a description of the ESG program and its impact on performance measures, was approved by HUD on July 10, 2012.

In addition to discussing the resources made available to the State of Tennessee in FY 2012-13 through the four formula programs, this document reports the performance of the State of Tennessee in meeting objectives and action steps contained in the 2010-15 Consolidated Plan and assesses the State's overall performance in meeting affordable housing goals. The State has again incorporated the "Optional Table 3B: State Annual Housing Completion Goals" found in Appendix E of this report, to better link HUD's outcome system to the State of Tennessee's objectives, action steps, activities and performance measures.

Other affordable housing resources made available to the state or having an impact on the state's performance are also discussed and include HUD's Section 8 Tenant Based Housing Choice Voucher and Project Based Rental Assistance Programs, the THDA Homeownership Programs, the THDA Rural Repair Program, the THDA BUILD Loan Program, the Community Investment Tax Credit Program, the THDA

Emergency Repair Program (ERP), the Low-Income Housing Tax Credit (LIHTC) Program and the Multi-Family Bond Authority.

During FY 2012-2013, THDA, the Tennessee Department of Economic and Community Development and the Tennessee Department of Health, known collectively as the Consolidated Partners, worked with a consultant with extensive experience in conducting Analyses of Impediments to Fair Housing Choice for states to complete an Analysis of Impediments for the non-entitlement areas of the state. Results of the study are outlined in this report.

While THDA has been designated as the lead agency for Tennessee's consolidated planning requirements, all of the administering agencies of the four formula grant programs participate in the development of the CAPER and administration of their respective programs. The FY 2012-13 CAPER represents the cooperation of these agencies to further affordable housing and community development in Tennessee. In addition, the plan is developed in consultation with the citizens of our state. The draft plan is made available for review and public comment according to the guidelines developed by the five-year Consolidated Plan.

**PART I**  
**DESCRIPTION OF RESOURCES**

**I. DESCRIPTION OF THE RESOURCES MADE AVAILABLE**

**HUD Resources Made Available Under the Consolidated Planning Programs**

**A. Community Development Block Grant (CDBG) Small Cities Program**

The CDBG program is a multi-faceted federal program that allows a variety of activities. The primary statutory objective of the CDBG program is to develop viable communities by providing decent housing and a suitable living environment and by expanding economic opportunities, principally for persons of low- and moderate-income. The State of Tennessee, through the Department of Economic and Community Development (ECD), administers the CDBG program for all jurisdictions in the state, except for 17 entitlement areas. THE CDBG Small Cities program received an allocation of \$24,488,568 from HUD for FY 2012-13. In addition to administering the program, each year ECD prepares the State Grant Performance/Evaluation Report (PER). The PER for FY 2012-13 is included as Appendix A of this document.

**B. HOME Investment Partnership (HOME)**

The Community Programs Division of THDA administers the HOME Program for the State of Tennessee. THDA administers the HOME Program for those jurisdictions not designated by HUD as a local Participating Jurisdiction (PJ). The local PJs that receive HOME funds directly from HUD are: Clarksville, Chattanooga, Jackson, Knoxville, Memphis, Nashville-Davidson County, Knox County, Shelby County and the Northeast Tennessee/Virginia Consortium (the Cities of Bluff City, Bristol, Johnson City, Kingsport, Sullivan County and Washington County, excluding the Town of Jonesborough). The HOME Program is an affordable housing program that provides federal funds to states and the local PJs to carry out multi-year housing strategies. The purpose of the program is to expand the supply of decent, safe, sanitary and affordable housing for low- and very low-income households. Local governments, public agencies and non-profit organizations are all eligible applicants for HOME funds.

In 2012, the State received an allocation of \$9,924,071. The funds are awarded competitively to local communities and non-profit agencies, including community housing development organizations. However, on December 16, 2011, HUD published a new proposed regulation for the HOME Program, which made significant changes to the HOME rule. Because the new HOME rule would apply to any grants with 2012 funds, THDA opted not to accept any applications for 2012 until the new rule was released. The new rule was not published until July 24, 2013; therefore, THDA did not accept any applications or award any HOME funds during FY 2012-13.

**C. Emergency Solutions Grants (ESG) Program**

The Emergency Solutions Grants (ESG) replaced the Emergency Shelter Grants in May 2009 with the passage of the Homeless Emergency Assistance and Rapid Transition to Housing (HEARTH) Act. ESG provides funding to local governments and non-profit service providers to assist individuals and families quickly regain stability in permanent housing after experiencing a housing crisis or homelessness. The Community Programs Division of THDA administers the program on behalf of the State of Tennessee to

increase the number and quality of emergency shelters and transitional housing facilities for homeless individuals and families; to operate these facilities; to provide essential social services; to provide street outreach services; to provide rapid rehousing assistance; and to provide Homeless Management Information Systems (HMIS). As part of the transition from Emergency Shelter Grants to Emergency Solutions Grants, HUD distributed the 2011 ESG funds in two allocations. In July, 2011, THDA awarded the first allocation of 2011 ESG funding in the amount of \$1,534,841 to existing grantees under the Emergency Shelter Grant regulations. On January 4, 2012 the interim regulations for the Emergency Solutions Grants Program was made final. In order to receive the second allocation of 2011 ESG funding for \$863,348, the state was required to complete a substantial amendment to the Consolidated Plan. The substantial amendment, which provides a description of the ESG program and its impact on performance measures, was approved by HUD on July 10, 2012. The second allocation of 2011 ESG funds were awarded competitively under the HEARTH-ESG (HESG) regulations in contracts beginning October 1, 2012. The 2012 allocation for HESG for Tennessee was \$2,756,409. The 2012 HESG funds were awarded competitively in contacts beginning March 1, 2013. During the reporting period, \$3,619,757 was made available by HUD for the HESG Program. THDA prepared an ESG beneficiary report, which is included in Appendix C of this document.

#### D. Housing Opportunities for Persons with AIDS (HOPWA)

HOPWA provides funding to nonprofit service providers to offer housing assistance and related supportive services to persons living with HIV/AIDS and their families. The Tennessee Department of Health (DOH) administers the program for the State of Tennessee and provides funds to seven project sponsors across the state that directly administer the program. HOPWA funds are used to provide assistance in the following five categories: Housing Information Services; Housing Assistance (Short-term Rent, Mortgage and Utility Payment Program); Supportive Services; Permanent Housing Placement; and Ongoing Housing Assessment Plans. HOPWA funds are also used towards the state's administrative costs and the administrative costs of service providers. During FY 2012-13, \$947,455 was made available by HUD for the HOPWA Program. DOH prepared the annual HOPWA report, which can be found in Appendix D of this document.

#### **Other Resources Made Available**

#### E. HUD Section 8 Tenant Based Housing Choice Voucher Program

THDA administers the Section 8 Tenant Based Housing Choice Voucher (HCV) program. The Rental Assistance Division of THDA, which administers the Section 8 Housing Choice Voucher program, operates in 74 of Tennessee's 95 counties. During the reporting period, approximately \$32,643,531 was made available for the Section 8 Tenant Based HCV program.

#### F. HUD Section 8 Project Based Rental Assistance

The Contract Administration Division of THDA administers the Section 8 Project Based contracts and is responsible for the monthly Housing Assistance Payments (HAP) to Section 8 properties throughout the state. At the end of the reporting period, 29,001 units of affordable housing were provided. HAP for the year were \$148,101,848.

## G. Low Income Housing Tax Credit Program

The Low Income Housing Tax Credit (LIHTC) program is authorized under Section 42 of the Internal Revenue Code and is administered by THDA. The program offers owners and investors of low income rental housing a reduction in federal income tax liability over a period of 10 years. The Internal Revenue Service allocates tax credit authority to states on a calendar year basis. The State of Tennessee does not receive actual dollars, but instead receives tax credit authority. In Calendar Year (CY) 2012, the state was authorized to issue \$14,387,727 in 2012 tax credits to non-profit and for-profit developers of low-income housing. THDA forward committed \$11,500,000 of the 2012 tax credits in 2011. THDA issued \$2,799,277 tax credits in 2012 and the remaining \$88,450 will be carried forward to 2013. Additionally, THDA allocated \$1,595,427 in non-competitive credits available for non-profit and for-profit developers in conjunction with the Multifamily Bond Authority Program. The cumulative tax credits awarded during the reporting period, excluding the forward committed funds from 2011, was \$4,394,704.

## H. Multifamily Bond Authority

THDA authorizes allocations of tax-exempt bond authority to local issuers for permanent financing of multifamily housing units in the state. The authority can be used to provide permanent financing for new construction of affordable rental housing units, conversion of existing properties through adaptive reuse or acquisition and rehabilitation of rental units. Applications are scored and points are awarded based on certain conditions. In addition, some units must be occupied by households with low-income: 20 percent of the units must be occupied by households with incomes no greater than 50 percent of area median income (AMI), or 40 percent of the units must be occupied by households with incomes no greater than 60 percent of AMI. Seventy-five percent of the units must be occupied by households with incomes no greater than 115 percent of the AMI. In CY 2012, THDA made \$32,884,454 authority available to local issuers.

## I. THDA Homeownership Programs

The Great Rate, Great Start, Great Advantage and New Start homeownership programs provide opportunities for low- and moderate-income persons to purchase their first home<sup>1</sup>. Great Rate is the basic homeownership program. Great Advantage offers an interest rate slightly above Great Rate and borrowers receive two percent of the mortgage amount to be used for down payment and/or closing costs. Great Start provides four percent of the purchase price in down payment or closing cost assistance in exchange for a slightly higher interest rate. The New Start zero percent Mortgage Loan Program is delivered through non-profit organizations that have established programs for the construction of single family housing for low- and very-low income households. It is designed to promote single family construction for very low income families<sup>2</sup>. All four programs include limitations on eligibility based on household income and acquisition costs.

In April 2011, THDA began a special mortgage interest rate discount for active and retired members of the military called Homeownership for the Brave. Service members can apply for the "Homeownership

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<sup>1</sup> The first-time homeownership requirement is waived for persons who wish to purchase a home in one of the federally targeted areas and veterans. A Targeted Area is a qualified census tract or an area of chronic economic distress as designated by the IRS.

<sup>2</sup> Effective January 23, 2006, the New Start Program became a two-tiered program. Tier I is still zero percent loan program for very low income (60 percent or less of the state median income) people. Tier II allows the borrower to have a slightly higher income (70 percent of the state median income) than Tier I, and in exchange the borrower pays a low fixed interest rate (half of the interest rate on the Great Rate program). In the reporting period, eight of the New Start loans were Tier II.

for the Brave” discount, which is a ½-percent interest rate deduction on Great Rate, Great Advantage and Great Start Programs. The program started as a temporary program, but in March 2013, the Board of Directors at THDA voted to make this veteran discount a permanent program.

THDA is not a direct lender to borrowers, but works with approved mortgage lenders across the state to originate the loans. THDA either provides funds to approved mortgage lenders to close pre-approved THDA loans, or purchases pre-approved loans from the lenders after the loans are closed. At the end of the reporting period, THDA mortgage loans totaled \$212,167,036.

#### J. BUILD Loan Program

In November 2005, THDA initiated the BUILD Loan Program to build the capacity of nonprofit organizations to provide affordable housing to low-income Tennesseans. The approximately \$5 million loan program supports the production of affordable housing by providing low interest, short-term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During FY 2012-13, \$200,000 was made available by THDA for an affordable housing development.

#### K. Community Investment Tax Credit

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low-income housing activities. Eligible housing entities include Tennessee based non-profit organizations, public housing authorities and development districts. Eligible activities under CITC include activities that create or preserve affordable housing for low-income Tennesseans, activities that assist low-income Tennesseans in obtaining safe and affordable housing, activities that build the capacity of an eligible non-profit organization to provide housing opportunities for low income Tennesseans and any other low-income housing related activity approved by the THDA Executive Director and the Commissioner of the Tennessee Department of Revenue. The amount of the credit is applied one time and is based on the total amount of the loan, investment, grant or contribution; or the credit may be applied annually for qualified loans and qualified low rate loans and are based on the unpaid principal balance of the loan. During FY 2012-13, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 39 different affordable housing projects with a total investment of \$49,465,699. Additionally, \$31,000 in contributions was made to assist in Homebuyer Education and Counseling for a total of \$49,496,699 in investments, grants and contributions.

#### L. Emergency Repair Program

In January 2007, THDA created the \$2 million statewide Emergency Repair Program (ERP). The program provides grants to low-income homeowners who are 60 years old or older to correct, repair or replace an essential system and/or critical structural problem. The purpose of the program is to stabilize the elderly homeowner’s residence by making rapid, essential repairs to make the home livable. In FY 2012-13, the ERP program was administered through Tennessee’s nine Development Districts. THDA provided \$1,535,419, including an additional \$148,576 available for administrative costs. The total funds available during the reporting period, with the required match by the Development Districts, was \$2,804,136.

## M. Rural Repair Program

THDA partners with the Rural Housing Service (RHS) division of the US Department of Agriculture (USDA) for its Rural Repair Program. The program offers grants and loans to assist rural, low-income homeowners in need of repairs that will remove health or safety hazards or will help improve accessibility for a homeowner with a disability. The THDA grant is restricted to 50 percent of the RHS approved repair costs and cannot exceed \$7,500 per household for elderly or disabled homeowners. The THDA grant is restricted to 30 percent of the RHS approved repair costs and cannot exceed \$5,000 per household for non-elderly, non-disabled households. Households can receive a loan and a grant for a maximum of \$27,500 for their home. The THDA portion of the program offers grants only. During FY 2012-13, THDA provided \$524,693 to the program.

### Summary

During FY 2012-13, the State of Tennessee had over \$484 million available to assist its low- and moderate-income citizens with housing and community development. Federal assistance through the four formula grant programs covered by the 5-year Consolidated Plan totaled \$39 million. Other resources made available for housing and community development amounted to over \$445 million. The following sections of this report will demonstrate how these programs assisted low- and moderate-income Tennesseans during FY 2012-13 with the available resources.

**Table 1**  
**Summary of Resources Made Available During FY 2012-13**  
**All Programs**

Program	Funds Made Available
<b><i>Consolidated Plan Grant Programs:</i></b>	
Community Development Block Grant (CDBG)	\$24,488,568
HOME Investment Partnership (HOME)	\$9,924,071
Emergency Solutions Grants (ESG)	\$3,619,757
Housing Opportunities for Persons with AIDS (HOPWA)	\$947,455
<b><i>Subtotal of Consolidated Plan Grant Programs Resources Available</i></b>	<b><i>\$38,979,851</i></b>
<b><i>Other Resources Made Available:</i></b>	
Section 8 Tenant Based Rental Assistance	\$32,643,531
Section 8 Project Based Rental Assistance	\$148,101,848
Low Income Housing Tax Credit	\$14,387,727
- LIHTC issued in 2011	<i>\$11,500,000</i>
- LIHTC issued in 2012	<i>\$2,799,277</i>
- LIHTC carried forward to 2013	<i>\$88,450</i>
- Non-competitive Tax Credits	\$1,595,427
Multifamily Bond Authority	\$32,884,454
THDA Homeownership Programs	\$212,167,036
BUILD Loan Program	\$200,000
Emergency Repair Program	\$2,804,136
Rural Repair Program	\$524,693
<b><i>Subtotal of Other Resources Made Available</i></b>	<b><i>\$445,308,852</i></b>
<b>Grand Total</b>	<b>\$484,288,703</b>

## II. INVESTMENT OF AVAILABLE RESOURCES

### HUD Resources Made Available Under the Consolidated Planning Programs

#### A. Community Development Block Grant (CDBG) Small Cities Program

During the reporting period, 58 awards were contracted to new subrecipients or city and county governments totaling \$24,598,542 through the CDBG Program. This amount included the 2012-13 award amount plus additional funds that were recaptured, unspent funds from completed projects from previous years. The activities proposed by subrecipients with contracts are summarized in the following table. Each number in the frequency column represents a local government recipient carrying out the described activity. Each local government may carry out multiple activities. More detailed information is contained in the PER (Appendix A). The CDBG program allows for contracts between ECD and local governments to vary in term. Many contracts continue into subsequent fiscal years. As was the case in previous years, the largest portion of funds awarded (77 percent) was designated for public facilities improvements.

**Table 2**  
**Awards by Activity Type**  
**CDBG Program**

Activity	CDBG Activity Number	Frequency	Amount	Percent of Total Funds
Clearance	2, 6	11	\$2,629,999	10.6%
Relocation	8	3	\$565,500	2.3%
Administration, Planning and Management	13, 13 (P)	56	\$1,441,256	5.8%
Public Facilities, Water/Sewer	4A, 4B	44	\$18,993,527	76.8%
Residential Rehabilitation	9A	4	1,114,500	4.5%
<b>Total</b>	-	<b>118</b>	<b>\$24,744,782</b>	<b>100%</b>

#### B. HOME Investment Partnership (HOME)

During FY 2012-13, the HOME Program was awarded \$9,924,071. Because the new HOME rule would apply to any grants with 2012 funds, THDA opted not to accept any applications for 2012 until the new rule was released. The new rule was not published until July 24, 2013; therefore, THDA did not accept any applications or award any HOME funds during FY 2012-13.

The state may spend up to 10 percent of its allocation for administrative and planning expenses. The state uses three percent of the total funds available for its own administrative expenses and the remaining seven percent is available to pay the administrative costs of the local government and non-profit grant recipients. Fifteen percent of the total allocation is reserved for eligible Community Housing Development Organizations (CHDOs) and 10 percent of the total allocation is reserved for Special Needs projects.

**Table 3**  
**Proposed Activities**  
**HOME Program**

Eligible Activity	Number of Units	Program Funds Available
Homeownership Down Payment Assistance	N/A	N/A
Homeowner Rehabilitation	N/A	N/A
Homeowner New Construction	N/A	N/A
Rental Acquisition and Rehabilitation	N/A	N/A
Rental New Construction	N/A	N/A
Rental Rehabilitation	N/A	N/A
<b>Total</b>	<b>N/A</b>	<b>N/A</b>

C. Emergency Solutions Grants (ESG)

During FY 2012-13, \$3,619,757 was made available for the ESG Program. The total amount of funds awarded consisted of the 2011 ESG Second Allocation funding in the amount of \$863,348, which occurred beginning October 1, 2012, and the 2012 ESG funding, in the amount of \$2,756,409, which occurred beginning March 1, 2013.

Contracts between THDA and eligible ESG entities are for one-year terms and regularly coincide with the state's fiscal year. In the 2011 ESG Second Allocation, two cities and 11 non-profits were awarded funds on a competitive basis to provide Homeless Prevention and Rapid Rehousing services, HMIS and program administrative activities. The total amount awarded under the Second Allocation 2011 was \$863,348.

The 2012 ESG funding awarded the first \$100,000 of ESG funds, which did not need a match, to seven Mental Health Regional Housing Coordinators who provide homeless assistance programs for the mentally disabled. This activity will meet HUD's Discharge Planning requirement to ensure that persons being released from hospitals, prisons and mental health facilities are not discharged with no place to go. Another targeted subrecipient was the City of Chattanooga, which was awarded \$84,000. Fifty-two percent of the remaining funds were awarded to 11 entitlement cities in a formula-based allocation. The remaining 48 percent was awarded on a competitive basis to non-profits throughout the state. This grant term is March 1, 2013 through February 28, 2014. During this reporting period, \$2,756,409 was awarded for the operation and maintenance of homeless shelters, essential services, homeless prevention, rapid re-housing, HMIS and program administrative costs.

**Table 4**  
**Funds Made Available**  
**ESG Program**

Funding Category	Allocation of FY 2012-13 ESG Funding
<b><i>2011 Second Allocation Funding</i></b>	
ESG Competitive Round	\$830,698
<i>Program Subtotal</i>	\$830,698
State Administration	\$25,900
Small City Administration	\$6,750
<b><i>Total for Second Allocation of 2011 ESG Funding</i></b>	<b><i>\$863,348</i></b>
<b><i>2012 Allocation Funding</i></b>	
Small Cities Set-Aside	\$1,292,895
City of Chattanooga	\$84,000
TDMHSAS Set-Aside	\$100,000
ESG Competitive Round	\$1,195,822
<i>Program Subtotal</i>	\$2,672,717
State Administration	\$83,692
<b><i>Total for Second Allocation of 2011 ESG Funding</i></b>	<b><i>\$2,756,409</i></b>
<b>Total FY 2012-13 ESG Funding</b>	<b>\$3,619,757</b>

D. Housing Opportunities for Persons with AIDS (HOPWA)

During FY 2012-13, the Tennessee Department of Health (DOH) awarded \$947,455 to the seven project sponsors across the state, administering the HOPWA Program. Contracts between DOH and the project sponsors are for one-year terms and coincide with the state's fiscal year (July 1 – June 30). DOH retains two percent of the total allocation for state administrative costs. Grantees that were awarded funding during the reporting period and the state's administrative costs are shown in the table below.

**Table 5**  
**Distribution of Funds**  
**HOPWA Program**

Project Sponsor	Funding Amount	Percent of Total Funding
Chattanooga CARES	\$221,400	23%
Columbia CARES	\$81,500	9%
East Tennessee Human Resource Agency	\$279,900	30%
Frontier Health	\$98,200	10%
Nashville CARES	\$45,400	5%
Upper Cumberland Human Resource Agency	\$61,700	7%
West Tennessee Legal Services	\$141,900	15%
<i>Program Subtotal</i>	<i>\$930,000</i>	<i>98%</i>
State Administration	\$17,455	2%
<b>Total</b>	<b>\$947,455</b>	<b>100%</b>

## **Other Resources Made Available**

### **E. HUD Section 8 Tenant Based Housing Choice Voucher Program**

The THDA Rental Assistance Division administers the Section 8 Tenant Based Housing Choice Voucher program through nine field offices throughout the state and provides services to the families participating in the program. In FY 2012-13, the Rental Assistance Division had \$32,643,531 available for Section 8 Housing Choice Voucher Program.

### **F. HUD Section 8 Project Based Rental Assistance**

The THDA Contract Administration Division administers Project Based units throughout the state. During FY 2012-13, the Housing Assistance Payments (HAP) totaled \$148,101,848.

### **G. Low Income Housing Tax Credit Program**

The State of Tennessee used its 2012 tax credit authority in the amount of \$14,387,727 during CY 2011 and 2012. The total includes \$11,500,000 worth of 2012 competitive allocations that were forward committed during the CY 2011. Of the remaining tax credits, \$2,799,277 in tax credits were issued during the reporting period and \$88,450 in tax credits will be carried forward to 2013. Additionally, the state issued \$1,595,427 in non-competitive allocations during the reporting period. The State of Tennessee used its tax credit authority to make available tax credits for non-profit and for-profit developers of affordable housing.

### **H. Multifamily Bond Authority**

THDA allocates a maximum of \$15,000,000 of tax-exempt bond authority to a development involving new construction and \$17,250,000 for developments involving conversion and/or acquisition. Points are awarded to applications demonstrating that developments address certain conditions – meeting housing needs, meeting energy/maintenance standards, serving special populations and increasing housing stock. In Calendar Year 2012, a total of \$32,884,454 was allocated.

### **I. THDA Homeownership Programs**

During the reporting period, there were 1,882 loans made through the THDA homeownership programs totaling \$212,167,036. The basic homeownership program is known as Great Rate, which offers a below market interest rate loan secured by a first mortgage. Great Start offers borrowers an amount equal to four percent of the loan amount for down payment and closing costs, with a higher interest rate applied to the loan. Great Advantage offers a rate set at 30 basis point above Great Rate and two percent of the mortgage amount to be used for down payment and/or closing cost assistance. The New Start program, delivered through non-profit organizations, promotes construction of new homes for very low income Tennesseans. Loans are available to first-time homebuyers for primary residences only, and limits on household income and acquisition price varies by county. The table below shows the types and numbers of loans made during FY 2012-13.

**Table 6**  
**Single Family Loans**  
**THDA Homeownership Programs**

<b>Program</b>	<b>Number of Loans</b>	<b>Percent of All Loans</b>	<b>Average Loan</b>	<b>Total Dollars</b>
Great Start	1,613	85.7%	\$115,451	\$186,221,991
Great Advantage	22	1.2%	\$118,824	\$2,614,132
Great Rate	133	7.1%	\$100,061	\$13,308,047
New Start	114	6.1%	\$87,920	\$10,022,866
<b>Total</b>	<b>1,882</b>	<b>100%</b>	<b>\$112,735</b>	<b>\$212,167,036</b>

**J. BUILD Loan Program**

In November 2005, THDA initiated the BUILD Loan Program to build the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. The approximately \$5 million loan program supports the production of affordable housing by providing low interest, short term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During the reporting period, THDA made two \$100,000 loans available to the Rutherford County Habitat for Humanity for development costs. The project will assist the new construction of three homes to families with an income at or below 80 percent of the area median income.

**K. Community Investment Tax Credit**

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low income housing activities. The amount of the credit is applied one time and is based on the total amount of the loan, investment, grant or contribution; or the credit may be applied annually for qualified loans and qualified low rate loans and are based on the unpaid principal balance of the loan. During FY 2012-13, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 39 different affordable housing projects with a total investment amount of \$49,465,699 plus \$31,000 in Homebuyer Education and Counseling contributions.

**L. Emergency Repair Program**

During the reporting period, the nine Development Districts that administer the Emergency Repair Program (ERP) spent a total of \$2,804,136 to correct, repair or replace an essential system and/or critical structural problem for low income and elderly homeowners. Of this total, \$1,535,418 was provided by THDA, including \$148,576 for administrative costs. The nine Development Districts provided \$1,120,141 in match funds for the program. The table below shows the distribution of eligible activity type across Tennessee's three Grand Divisions. Units receiving ERP funds are eligible for more than one activity.

**Table 7**  
**Repair Activities by Grand Division**  
**Emergency Repair Program**

<b>Activity Type</b>	<b>East</b>	<b>Middle</b>	<b>West</b>	<b>Total</b>
Roofing	11.3%	7.7%	8.2%	27.2%
Plumbing	4.8%	4.8%	4.8%	14.4%
Electrical	3.8%	1.9%	3.3%	9.0%
HVAC	4.2%	5.6%	4.6%	14.4%
Other	13.2%	13.6%	8.2%	35.0%

**M. Rural Repair**

The THDA Rural Repair Program continued its partnership with the Rural Housing Service (RHS) of the U.S. Department of Agriculture. The program provides funds for the repair of homes of low-income people. The THDA grant is restricted to 50 percent of the RHS approved repair costs and cannot exceed \$7,500 per household for elderly or disabled homeowners. The THDA grant is restricted to 30 percent of the RHS approved repair costs and cannot exceed \$5,000 per household for non-elderly, non-disabled homeowners. A grant/loan combination is made if the applicant can repay part of the cost and cannot exceed \$27,500. During the fiscal year, THDA provided \$524,693, contributing to 103 grants/loans.

**Summary**

The State of Tennessee expended a total of \$472,956,467 for community development and affordable housing programs during FY 2012-13. Of this total, \$39,236,065 was federal funds provided by HUD through the consolidated planning process. An additional \$433,720,402 was made available through other affordable housing programs.

**Table 8**  
**Summary of Investments, FY 2012-13**  
**All Programs**

Program	Funds Awarded/Granted/Loaned
<i>Consolidated Plan Grant Programs:</i>	
Community Development Block Grant (CDBG)	\$24,744,782
HOME Investment Partnership (HOME)	\$9,924,071
Emergency Solutions Grants (ESG)	\$3,619,757
Housing Opportunities for Persons with AIDS (HOPWA)	\$947,455
<b><i>Subtotal of HUD Resources Available</i></b>	<b><i>\$39,236,065</i></b>
<i>Other Resources Made Available:</i>	
Section 8 Tenant Based Rental Assistance	\$32,643,531
Section 8 Project Based Rental Assistance	\$148,101,848
Low Income Housing Tax Credit	\$4,394,704
Multifamily Bond Authority	\$32,884,454
THDA Homeownership Programs	\$212,167,036
BUILD Loan Program	\$200,000
Emergency Repair Program	\$2,804,136
Rural Repair	\$524,693
<b><i>Subtotal of Other Resources Made Available</i></b>	<b><i>\$433,720,402</i></b>
<b>Grand Total</b>	<b>\$472,956,467</b>

### III. GEOGRAPHIC DISTRIBUTION AND LOCATION OF INVESTMENTS

#### HUD Resources Made Available Under the Consolidated Planning Programs

##### A. Community Development Block Grant (CDBG) Small Cities Program

Each year, ECD prepares the state’s Performance and Evaluation (PER) for the CDBG Program (Appendix A). The following table, derived from information contained in the PER, summarizes the location of CDBG subrecipients and their funding amounts by Grand Division. Of the total funding awarded, 34 percent was awarded in East Tennessee, 26 percent in Middle Tennessee and 40 percent in West Tennessee. An expanded version of this table is presented in Appendix A.

**Table 9  
Funding by Grand Division  
CDBG Program**

Grand Division	Number of Grantees	Total Funds	Percent of Total Funds
East	19	\$8,459,771	34.2%
Middle	15	\$6,381,500	25.8%
West	24	\$9,903,511	40.0%
<b>Total</b>	<b>58</b>	<b>\$24,744,782</b>	<b>100%</b>

##### B. HOME Investment Partnership

During the reporting period, THDA did not take applications or award any HOME funds.

**Table 10  
Distribution of Funds by Grand Division  
HOME Program**

Grand Division	Funding Category	Number of Grantees	Total Awards
East	CHDO	N/A	N/A
	Special Needs	N/A	N/A
	Regional	N/A	N/A
	<i>East Division Total</i>	<i>N/A</i>	<i>N/A</i>
Middle	CHDO	N/A	N/A
	Special Needs	N/A	N/A
	Regional	N/A	N/A
	<i>Middle Division Total</i>	<i>N/A</i>	<i>N/A</i>
West	CHDO	N/A	N/A
	Special Needs	N/A	N/A
	Regional	N/A	N/A
	<i>West Division Total</i>	<i>N/A</i>	<i>N/A</i>
<b>Total</b>	<b>All Grand Divisions</b>	<b>N/A</b>	<b>N/A</b>

As previously discussed, THDA opted not to accept any applications for 2012.

**Table 11**  
**Funding Categories and Proposed Activities**  
**HOME Program**

<b>Funding Category</b>	<b>Activities Proposed</b>	<b>Total Award</b>
CHDO	Homeowner and Rental New Construction, Rental Acquisition and Rehabilitation	N/A
Special Needs	Rental Rehabilitation, Rental Acquisition and Rehabilitation	N/A
Regional	Down Payment Assistance, Homeowner and Rental Rehabilitation	N/A
<b>Total</b>	<b>0 Units</b>	<b>N/A</b>

C. Emergency Solutions Grants (ESG)

During FY 2012-13, there were 54 contracts completed for the ESG Program. Of these, 24 were located in East Tennessee; 15 were in Middle Tennessee; and 15 in West Tennessee. Of the total amount of ESG funds, 43.7 percent were awarded in East Tennessee, 32.5 percent were in Middle Tennessee and 23.8 percent in West Tennessee. The grant recipients and their grand division, funding category and total award amount are given in the following table.

**Table 12**  
**Subrecipients and Funds by Grand Division**  
**ESG Program**

<b>Emergency Solutions Grants Grantee</b>	<b>Grand Division</b>	<b>Funding Category</b>	<b>Total Award</b>
<i>2011 Second Allocation Funding</i>			
Chattanooga Regional Homeless Coalition	East	Competitive	\$75,000
City of Cleveland	East	Small Cities	\$75,000
Good Samaritan Ministries	East	Competitive	\$75,000
Interfaith Hospitality of Greater JC	East	Competitive	\$49,623
Scott County Homeless Shelter	East	Competitive	\$51,515
TN Valley Coalition to End Homelessness	East	Competitive	\$75,000
<i>2011 Second Allocation Funding Total</i>	<i>East</i>		<i>\$401,138</i>
<i>2012 Allocation Funding</i>			
AIM Center, Inc.	East	THDA Set-Aside	\$14,286
Appalachian Regional Coalition on Homelessness	East	Competitive	\$20,817
City of Bristol	East	Small Cities	\$61,237
City of Chattanooga	East	Small Cities	\$84,000
City of Cleveland	East	Small Cities	\$107,456
Frontier Health	East	THDA Set-Aside	\$14,285
Frontier Health	East	Competitive	\$32,910
Good Samaritan Ministries	East	Competitive	\$58,163
Interfaith Hospitality of Greater JC	East	Competitive	\$61,781

City of Johnson City	East	Small Cities	\$135,421
Johnson City Safe Haven	East	Competitive	\$74,391
Keystone Dental	East	Competitive	\$45,000
City of Kingsport	East	Small Cities	\$123,380
City of Morristown	East	Small Cities	\$72,112
City of Oak Ridge	East	Small Cities	\$62,791
Ridgeview Psychiatric	East	THDA Set-Aside	\$14,286
Scott County Homeless Shelter	East	Competitive	\$73,500
Servolutions Health Services	East	Competitive	\$75,000
<b>2012 Allocation Funding Total</b>	<b>East</b>	<b>All</b>	<b>\$1,130,816</b>
<b>East Division Total</b>	<b>East</b>	<b>All</b>	<b>\$1,531,954</b>
<b>2011 Second Allocation Funding</b>			
Advocates for the Upper Cumberland	Middle	Competitive	\$64,560
Buffalo Valley	Middle	Competitive	\$75,000
City of Murfreesboro	Middle	Small Cities	\$75,000
<b>2011 Second Allocation Funding Total</b>	<b>Middle</b>	<b>All</b>	<b>\$214,560</b>
<b>2012 Allocation Funding</b>			
Buffalo Valley	Middle	Competitive	\$75,000
Centerstone CMHC	Middle	THDA Set-Aside	\$14,285
City of Clarksville	Middle	Small Cities	\$193,440
Dismas House of the Upper Cumberland	Middle	Competitive	\$75,000
Families in Crisis	Middle	Competitive	\$40,000
City of Franklin	Middle	Small Cities	\$60,108
Genesis House	Middle	Competitive	\$45,000
Good Neighbor Mission	Middle	Competitive	\$75,000
City of Hendersonville	Middle	Small Cities	\$70,170
Homesafe of Sumner, Robertson & Trousdale Co.	Middle	Competitive	\$40,660
City of Murfreesboro	Middle	Small Cities	\$220,868
Park Center	Middle	THDA Set-Aside	\$14,286
<b>2012 Allocation Funding Total</b>	<b>Middle</b>	<b>All</b>	<b>\$923,817</b>
<b>Middle Division Total</b>	<b>Middle</b>	<b>All</b>	<b>\$1,138,377</b>
<b>2011 Second Allocation Funding</b>			
Area Relief Ministries	West	Competitive	\$75,000
Damascus Road	West	Competitive	\$35,000
Matthew 25:40	West	Competitive	70,000
TN Homeless Solutions	West	Competitive	\$35,000
<b>2011 Second Allocation Funding Total</b>	<b>West</b>	<b>All</b>	<b>\$215,000</b>
<b>2012 Allocation Funding</b>			
Area Relief Ministries	West	Competitive	\$35,000
BHI, Inc.	West	THDA Set-Aside	\$14,286
Carey Counseling	West	THDA Set-Aside	\$14,286
Damascus Road	West	Competitive	\$75,000
Fayette Cares	West	Competitive	\$75,000
HOPE Ministries	West	Competitive	\$35,000
City of Jackson	West	Small Cities	\$185,912
Matthew 25:40	West	Competitive	\$53,600

Northwest Safeline	West	Competitive	\$45,000
TN Homeless Solutions	West	Competitive	\$50,000
West TN Legal Services	West	Competitive	\$35,000
<i>2012 Allocation Funding Total</i>	<i>West</i>	<i>All</i>	<i>\$618,084</i>
<b><i>West Division Total</i></b>	<b><i>West</i></b>	<b><i>All</i></b>	<b><i>\$833,084</i></b>
<b><i>Total State Funding</i></b>			<b><i>\$3,503,415</i></b>
<i>Total Admin</i>			<i>\$116,342</i>
<b>PROGRAM TOTAL</b>			<b>\$3,619,757</b>

D. Housing Opportunities for Persons with AIDS (HOPWA)

The Tennessee Department of Health provided \$930,000 to seven project sponsors covering 77 of Tennessee's 95 counties. The funds were distributed to each of Tennessee's Grand Divisions in the following way: 64.5 percent went to East Tennessee, 20.3 percent went to Middle Tennessee and 15.2 percent of the funds went to West Tennessee. The table below shows the distribution of HOPWA program funds across Tennessee's three Grand Divisions.

**Table 13**  
**Funds Awarded by Grand Division**  
**HOPWA Program**

<b>Project Sponsor</b>	<b>Grand Division</b>	<b>Funds Awarded</b>
Chattanooga CARES	East	\$221,400
East Tennessee Human Resource Agency	East	\$279,900
Frontier Health	East	\$98,200
<b><i>East Tennessee Total</i></b>	<b><i>East</i></b>	<b><i>\$599,500</i></b>
Columbia CARES	Middle	\$81,500
Nashville CARES	Middle	\$45,400
Upper Cumberland Human Resource Agency	Middle	\$61,700
<b><i>Middle Tennessee Total</i></b>	<b><i>Middle</i></b>	<b><i>\$188,600</i></b>
West Tennessee Legal Services	West	\$141,900
<b><i>West Tennessee Total</i></b>	<b><i>West</i></b>	<b><i>\$141,900</i></b>
<b><i>Total State Funding</i></b>		<b><i>\$930,000</i></b>
<i>Total Admin</i>		<i>\$17,455</i>
<b>PROGRAM TOTAL</b>		<b>\$947,455</b>

**Other Resources Made Available**

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

During the reporting period, \$32,643,531 was made available for the Section 8 Tenant Based Housing Choice Voucher program. The table below shows the breakdown of Section 8 Tenant Based funds available by Grand Division

**Table 14**  
**Funds by Grand Division**  
**Section 8 Tenant Based Housing Choice Voucher Program**

<b>Grand Division</b>	<b>Funds Available</b>	<b>Percent of Total Funds Available</b>
East Tennessee	\$3,035,068	9.3%
Middle Tennessee	\$23,401,109	71.7%
West Tennessee	\$6,207,354	19.0%
<b>Total</b>	<b>\$32,643,531</b>	<b>100%</b>

F. HUD Section 8 Project Based Rental Assistance

THDA's Contract Administration Division has responsibility for the administration of Section 8 Project Based contracts throughout the state. At the end of FY 2012-13, the Division reported 29,001 units under contract with 36.2 percent in East Tennessee, 32.4 percent in Middle Tennessee and 31.3 percent in West Tennessee. The table below shows how many units are located in each Grand Division.

**Table 15**  
**Contract Units by Grand Division**  
**Section 8 Project Based Rental Assistance**

<b>East Tennessee</b>	<b>Contract Units</b>
Anderson	548
Bledsoe	119
Blount	253
Bradley	519
Campbell	284
Carter	315
Claiborne	36
Cocke	142
Cumberland	63
Grainger	107
Greene	284
Hamblen	208
Hamilton	1,397
Hancock	50
Hawkins	123
Jefferson	45
Johnson	123
Knox	3,004
Loudon	248
Marion	58
McMinn	307
Meigs	24
Monroe	99
Morgan	31
Polk	24
Roane	302
Scott	103
Sevier	93
Sullivan	784
Unicoi	86
Washington	733
<b>East Total</b>	<b>10,512</b>

<b>Middle Tennessee</b>	<b>Contract Units</b>
Bedford	108
Coffee	412
Davidson	5,181
DeKalb	71
Dickson	123
Franklin	152
Giles	182
Grundy	30
Hickman	75
Humphreys	97
Lewis	36
Lincoln	53
Marshall	203
Maury	150
Montgomery	331
Overton	49
Perry	23
Pickett	21
Putnam	159
Robertson	99
Rutherford	866
Sequatchie	50
Stewart	17
Sumner	424
Van Buren	25
Warren	249
White	49
Williamson	48
Wilson	122
<b>Middle Total</b>	<b>9,405</b>

<b>West Tennessee</b>	<b>Contract Units</b>
Benton	60
Carroll	39
Chester	195
Crockett	24
Decatur	50
Dyer	294
Fayette	215
Gibson	192
Hardeman	46
Hardin	50
Haywood	49
Henderson	110
Henry	244
Lake	175
Lauderdale	145
Madison	510
McNairy	105
Obion	319
Shelby	5,992
Tipton	236
Weakley	34
<b>West Total</b>	<b>9,084</b>

**Summary of Units by Grand Division**

<b>Division</b>	<b>Units</b>
East TN	10,512
Middle TN	9,405
West TN	9,084
<b>Total</b>	<b>29,001</b>

#### G. Low Income Housing Tax Credit

Low Income Housing Tax Credits are allocated on a calendar year basis. During the 2012 reporting period, five Tennessee counties received tax credits, creating a total of 845 units, 824 units of affordable housing and 21 market rate units. Geographically, no allocations were made in East Tennessee. In Middle Tennessee, allocations were made in three counties, utilizing 51 percent of the tax credits made available and in West Tennessee, allocations were made in two counties, utilizing 49 percent of the total tax credits made available. The grand divisions, counties and number of affordable housing units for 2012 are represented in the following table.

**Table 16**  
**2012 Allocations**  
**Low Income Housing Tax Credit (LIHTC) Program**

<b>Grand Division</b>	<b>County</b>	<b>Units</b>	<b>Allocation</b>
East	<i>Eastern Division Subtotal</i>	<i>NA</i>	<i>NA</i>
Middle	Davidson	404	\$920,429
	Montgomery	80	\$1,100,000
	Robertson	120	\$205,779
	<i>Middle Division Subtotal</i>	<i>604</i>	<i>\$2,226,208</i>
West	Lauderdale	40	\$600,123
	Shelby	201	\$1,568,373
	<i>West Division Subtotal</i>	<i>713</i>	<i>\$2,168,496</i>
<b>Grant Total</b>		<b>845<sup>3</sup></b>	<b>\$4,394,704</b>

#### H. Multifamily Bond Authority

In CY 2012, tax-exempt bond authority was allocated to provide permanent financing for a total of five developments, which will result in 620 units of affordable housing with a total allocation of \$32,884,454. While the actual allocation is not reflected in the previous table (Table 16), the 620 units are represented, as they also received a LIHTC allocation. Table 17 shows the distribution and total allocations by county and grand division.

<sup>3</sup> Includes 21 market rate units in Shelby County.

**Table 17**  
**2012 Allocations**  
**Multifamily Bond Authority Program**

<b>Grand Division</b>	<b>County</b>	<b>Allocation</b>
East	<i>Eastern Division Subtotal</i>	NA
Middle	Davidson	\$19,600,000
	Robertson	\$4,850,000
	<i>Middle Division Subtotal</i>	<i>\$24,450,000</i>
West	Shelby	\$8,434,454
	<i>West Division Subtotal</i>	<i>\$8,434,454</i>
<b>Grant Total</b>		<b>\$32,884,454</b>

I. THDA Homeownership Programs

Homeownership loans were made in 62 of Tennessee’s 95 counties. The greatest number of loans was made in Middle Tennessee, followed by East Tennessee and West Tennessee. The breakdown of loans by Grand Division is show in Table 18.

**Table 18**  
**Homeownership Loans by Grand Division**  
**THDA Homeownership Programs**

<b>Grand Division</b>	<b>Number of Loans</b>	<b>Percent of All Loans</b>	<b>Total Dollar Value of Loans</b>
East	454	24.1%	\$44,403,605
Middle	1,137	60.4%	\$138,185,296
West	291	15.5%	\$29,578,135
<b>Total</b>	<b>1,882</b>	<b>100%</b>	<b>\$212,167,036</b>

J. BUILD Loan Program

The THDA BUILD Loan Program is meant to build the capacity of nonprofit organizations to provide affordable housing to low-income Tennesseans. The loan program supports the production of affordable housing by providing low interest, short term loans to eligible nonprofit organizations. BUILD loan funds may be used for new construction or rehabilitation of units for homeownership or rental housing, land acquisition, pre-development activities, and site preparation. During the reporting period, THDA provided \$200,000 to Rutherford County for development costs in Middle Tennessee.

#### K. Community Investment Tax Credit

THDA administers the Community Investment Tax Credit (CITC) in cooperation with the Tennessee Department of Revenue. CITC allows financial institutions to obtain a credit against the sum total of taxes imposed by the Franchise and Excise Tax Laws when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in eligible low income housing activities. During FY 2012-13, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 39 different affordable housing projects with a total investment amount of \$49,496,699. The geographic distribution of the 39 projects were as follows: 19 were in East Tennessee, 14 in Middle Tennessee and six in West Tennessee.

#### L. Emergency Repair Program

During the reporting period, the nine Development Districts that administer the Emergency Repair Program spent a total of \$2,804,136 to correct, repair or replace an essential system and/or critical structural problem for low income, elderly homeowners. Of this total, \$1,535,418 was provided by THDA and \$1,120,141 in match funds was provided by the Development Districts. An additional \$148,576 was provided to the Development Districts by THDA for administration of ERP. East Tennessee received 39.9 percent of the total funds, followed by Middle Tennessee with 36.5 percent and West Tennessee with 23.6 percent.

**Table 19**  
**Program Funds by Grand Division**  
**Emergency Repair Program**

<b>East Tennessee</b>	<b>Funds Expended</b>
Anderson	\$50,442
Bradley	\$29,455
Campbell	\$19,024
Carter	\$111,269
Claiborne	\$30,134
Cumberland	\$57,548
Grainger	\$47,664
Greene	\$33,784
Hamblen	\$46,788
Hamilton	\$52,061
Hancock	\$18,212
Hawkins	\$134,071
Jefferson	\$40,510
Knox	\$62,643
Marion	\$55,445
McMinn	\$40,529
Morgan	\$40,668
Polk	\$17,500
Roane	\$29,267
Scott	\$39,096
Sullivan	\$60,553
Washington	\$101,642
<b>East Total</b>	<b>\$1,118,305</b>

<b>Middle Tennessee</b>	<b>Funds Expended</b>
Bedford	\$26,440
Cannon	\$7,941
Cheatham	\$11,757
Clay	\$82,123
Coffee	\$15,527
Davidson	\$37,458
Dickson	\$13,760
Fentress	\$22,160
Franklin	\$39,047
Giles	\$24,830
Grundy	\$46,672
Hickman	\$8,182
Jackson	\$47,525
Lawrence	\$58,643
Lewis	\$4,157
Marshall	\$44,720
Maury	\$114,090
Moore	\$7,667
Overton	\$44,070
Perry	\$25,740
Pickett	\$15,813
Putnam	\$54,730
Rutherford	\$48,933
Sequatchie	\$34,735
Smith	\$28,695
Stewart	\$5,120
Sumner	\$4,480
Trousdale	\$5,547
Warren	\$102,084
White	\$14,827
Williamson	\$20,829
Wilson	\$5,440
<b>Total</b>	<b>\$1,023,742</b>

<b>West Tennessee</b>	<b>Funds Expended</b>
Benton	\$29,633
Carroll	\$26,895
Crockett	\$18,500
Dyer	\$46,401
Fayette	\$20,882
Gibson	\$119,993
Henderson	\$24,481
Henry	\$5,353
Lauderdale	\$29,565
Madison	\$6,548
Shelby	\$202,494
Tipton	\$76,650
Weakley	\$54,694
<b>West Total</b>	<b>\$662,089</b>

**Summary of Units**  
**By Grand Division**

<b>Division</b>	<b>Funds</b>
East TN	\$1,118,305
Middle TN	\$1,023,742
West TN	\$662,089
<b>Total</b>	<b>\$2,804,136</b>

M. Rural Repair

The THDA Rural Repair Program is a partnership with the Rural Housing Service (RHS) of the US Department of Agriculture (USDA) to provide grants and loans for the repair of homes of low income persons. The following table presents THDA's contributions by county and Grand Division during FY 2012-13.

**Table 20**  
**THDA Contribution by County and Grand Division**  
**Rural Repair Program**

WEST TENNESSEE		
COUNTY	# LOANS	TOTAL \$
Blount	1	\$7,500
Campbell	7	\$44,576
Grainger	1	\$6,447
Greene	2	\$9,327
Hamilton	1	\$6,444
Hancock	1	\$4,713
Johnson	2	\$14,964
Knox	1	\$2,805
Marion	2	\$11,600
McMinn	10	\$53,644
Meigs	1	\$5,250
Scott	1	\$1,500
Sevier	1	\$5,190
Sullivan	2	\$10,852
Union	1	\$7,500
<b>East Total</b>	<b>34</b>	<b>\$192,312</b>

MIDDLE TENNESSEE		
COUNTY	# LOANS	TOTAL \$
Cheatham	1	\$1,761
Clay	1	\$5,000
Coffee	1	\$4,157
Fentress	4	\$26,427
Grundy	2	\$14,252
Jackson	2	\$10,749
Maury	1	\$1,100
Montgomery	2	\$7,725
Overton	2	\$9,926
Pickett	2	\$5,895
Putnam	3	\$14,130
Robertson	1	\$2,481
Rutherford	1	\$1,813
Smith	1	\$7,485
Van Buren	3	\$14,842
Warren	2	\$11,800
White	1	\$7,150
<b>Middle Total</b>	<b>30</b>	<b>\$146,693</b>

EAST TENNESSEE		
COUNTY	# LOANS	TOTAL \$
Carroll	2	\$14,250
Crockett	2	\$6,121
Dyer	3	\$11,474
Fayette	2	\$12,953
Gibson	5	\$19,458
Hardeman	2	\$6,050
Haywood	5	\$25,840
Henderson	1	\$4,223
Henry	1	\$4,744
Lauderdale	3	\$15,843
Madison	4	\$19,568
McNairy	2	\$11,773
Obion	4	\$17,464
Tipton	2	\$8,600
Weakley	1	\$7,327
<b>West Total</b>	<b>39</b>	<b>\$185,688</b>

SUMMARY BY GRAND DIVISION		
East	34	\$192,312
Middle	30	\$146,693
West	39	\$185,688
<b>Total</b>	<b>103</b>	<b>\$524,693</b>

## Summary

During FY 2012-13, Middle Tennessee received the largest portion of funds, due in large part to the Section 8 Rental Assistance and THDA Homeownership Programs. In regards to the four Consolidated Plan Grant Programs, West Tennessee received 37 percent of the funds, East Tennessee received 36 percent of the funds and Middle Tennessee received 26 percent of the total funds available. The geographic break-outs of the Housing Assistance Payments for the Section 8 Contract Administration Program were not available at the time of this report.

**Table 21**  
**Summary of Geographic Distribution**  
**All Programs**

<b><i>Consolidated Plan Grant Programs:</i></b>	<b><i>East</i></b>	<b><i>Middle</i></b>	<b><i>West</i></b>	<b><i>Total</i></b>
Community Development Block Grant	\$8,459,771	\$6,381,500	\$9,903,511	\$24,744,782
HOME Investment Partnership	N/A	N/A	N/A	N/A
Emergency Solutions Grants <sup>4</sup>	\$1,531,954	\$1,138,377	\$833,084	\$3,503,415
HOPWA <sup>5</sup>	\$599,500	\$188,600	\$141,900	\$930,000
<b><i>Subtotal of HUD Resources Available</i></b>	<b><i>\$10,591,225</i></b>	<b><i>\$7,708,477</i></b>	<b><i>\$10,878,495</i></b>	<b><i>\$29,178,197</i></b>
<b><i>Other Resources Made Available:</i></b>	<b><i>East</i></b>	<b><i>Middle</i></b>	<b><i>West</i></b>	<b><i>Total</i></b>
Section 8 Rental Assistance	\$3,035,068	\$23,401,109	\$6,207,354	\$32,643,531
Section 8 Contract Administration	Not available	Not available	Not available	\$148,101,848
Low Income Housing Tax Credit	N/A	\$2,226,208	\$2,168,496	\$4,394,704
Multifamily Bond Authority	N/A	\$24,450,000	\$8,434,454	\$32,884,454
THDA Homeownership Programs	\$44,403,605	\$138,185,296	\$29,578,135	\$212,167,036
BUILD Loan Program	\$0	\$200,000	\$0	\$200,000
Emergency Repair Program	\$1,118,305	\$1,023,742	\$662,089	\$2,804,136
Rural Repair	\$192,312	\$146,693	\$185,688	\$524,693
<b><i>Subtotal of Other Resources Available</i></b>	<b><i>\$48,749,290</i></b>	<b><i>\$189,633,048</i></b>	<b><i>\$47,236,216</i></b>	<b><i>\$433,720,402</i></b>
<b>Grand Total</b>	<b>\$59,340,515</b>	<b>\$197,341,525</b>	<b>\$58,114,711</b>	<b>\$462,898,599</b>

<sup>4</sup> Total does not reflect the \$116,342 in Total Administrative funds.

<sup>5</sup> Total does not reflect the \$17,455 in State Administrative funds.

#### IV. FAMILIES AND PERSONS ASSISTED

##### HUD Resources Made Available Under the Consolidated Planning Programs

###### A. Community Development Block Grant (CDBG) Small Cities Program

The following table summarizes data from the 2012 PER Part III: Civil Rights, which reports the CDBG applicants and beneficiaries by race and gender (Appendix A). For the reporting period, the total beneficiaries for the reporting period are 203,401 persons. Of this total, 20,047 are minorities and 126 are female heads of household.

**Table 22**  
**Program Demographics**  
**CDBG Program**

Race	Total Served	Percent of Total Served
White	181,927	89.44%
Black/African American	15,383	7.56%
Asian	246	0.12%
American Indian/Alaskan Native	109	0.05%
Native Hawaiian/Pacific Islander	48	0.02%
American Indian/Alaskan Native and White	133	0.07%
Asian and White	32	0.02%
Black/African American and White	204	0.10%
American Indian/Alaskan Native & Black/African American	426	0.21%
Other Multi-Racial	3,466	1.70%
Unknown	1,427	0.70%
<b>Total</b>	<b>203,401</b>	<b>100%</b>

Information on the benefit to low and moderate income (LMI) persons is also reported in the PER. The following table presents a summary of the information derived from CDBG contract closeouts. An expanded table is presented in Appendix A. Based on the information, 3,039,286 persons are reported as beneficiaries. Of the total beneficiaries, 2,242,951 or 74 percent are low and moderate income persons.

**Table 23**  
**Benefit to Low and Moderate Income Persons**  
**CDBG Program**

Activities	Total Persons Assisted	Total LMI Persons Assisted	Percent of Persons Assisted who are LMI
Economic Development	969	555	57%
Housing	1,855	1,838	99%
Public Facilities	3,036,462	2,240,558	74%
<b>Total</b>	<b>3,039,286</b>	<b>2,242,951</b>	<b>74%</b>

## B. HOME Investment Partnership

Beneficiary information is obtained for the HOME Program after a project completion report is entered into IDIS and a beneficiary report is obtained from HUD. This year's beneficiary report shows that 312 units were completed with HOME funds during FY 2012-13. The units completed during FY 2012-13 were assisted with HOME funds ranging from program years 2007-2010. East Tennessee assisted 154 units, followed by Middle Tennessee with 118 units and West Tennessee with 40 units.

Very low-income households are those households whose annual income is below 50 percent or less of the area median income (AMI) for the county in which the household resides. Low-income households are those households whose annual income is between 50 percent and 80 percent of the AMI for the county in which the household resides. Out of the 312 households assisted during the reporting period, 65.7 percent were considered very low income, 33 percent were considered low income and 1.3 percent of the units were missing beneficiary data at the time of this report. The income of beneficiaries, organized by Grand Division, is shown in the table below.

**Table 24**  
**Household Income of Beneficiaries**  
**HOME Program**

Percent of AMI	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
Very Low 0 – 50%	100	81	24	205	65.7%
Low 51 – 80%	50	37	16	103	33.0%
Not Available	4	-	-	4	1.3%
<b>Total</b>	<b>154</b>	<b>118</b>	<b>40</b>	<b>312</b>	<b>100%</b>

A more detailed breakdown of HOME Beneficiaries receiving assistance during the reporting period by income and Grand Division is provided in the following table.

**Table 25**  
**Income Characteristics of Beneficiaries**  
**HOME Program**

Percent of AMI	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
0 – 30 %	38	48	13	99	31.7%
31 – 50%	62	33	11	106	34.0%
51 – 60%	22	19	4	45	14.4%
61 – 80%	28	18	12	58	18.6%
Not Available	4	-	-	4	1.3%
<b>Total</b>	<b>154</b>	<b>118</b>	<b>40</b>	<b>312</b>	<b>100%</b>

Owner occupied units comprised the majority of HOME assisted units during the reporting period, followed by tenant occupied units. There were 220 owner occupied units of the 312 total HOME assisted units (70.5 percent). 88 units (28.2 percent) were tenant occupied and 4 units (1.3 percent) were vacant at the time of this report.

Out of the 312 units assisted during the reporting period, 197 units (63.1 percent) were rehabilitation projects. New construction followed with 73 units (23.4 percent), acquisition and new construction with 31 units (9.9 percent), acquisition only with 8 units (2.6 percent), and acquisition and rehabilitation with 3 units (1.0 percent).

During the reporting period, 76.9 percent of HOME beneficiaries were white, nearly 22 percent were minorities and information was not available for 1.3 percent of those assisted. One of the beneficiaries was Hispanic. The tables below describe race and ethnicity information.

**Table 26  
Race/Ethnicity Characteristics of Beneficiaries  
HOME Program**

Race	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
White	124	99	17	240	76.9%
Black/African American	26	17	23	66	21.2%
Other/Multi-Racial	0	2	0	2	0.6%
Not Available	4	0	0	4	1.3%
<b>Total</b>	<b>154</b>	<b>118</b>	<b>40</b>	<b>312</b>	<b>100%</b>

Ethnicity	East Tennessee	Middle Tennessee	West Tennessee	Total	Percent of Total
Hispanic	0	1	0	1	0.3%
Non-Hispanic	154	117	40	311	99.7%
<b>Total</b>	<b>154</b>	<b>118</b>	<b>40</b>	<b>312</b>	<b>100%</b>

The size of families assisted with HOME funds during the reporting period ranged from one person to six persons. Single, non-elderly heads of household were most frequently served, followed by elderly heads of household. A detailed table with the size and type of household is provided below.

**Table 27  
Size and Type of Household  
HOME Program**

Household Size	Single, Non-Elderly	Elderly	Related/Single Parent	Related/Two Parent	Other	Not Available	Total
1	72	48	1	-	-	-	121
2	12	32	13	3	10	-	70
3	8	9	29	7	3	-	56
4	4	3	12	16	3	-	38
5	4	-	5	5	1	-	15
6	-	3	2	3	-	-	8
N/A	-	-	-	-	-	4	4
<b>Total</b>	<b>100</b>	<b>95</b>	<b>62</b>	<b>34</b>	<b>17</b>	<b>4</b>	<b>312</b>

### C. Emergency Solutions Grants (ESG)

Demographic information has been summarized in the following tables. More detailed demographic information regarding ESG beneficiaries served may be found in Appendix C of this document. East Tennessee served 67.5 percent of the total beneficiaries during the reporting period, followed by Middle Tennessee with 22.1 percent of total beneficiaries and West Tennessee with the remaining 10.4 percent. White beneficiaries were served most frequently with ESG funds and comprised approximately 66 percent of the total clients served. Black/African American followed with 30 percent of the beneficiaries. The table below shows the number of individuals served by race for each Grand Division.

**Table 28**  
**Beneficiaries by Race**  
**ESG Funds**

Race	East Tennessee	Middle Tennessee	West Tennessee	Total
White	3,681	874	412	4,967
Black/African American	1,463	468	380	2,311
Black/African American & White	50	29	9	88
Native Hawaiian/Pacific Islander	7	0	8	15
Asian	5	8	0	13
Asian/White	23	0	0	23
American Indian/Alaskan Native	15	3	2	20
American Indian/Alaskan Native/White	23	3	1	27
American Indian/Alaskan Native/Black	11	0	1	12
Other/Multi Racial	92	377	13	482
<b>Total</b>	<b>5,370</b>	<b>1,762</b>	<b>826</b>	<b>7,958</b>

Of the total beneficiaries served with ESG funds, 53.5 percent were female and 45.3 percent were male. For 1.2 percent of the total beneficiaries, the gender was unknown. The table below shows the gender of beneficiaries by Grand Division.

**Table 29**  
**Beneficiaries by Gender**  
**ESG Funds**

Gender	East Tennessee	Middle Tennessee	West Tennessee	Total
Female	2,935	1,054	257	4,259
Male	2,337	694	569	3,601
Unknown	98	14	0	98
<b>Total</b>	<b>5,370</b>	<b>1,762</b>	<b>826</b>	<b>7,958</b>

Nearly 70 percent of the total beneficiaries served with ESG funds earn between zero and 30 percent of the Area Median Income (AMI). Seven and one-half percent earned between 30 – 60 percent of the AMI and 1.1 percent earned between 60 – 80 percent of the AMI. For 24.5 percent of ESG beneficiaries, income information was unavailable. The table below shows the income category of ESG beneficiaries

by Grand Division. It is important to note that domestic violence victims are served regardless of income and are included in the total count of beneficiaries.

**Table 30  
Income of Beneficiaries  
ESG Funds**

<b>Income</b>	<b>East Tennessee</b>	<b>Middle Tennessee</b>	<b>West Tennessee</b>	<b>Total</b>
0 – 30% of Area Median Income (AMI)	3,684	1,027	617	5,328
30 – 60% of AMI	416	165	12	593
60 – 80% of AMI	70	4	12	86
Unavailable	1,200	566	185	1,951
<b>Total</b>	<b>5,370</b>	<b>1,762</b>	<b>826</b>	<b>7,958</b>

D. Housing Opportunities for Persons with AIDS (HOPWA)

During the reporting period, the HOPWA program reported activity for 468 individuals with HIV/AIDS and 999 affected family members as beneficiaries of HOPWA services. A total of 1,467 beneficiaries were served. Of those beneficiaries, 53 percent were male and 47 percent were female. All of HOPWA beneficiaries are low income. The race and ethnicity of HOPWA beneficiaries is presented below.

**Table 31  
Race and Ethnicity of Beneficiaries  
HOPWA Program**

<b>Race</b>	<b>Number of Participants</b>	<b>Percent of Participants</b>
White	928	63.3%
Black/African American	387	26.4%
Other/Multiracial/Unknown	152	10.3%
<b>Total</b>	<b>1,467</b>	<b>100%</b>
Hispanic	78	5.3%

**Other Resources Made Available**

E. HUD Section 8 Tenant Based Housing Choice Voucher Program

THDA manages the Section 8 Tenant Based Rental Assistance Program. The following tables represent basic demographic data based on the 6,151 heads of household participating in the program. Out of the 6,151 households, 64 percent were families with dependents and 13 percent were elderly. Ninety percent of the households had a female head of household. Information on income and race/ethnicity is presented below.

**Table 32**  
**Select Demographic Data**  
**Section 8 Tenant Based Housing Choice Voucher Program**

<b>Annual Household Income</b>	<b>Number of Participant Households</b>	<b>Percent of Total Participant Households</b>
\$0	12	0.2%
\$1 – \$5,000	196	3.2%
\$5,001 – \$10,000	1,518	24.7%
\$10,001 – \$15,000	1,849	30.1%
\$15,001 – \$20,000	1,122	18.2%
\$20,001 – \$25,000	709	11.5%
Above \$25,000	745	12.1%
<b>Total</b>	<b>6,151</b>	<b>100%</b>

<b>Sources of Household Income*</b>	<b>Number of Participant Households</b>	<b>Percent of Total Participant Households</b>
Any wages	2,063	33.5%
TANF	906	14.7%
SS/SSI	1,882	30.6%
Child Support	1,600	26.0%
Other Income	5,672	92.2%
<b>*Household may have more than one source of income</b>		

<b>Race</b>	<b>Number of Participant Households</b>	<b>Percent of Total Participant Households</b>
White	2,294	37.3%
Black/African American	3,832	62.3%
Asian	8	0.13%
Native Hawaiian/Other Pacific Islander	8	0.13%
American Indian/Alaska Native	9	0.14%
<b>Total</b>	<b>6,151</b>	<b>100%</b>

<b>Ethnicity</b>	<b>Number of Participant Households</b>	<b>Percent of Total Participant Households</b>
Hispanic	117	1.9%
Non-Hispanic	6,034	98.1%
<b>Total</b>	<b>6,151</b>	<b>100%</b>

F. HUD Section 8 Contract Administration Program

THDA also manages the Section 8 Project Based Rental Assistance program. The table below provides point in time demographic information regarding the tenants who occupy the Section 8 units. There are a total of 48,729 total family members in the 29,001 units.

**Table 33  
Tenant Characteristics  
Section 8 Project Based Rental Assistance**

<b>Section 8 Project Based Participants</b>	<b>East</b>	<b>Middle</b>	<b>West</b>	<b>Total</b>
<i>Race</i>				
White	25%	18%	7%	50%
Black	7%	15%	26%	48%
Other Race/Unknown	0.8%	1.2%	0.4%	2%
<i>Ethnicity</i>				
Hispanic	0.6%	0.7%	0.3%	1.5%
<b>Total Residents</b>	<b>15,684</b>	<b>16,741</b>	<b>16,304</b>	<b>48,729</b>

**Table 34  
Head of Household Characteristics  
Section 8 Project Based Rental Assistance**

<b>Section 8 Project Based Participants</b>	<b>East</b>	<b>Middle</b>	<b>West</b>	<b>Total</b>
<i>Income Category</i>				
0% – 30% of AMI	32.9%	31.4%	27.9%	92.3%
31% – 50% of AMI	2.7%	2.7%	2.0%	7.4%
51% – 80% of AMI	0.1%	0.1%	0.1%	0.3%
<i>Age</i>				
62 and older (as of 6/30/2013)	13.9%	14.4%	11.2%	39.5%
<i>Disability</i>				
Mobility, Hearing, or Visually Impaired	0.7%	0.9%	0.7%	2.4%
<b>Total Head of Households</b>	<b>10,350</b>	<b>9,927</b>	<b>8,724</b>	<b>29,001</b>

G. Low Income Housing Tax Credit

During the FY 2012-13, 72,514 residents benefitted from Low Income Housing Tax Credits (LIHTC). Of those residents, 38.3 percent lived in Middle Tennessee, 34.9 percent in West Tennessee, and 26.8 percent in East Tennessee. Eighteen percent of the residents lived in rural areas. The state’s most populous counties, Shelby and Davidson, had the highest number of residents living in LIHTC properties.

**Table 35**  
**Units Assisted by County and Grand Division**  
**LIHTC**

<b>East Tennessee</b>	<b>Contract Units</b>
Anderson	465
Bledsoe	31
Blount	39
Bradley	1075
Campbell	285
Carter	540
Claiborne	44
Cocke	548
Cumberland	511
Greene	468
Hamblen	617
Hamilton	2,742
Hancock	49
Hawkins	103
Jefferson	182
Johnson	45
Knox	4,686
Loudon	361
McMinn	448
Monroe	763
Morgan	62
Polk	92
Rhea	181
Roane	294
Scott	34
Sevier	522
Sullivan	2,230
Unicoi	200
Union	263
Washington	1,545
<b>East Total</b>	<b>19,425</b>

<b>Middle Tennessee</b>	<b>Contract Units</b>
Bedford	646
Cannon	70
Cheatham	146
Coffee	713
Davidson	11,589
DeKalb	145
Dickson	924
Fentress	248
Franklin	27
Giles	230
Grundy	217
Hickman	103
Jackson	53
Lawrence	232
Lincoln	39
Marshall	164
Maury	1,068
Montgomery	1,789
Moore	34
Putnam	430
Robertson	754
Rutherford	3,035
Sequatchie	153
Smith	198
Sumner	1,742
Warren	188
Wayne	241
White	192
Williamson	629
Wilson	1,774
<b>Middle Total</b>	<b>27,773</b>

<b>West Tennessee</b>	<b>Contract Units</b>
Carroll	94
Chester	132
Crockett	82
Dyer	211
Fayette	278
Gibson	141
Hardin	191
Haywood	498
Henderson	118
Henry	487
Lake	139
Lauderdale	445
Madison	2,501
Obion	141
Shelby	18,990
Tipton	748
Weakley	120
<b>West Total</b>	<b>25,316</b>

**Summary of Units  
By Grand Division**

<b>Division</b>	<b>Units</b>
East TN	19,425
Middle TN	27,773
West TN	25,316
<b>Total</b>	<b>72,514</b>

Of the total beneficiaries of the LIHTC program, 46.4 percent identified as Black or African American, while 29.5 percent identified as White with the remainder classified as another or unknown race. Nearly three percent were of Hispanic or Latino origin.

Among the residents, four percent had a disability, and nine percent of them were age 62 or older. Nearly 87 percent earned less than half of their county's area median income. The tables below detail the social and economic characteristics of the beneficiaries.

**Table 36**  
**Demographics of Participants**  
**Low Income Housing Tax Credit (LIHTC)**

<b>Race</b>	<b>Number of Tenants</b>	<b>Percent of Tenants</b>
White	21,380	29.5%
Black/African-American	33,677	46.4%
Other Race	17,457	24.1%
<b>Income Category</b>	<b>Number of Tenants</b>	<b>Percent of Tenants</b>
Up to 30% of AMI	36,861	50.8%
31%-50% of AMI	26,022	35.9%
51%-80% of AMI	6,261	8.6%
Unknown	3,370	4.7%
<b>Number of Bedrooms</b>	<b>Number of Units</b>	<b>Percent of Units</b>
0 Bedroom	266	0.4%
1 Bedroom	9,352	12.9%
2 Bedrooms	31,253	43.1%
3 Bedrooms	28,337	39.1%
4 Bedrooms	3,244	4.5%
> 5 Bedrooms	3,306	0.1%
<b>Other Demographics</b>	<b>Number of Tenants</b>	<b>Percent of Total Tenants</b>
Hispanic	2,022	2.8%
Elderly	6,520	9.0%
Disabled	2,912	4.0%
Rural	12,904	17.8%

#### H. Multifamily Bond Authority

Demographic information is not compiled separately for the Multifamily Bond Authority program. Demographic information for this program has been included in LIHTC data and can be found in Table 36 of this report.

#### I. THDA Homeownership Programs

Demographics for the THDA's Homeownership programs are as follows: The largest number of Great Start loans was made to married couples, followed by single female and single male households. The largest number of Great Advantage loans was made to married couples, followed by single male households. The largest number of Great Rate loans was made to married couples, followed by single male and single female households. The majority of New Start loans were made to female with child households. Additional information is presented in Table 37.

**Table 37**  
**THDA Loans by Household Type**  
**THDA Homeownership Programs**

<b>Great Start</b>	<b>1 Person</b>	<b>2 Persons</b>	<b>3 Persons</b>	<b>4+ Persons</b>	<b>Total</b>
Married Couple	3	240	168	221	632
Single Male	243	51	17	2	313
Single Female	272	52	8	6	338
Male with Child	7	25	26	20	78
Female with Child	5	117	72	29	223
Single Parent with Child	7	13	9	0	29
Other	0	0	0	0	0
<i>Total</i>	<i>537</i>	<i>498</i>	<i>300</i>	<i>278</i>	<i>1,613</i>
<b>Great Advantage</b>	<b>1 Person</b>	<b>2 Persons</b>	<b>3 Persons</b>	<b>4+ Persons</b>	<b>Total</b>
Married Couple	0	3	4	4	11
Single Male	2	1	0	0	3
Single Female	1	2	0	0	3
Male with Child	0	0	0	2	2
Female with Child	0	2	1	0	3
Single Parent with Child	0	0	0	0	0
Other	0	0	0	0	0
<i>Total</i>	<i>3</i>	<i>8</i>	<i>5</i>	<i>6</i>	<i>22</i>
<b>Great Rate</b>	<b>1 Person</b>	<b>2 Persons</b>	<b>3 Persons</b>	<b>4+ Persons</b>	<b>Total</b>
Married Couple	0	17	27	23	67
Single Male	22	6	2	0	30
Single Female	18	2	0	0	20
Male with Child	0	0	2	0	2
Female with Child	0	8	4	0	12
Single Parent with Child	0	1	1	0	2
Other	0	0	0	0	0
<i>Total</i>	<i>40</i>	<i>34</i>	<i>36</i>	<i>23</i>	<i>133</i>
<b>New Start</b>	<b>1 Person</b>	<b>2 Persons</b>	<b>3 Persons</b>	<b>4+ Persons</b>	<b>Total</b>
Married Couple	1	3	3	15	22
Single Male	6	0	0	1	7
Single Female	20	2	1	0	23
Male with Child	0	0	1	1	2
Female with Child	0	24	22	13	59
Single Parent with Child	0	1	0	0	1
Other	0	0	0	0	0
<i>Total</i>	<i>27</i>	<i>30</i>	<i>27</i>	<i>30</i>	<i>114</i>
<b>Grand Total</b>	<b>607</b>	<b>570</b>	<b>368</b>	<b>337</b>	<b>1,882</b>

The income levels for homeownership loans varied by loan program. For the Great Start program, the average income level was \$50,291, Great Advantage was \$51,463, Great Rate was \$45,721 and New Start was \$24,566. Average income by household type for each of the programs is presented in the below table.

**Table 38**  
**Average Income by Household Type**  
**THDA Homeownership Programs**

Program	Great Start		Great Advantage		Great Rate		New Start	
	HHs	Average Income	HHs	Average Income	HHs	Average Income	HHs	Average Income
Married Couple	632	\$57,012	11	\$58,342	67	\$50,633	22	\$26,272
Single Male	313	\$46,190	3	\$40,675	30	\$42,069	7	\$20,906
Single Female	338	\$45,219	3	\$50,405	20	\$38,014	23	\$19,817
Male with Child	78	\$50,182	2	\$52,464	2	\$29,970	2	\$23,062
Female with Child	223	\$45,477	3	\$37,422	12	\$43,437	59	\$26,213
Single Parent with Child	29	\$44,502	0	n/a	2	\$42,489	1	\$27,665
Other	0	n/a	0	n/a	0	n/a	0	n/a
<b>Total</b>	<b>1,613</b>	<b>\$50,291</b>	<b>22</b>	<b>\$51,463</b>	<b>133</b>	<b>\$45,721</b>	<b>114</b>	<b>\$24,566</b>

During the reporting period, 29.2 percent of all mortgages were made to minorities and 70.8 percent were made to non-minorities. Following White households, Black/African American Households were most frequently served by THDA's Homeownership Programs. The following tables show mortgage program data by race and ethnicity.

**Table 39**  
**Race/Ethnicity of Borrower**  
**THDA Homeownership Programs**

Race	Number of Primary Borrowers	Percent of Primary Borrowers
White	1,332	70.8%
Black/African American	459	24.4%
Asian	16	0.9%
American Indian/Alaskan Native	2	0.1%
Native Hawaiian/Pacific Islander	2	0.1%
Multi-Racial	6	0.3%
Other/Unknown	65	3.5%
<b>Total</b>	<b>1,882</b>	<b>100%</b>

Ethnicity	Number of Primary Borrowers	Percent of Primary Borrowers
Hispanic	67	3.6%
Non-Hispanic	1,815	96.4%
<b>Total</b>	<b>1,882</b>	<b>100%</b>

Households ages 29 and younger accounted for 44 percent of all mortgages made during the reporting period. The table below shows mortgages by age of the primary borrower.

**Table 40**  
**Age of Borrowers**  
**THDA Homeownership Programs**

Age Group	Number of Primary Borrowers	Percent of Total Primary Borrowers
Less than 25	424	22.5%
25 – 29	404	21.5%
30 – 34	339	18.0%
35 – 39	202	10.7%
40 – 44	177	9.4%
45 +	336	17.9%
<b>Total</b>	<b>1,882</b>	<b>100%</b>

J. BUILD Loan Program

The BUILD Loan Program, administered by THDA, provided \$200,000 to the Rutherford County Habitat for Humanity for development costs. Habitat offers new construction to families with an income at or below 80 percent of AMI. Therefore, all of the population they serve is considered low-income. No other demographic data was available for this program at the time of this report.

K. Community Investment Tax Credit

During FY 2012-13, THDA and the Tennessee Department of Revenue, awarded credits through CITC for 39 different affordable housing projects with a total investment amount of \$49,496,699, including \$31,000 in contributions for Homebuyer Education and Counseling. The projects eligible under the CITC program will contribute to the availability of approximately 1,200 units of affordable housing for over 1,800 households. Demographic data regarding the beneficiaries of CITC projects was not available at the time of this report.

L. Emergency Repair Program

The Emergency Repair Program (ERP) provides grants to low-income homeowners that are age 60 years or older to correct, repair or replace an essential system and/or critical structural problem. During the reporting period, the ERP assisted 283 units and 400 people. The majority of beneficiaries were in East Tennessee (44.5 percent), followed by Middle Tennessee (35.3 percent) and West Tennessee (20.2 percent). While all of the beneficiaries of the ERP are elderly and low income, more detailed beneficiary information can be found below.

**Table 41**  
**Beneficiary Characteristics**  
**Emergency Repair Program**

<b>Income Category</b>	<b>West</b>	<b>Middle</b>	<b>East</b>	<b>Total</b>
0 – 30% of AMI	38.6%	45.0%	37.3%	40.3%
31 – 50% of AMI	50.9%	43.0%	49.2%	47.3%
51 – 60% of AMI	10.5%	12.0%	13.5%	12.4%
<b>Total Heads of Household</b>	<b>57</b>	<b>100</b>	<b>126</b>	<b>283</b>

<b>Race</b>	<b>West</b>	<b>Middle</b>	<b>East</b>	<b>Total</b>
White	26.3%	69.0%	96.8%	72.7%
Black/African American	73.7%	31.0%	3.2%	27.2%
<b>Total Heads of Household</b>	<b>57</b>	<b>100</b>	<b>126</b>	<b>283</b>

M. Rural Repair

All of the beneficiaries of THDA’s Rural Repair Program, which is administered in partnership with USDA, are low income. During the reporting period, the Rural Repair Program assisted 103 units. The table presents other information regarding the program’s beneficiaries.

**Table 42**  
**Demographics and Household Size**  
**Rural Repair Program**

<b>Rural Repair Participants</b>	<b>West</b>	<b>Middle</b>	<b>East</b>	<b>Total</b>
<i>Household Type</i>				
Elderly or Disabled	97.4%	93.3%	100%	97.1%
Non-Elderly/Non-Disabled	2.6%	6.7%	n/a	2.9%
<i>Race</i>				
White	33.3%	83.3%	91.2%	67.0%
Black	66.7%	13.3%	8.8%	32.0%
Other Race	n/a	3.3%	n/a	1.0%
<i>Household Size</i>				
1 Family Member	56.4%	63.3%	73.5%	64.1%
2 Family Members	23.1%	16.7%	23.5%	21.4%
3 Family Members	12.8%	10.0%	3.0%	8.7%
4 Family Member	7.7%	6.7%	n/a	4.9%
Unknown Family Size	n/a	3.3%	n/a	1.0%
<b>Geographic Distribution of Assistance</b>	<b>37.9%</b>	<b>29.1%</b>	<b>33.0%</b>	<b>100%</b>

## Summary

Overall, the four formula programs assisted 212,826 individuals and an additional 312 households. The CDBG program served 203,401 persons and the majority of the beneficiaries were low to moderate income. During the reporting period, the HOME program completed 312 units and 66 percent of the households receiving assistance were very low income. The remaining households were low income. The ESG funds assisted 7,958 homeless individuals or individuals who are at risk of homelessness. The majority of ESG beneficiaries were women. The HOPWA program assisted 468 individuals with HIV/AIDS and an additional 999 affected family members. All of the HOPWA beneficiaries were low income.

Other resources contributing to affordable housing and community development also assisted a large number of Tennesseans. The Section 8 Tenant Based Housing Choice Voucher program assisted 6,151 households and the Section 8 Project Based Rental Assistance program provided 29,001 units of affordable housing. The LIHTC and Multifamily Bond authority assisted 72,514 individuals and the THDA Homeownership Programs helped 1,882 households secure a loan. The CITC program contributed to 1,200 units of affordable housing. The ERP assisted 400 persons and Rural Repair assisted 157 persons.

Together, the four Consolidated Plan Grant Programs and programs providing other affordable housing resources served 285,897 individuals and 38,546 additional households.

**STATE OF TENNESSEE**  
**FISCAL YEAR 2011-12 CAPER**  
**PART II**  
**ASSESSMENT OF ANNUAL PERFORMANCE**

**V. REVIEW AND ASSESSMENT OF PRIORITIES AND ACTION STEPS**

**A. Review of Priorities and Action Steps**

The HUD Performance Measurement Outcome System states the following three objectives: to create a suitable living environment, to provide decent housing and to create economic activities. In the five-year Consolidated Plan, the State of Tennessee established four priorities that compliment HUD's three performance measurement objectives and are related to housing and non-housing community development needs. These priority areas were approved by HUD in the State's 2010-15 Consolidated Plan. Each of the four priorities list action steps that coincide with the intent of the four priority areas. The "Assessment of Annual Performance" section of the FY 2012-13 CAPER focuses specifically on the four priority areas and the State's progress in meeting objectives. The four priorities and their corresponding actions steps are as follows:

**Housing**

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

Action Steps:

- 1) Preserve the affordable housing stock through housing rehabilitation targeted toward very low-, low- and moderate-income populations in the state.
- 2) Encourage the production of multifamily housing to serve low-income individuals in the state.
- 3) Target funds towards housing for elderly residents in the state with an emphasis on handicapped accessibility.
- 4) Encourage the preservation of 2-3 bedroom affordable housing units for low-income families in the state.
- 5) Increase/maintain the number of housing facilities in the state for homeless individuals.
- 6) Increase the ownership rates, especially among lower income and minority households.

## **Non-Housing Community Development Needs**

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

### Action Steps:

- 7) Provide for the safety and well-being of low- and moderate- income families in the state by improving the quality and quantity of water in areas which do not have safe, reliable water sources.
  - 8) Provide safe, reliable wastewater services to low- and moderate-income families in underserved areas of the state.
  - 9) Provide economic development opportunities through financing of infrastructure development, manufacturing facilities and equipment that support job creation for low and moderate income people.
  - 10) General enhancement of quality of life of low and moderate income neighborhoods throughout the state.
3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

### Action Steps:

- 11) Support the acquisition and rehabilitation of facilities to house homeless persons or those at risk of homelessness.
  - 12) Provide funds to assist persons at risk of homelessness.
  - 13) Increase the amount of services provided to mentally ill homeless.
  - 14) Encourage programs to support children in homeless facilities to receive preventative and emergency medical care, as well as other development or cognitive services.
  - 15) Provide supportive services and housing-related services for persons who are HIV positive or have AIDS.
4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

### Action Steps:

- 16) Conduct an Analysis of Impediments to Fair Housing Choice in the state.
- 17) Convene fair housing and Title VI workshops in the state for local governments, grantees, housing providers, advocates and consumers.
- 18) Provide fair housing information throughout the state, informing citizens of their housing rights.

- 19) Encourage reporting of fair housing violations by making citizens aware of their rights and providing information on access to fair housing advocates and organizations in the state.

## **B. Assessment of Progress in Meeting Priorities**

This section speaks generally about the state's progress in working towards each of the four priority areas discussed above. The following section discusses in greater detail the state's progress in providing affordable housing and the state's performance in terms of the specific action steps associated with each of the four priority areas. While the action steps focus on shorter-term goals that can be accomplished in one to three years, the priority areas represent general and longer-term goals of the four Consolidated Partners.

### **Housing**

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

The State of Tennessee's first priority area specifically focuses on the provision of affordable housing opportunities throughout the state, whether through preserving the affordable housing stock that already exists, increasing the number of new affordable housing stock or by creating new homeownership opportunities. Each aspect of the first priority area and the state's progress is addressed individually.

In regards to preserving the affordable housing stock, both the CDBG and HOME Programs work towards this goal through homeowner and rental rehabilitation activities. In FY 2012-13, CDBG applicants proposed to rehabilitate 18 homes. In FY 2012-13, the HOME Program completed 197 homeowner rehabilitations, which comprised 63 percent of the total projects completed under the HOME Program during the reporting period. Three additional rental rehabilitations were also completed during the fiscal year.

Other programs that contribute to preservation of the affordable housing stock through rehabilitation include: THDA's BUILD Loan Program, the Community Investment Tax Credit (CITC) Program, the Low Income Housing Tax Credit (LIHTC) Program and Multifamily Bond Authority Programs, the Emergency Repair Program (ERP) and the Rural Repair Program (RRP). The ERP corrected, repaired or replaced an essential system and/or critical structural problem for 283 units during the reporting period and the Rural Repair Program completed an additional 103 rehabilitations. Out of the 1,200 units that will be created by the CITC Program and the 765 units that will be provided through the LIHTC Program, a portion of these will be rehabilitated units, rather than new construction.

A number of programs work to increase the amount of affordable housing in Tennessee by creating new single family and multifamily units and maintaining existing units. 104 new construction units were completed during the fiscal year with funds previously awarded through the HOME Program.

Other programs working to create new multifamily units include the CITC program, the LIHTC program and the Multifamily Bond Authority. During the fiscal year, these programs will contribute to the creation of 2,367 units of affordable housing.

New homeownership opportunities are created through the HOME Program and THDA's various Homeownership Programs. The four THDA Homeownership programs provided loans to 1,882 households in the amount of \$212,167,036. The Great Start Program provided the most loans, followed by the Great Rate, New Start and Great Advantage Programs.

The above programs and projects contribute to the completion of action steps one through six.

### **Community Development Needs**

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

All four of the Consolidated Plan grant programs contribute to each aspect of the second priority either directly or indirectly through the provision of services that contribute to the enhancement of infrastructure, community livability, health and safety and economic development. Each aspect of the priority area is discussed separately below.

In regards to infrastructure, three of the four Consolidated Plan grant programs contribute to the improvement of infrastructure in Tennessee. The CDBG program contributed 82 percent of its FY 2012-13 awards to public facilities and water and sewer programs. Approximately 10 percent of the funds will go towards clearance and relocation and approximately two percent will go towards residential rehabilitation. Each of these activities seeks to improve existing infrastructure or build new infrastructure. The HOME Program seeks to improve existing housing infrastructure or build new infrastructure through the provision of new affordable housing and the rehabilitation of existing affordable housing. ESG funds may be used towards the rehabilitation or conversion of shelters, which also contributes to existing housing infrastructure.

Other programs included in this report and contributing to the maintenance of housing infrastructure through both single and multi-family housing rehabilitation include: the LIHTC Program, the Multifamily Bond Authority, the BUILD Loan Program, the CITC Program, the ERP and the Rural Repair Program. Programs contributing to housing infrastructure through new construction of single and multi-family housing include: the LIHTC Program, the Multifamily Bond Authority, the BUILD Loan Program and the CITC Program.

The four Consolidated Partners see the availability of affordable housing and affordable housing opportunities as contributing to community livability. Similarly, the health and safety of neighborhoods and communities contribute to a community's livability. Each of the four formula programs contributes to community livability and the health and safety of communities. The CDBG

program contributes to livability through its housing rehabilitation, public facilities and water and sewer projects as well as to projects that are specifically qualified as Community Livability projects and involve the purchase of fire trucks, drainage improvements, building health and community centers and other proposed projects that contribute to the health and safety of the community. For the 2012-13 year, those projects totaled \$2,634,314. New construction and upgrades to public facilities, as well as water and sewer projects directly contribute to the health and safety of neighborhoods. Access to essential services and clean water are important to the health and safety of a community.

The HOME Program also contributes to the livability of communities by improving the affordable housing available through rehabilitation and new construction activities. Upgrades to existing housing works to create safe rental units and homeowner occupied units. All of the FY 2012-13 HOME funds were withheld during the reporting period while awaiting the approval of new regulations for the HOME program. The new rule was not published until July 24, 2013; therefore, THDA did not accept any applications or award any HOME funds during FY 2012-13. Access to the special services provided through the HOPWA and ESG programs also contribute to the livability of communities and the health and safety of those communities. Emergency shelters make communities more livable for homeless persons and those at risk of homelessness. The services provided through homeless prevention and rapid re-housing address the availability of safe and affordable housing. Finally, the HOPWA Program through its Housing Information Services, Housing Assistance and Permanent Housing activities make communities more livable for its beneficiaries. Supportive Services provided through the program also contribute to the health and safety of its participants.

Other programs that contribute to the livability of communities by providing new affordable housing, rehabilitation of existing affordable housing and rental opportunities include: the Section 8 Project Based and Tenant Based Housing Choice Voucher programs, the LIHTC Program, the Multifamily Bond Authority, the BUILD Loan Program, the CITC Program, THDA Homeownership Programs, the ERP and the Rural Repair Program.

Out of the four formula programs, the CDBG program is most involved in economic development activities. Economic development is one of the four project categories in which CDBG funds can be used. Of the funds available in FY 2012-13, 82 percent was used towards public facilities and water and sewer projects. These projects contribute to the economic development opportunities in the communities where the CDBG projects take place. Additionally, CDBG has funds available for specific economic development projects that are tied to direct job creation. 2012-13 funds were not set aside for these projects because the CDBG Economic Development Loan program has loan repayment funds available that must be drawn down prior to the allocation of regular round funding. In addition to the CDBG Program, the HOME Program contributes to economic development through the jobs that are created as a result of new construction and affordable housing rehabilitation. Although no HOME funds were awarded during the reporting period, past

HOME funded projects continue to contribute to the local economy through ongoing construction and rehabilitation.

Each year, THDA estimates the economic impact of THDA activities on the broader economy. In addition to benefiting individuals and families, THDA's affordable housing programs create additional jobs, income and spending in the local economy and add to state and local revenues. In 2012, the total contribution of THDA's affordable housing programs to the local economy was estimated at \$745 million. This estimation is the sum of direct THDA spending, indirect business to business transactions in Tennessee's economy and additional employee spending. Programs contributing to the total economic impact include: the Emergency Solutions Grant (ESG) Program, THDA Homeownership Programs, LIHTC, the Multifamily Bond Authority, the Section 8 Housing Choice Voucher Program, the CITC Program, the Rural Repair Program, the ERP for the Elderly and other THDA programs that are not detailed in this report.

Projects and programs discussed above contribute to the completion of action steps seven through ten.

3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

The third priority area focuses on supportive services for homeless individuals and other special needs populations. The two formula programs that are primarily responsible for addressing this priority area are the ESG and HOPWA Programs. ESG specifically provides services for individuals and families who are homeless and persons who are at risk of homelessness. The HOPWA Program provides housing assistance and related supportive services to persons living with HIV/AIDS and their families, which is considered a special needs population.

The 2011 ESG Second Allocation of funds, \$863,348, went primarily towards rapid re-housing activities and homeless prevention activities. Approximately one-half of the remaining \$2,756,409 was awarded to 11 entitlement Cities in a formula-based allocation and the remaining one-half was awarded on a competitive basis to non-profits throughout the State. The funds were awarded for the operation and maintenance of homeless shelters, essential services, homeless prevention, rapid re-housing, HMIS and program administrative costs. During the reporting period, the ESG program assisted over 7,958 individuals. In addition, ESG subrecipients currently provide 788 beds for homeless individuals.

During the reporting period, the HOPWA program assisted 468 individuals with HIV/AIDS and 999 affected family members. Beneficiaries of the program receive assistance in five areas. The first program area is the housing information services program, which may include counseling, information and referral services to help clients locate, acquire, finance and maintain housing. The second area is short-term rent, mortgage and utility payments, which intends to prevent

homelessness of either a tenant or mortgage holder over a specified period of time. The supportive services program is the third program component and includes health, mental health, drug and alcohol abuse treatment and counseling, day care, nutritional services, intensive care and assistance in gaining other local, state and federal government services. The fourth program area is the permanent housing placement program, which provides housing placement services to help clients establish a new residence. The final program area is the Ongoing Housing Assessment Plan, which provides periodic reviews of housing needs, investigation of homelessness and current health issues to help assist individuals manage resources, track progress and access community care.

The HOME Program also contributes to special needs populations' housing through the Special Needs Set Aside, which may comprise up to 10 percent of the total allocation of HOME funds. FY 2012-13 HOME funds were withheld during the reporting period and will be allocated in the following year.

The programs and activities discussed above work towards action steps 11-15.

4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

Each of the four formula programs contributed towards this priority area through program administration and in other efforts related to affirmatively furthering fair housing. The development of a statewide Analysis of Impediments to Fair Housing Choice and improvements made to activities that affirmatively further fair housing are explained in detail in Section VIII of this report. This section discusses how each program ensures subrecipients of the four formula programs are also working towards the action steps associated with each priority area.

In regards to the administration of the program, ECD ensures requirements related to fair housing and women and minority-owned businesses are fulfilled through its program requirements. All grantees of CDBG funds are required to comply with Civil Rights requirements and the Fair Housing Act. Grantees must demonstrate: affirmative steps to promote fair and equal access to housing; regardless of the grant or amount of grant; equal opportunities are afforded to all persons and no person shall be excluded or denied program benefits on the basis of race, color, religion, sex, national origin, age or disability; minority and female-owned businesses must be informed of grant funded contracts and affirmative steps must be taken to assure this; and to the greatest extent feasible, Section 3 resident and business concerns should be given preference in employment, training and contracting. The ways in which ECD has completed action steps and contributed to this priority area are described in Section VIII of this report.

The HOME Program, administered by THDA, states that "no person in the United States shall on the grounds of race, color, religion, sex, familiar status, national origin, age or disability be excluded from participation, denied benefits or subjected to discrimination under any program funded in whole or in part by HOME funds." Subrecipients of HOME funds must comply with all federal requirements that are applicable to HOME projects. THDA also requires local programs to adopt

affirmative marking procedures and requirements, which must be approved by THDA prior to any HOME funds being committed to a project of five or more units. Subrecipients are required to maintain records of their actions for HUD's Office of Fair Housing and Equal Opportunity (FHEO) monitoring purposes, including advertisements for employment and documentation of subsequent applications and individuals hired. HOME subrecipients receive fair housing training when they attend the HOME Workshops, which include a session dedicated to fair housing. Activities in which THDA engaged to fulfill its obligation to further fair housing and work towards this priority area are included in Section VIII of this report.

All ESG subrecipients must perform and document actions in the area of enforcement and promotion of affirmatively furthering fair housing. They must also carry out a minimum of one activity a year to promote fair housing. Non-discrimination and equal opportunity laws are also applicable to ESG projects and subrecipients. Publicity surrounding the availability of shelter facilities reaches all persons regardless of handicap, race, color, religion, sex, age, familial status or national origin. Activities conducted by THDA and related to this priority area are found in Section VIII of this document.

HOPWA project sponsors are required to comply with anti-discrimination legislation including the Americans with Disabilities Act, Title VI and the Fair Housing Act. Title II of the ADA directly influences neighborhoods where minimal public investment has led to poor living standards. HOPWA funds are made available to help upgrade and transform these neighborhoods. All HOPWA funds are used to assist clients regardless of race, color, religion, national origin, disability and familial status. HOPWA's contract with project sponsors contains anti-discrimination conditions and grantees are required to show proof of nondiscrimination and must post notices of nondiscrimination. Actions related to this priority area and completed during the reporting period by DOH are included in Section VII of this report.

The above actions by the Consolidated Partners in reference to the administration of their programs work towards the completion of action steps 18 and 19. Actions taken to conduct an Analysis of Impediments to Fair Housing Choice (action step 16) and efforts to convene fair housing and Title VI workshops (action step 17) are detailed in Section VII of this report.

## **VI. EVALUATION OF THE JURISDICTION'S PROGRESS IN PROVIDING AFFORDABLE HOUSING**

### **HUD Resources Made Available Under the Consolidated Planning Programs**

HUD's Performance Measurement Outcome System and the State of Tennessee's four priority areas emphasize the importance of providing affordable housing throughout the state. The following section provides an evaluation of the jurisdiction's progress in meeting the objective of providing affordable housing and also assesses the State's progress in terms of the action steps associated with each of the four priority areas. Each program, including programs that contribute to affordable housing, outside of the four Consolidated Plan grant programs, is addressed individually and the ways in which it contributes to the priority of providing affordable housing is described below. State Table 3B, included in Appendix E of this document, also shows the State of Tennessee's completion of affordable housing goals.

#### **A. Community Development Block Grant (CDBG) Small Cities Program**

During the reporting period, CDBG funds assisted with 33 affordable housing activities. The CDBG program acquired six units, created nine new units and rehabilitated 18 existing units. With FY 2013-14 funds, the CDBG Program proposes rehabilitating or rebuilding 15 housing units in two communities. Rehabilitation activities delivered through the CDBG Program specifically addresses Action Steps 1, 4, and 10.

#### **B. HOME Investment Partnership (HOME)**

The HOME Program works to provide affordable housing through homeowner rehabilitation, rental rehabilitation, homeownership and new construction. In FY 2012-13, the HOME Program contributed to the completion of 312 units of affordable housing, utilizing funds from various program years. Because the new HOME rule would apply to any grants with 2012 funds, THDA opted not to accept any applications for 2012-13 until the new rule was released. The new rule was not published until July 24, 2013; therefore, THDA did not accept any applications or award any HOME funds during FY 2012-13. All beneficiaries of the HOME program are low and moderate income. The activities provided by the HOME Program specifically address Action Steps, 1, 2, 3, 4, 6 and 10.

#### **C. Emergency Solutions Grants (ESG)**

ESG works to provide affordable housing through its Homeless Assistance and Homeless Prevention activities, which include Rapid Re-Housing and Homelessness Prevention. During the reporting period, the ESG Program assisted nearly 8,000 Tennesseans with ESG funds. The ESG program provided 788 bed spaces during the reporting period. Activities and services delivered through the ESG Program, specifically address Action Steps 5, 11, 12, 13 and 14.

#### **D. Housing Opportunities for Persons with AIDS (HOPWA)**

During the reporting period, the HOPWA program assisted 468 individuals with HIV/AIDS and 999 family members. The HOPWA Program provides for affordable housing through its Housing Information Services Program, Short-term Rent, Mortgage and Utility Payment Program and its Permanent Housing

Placement Program. The programs and services offered through the HOPWA Program specifically address Action Steps 11, 12, 14 and 15.

### **Other Resources Made Available**

#### **E. HUD Section 8 Housing Choice Voucher Program**

At the end of the reporting period, the Section 8 Housing Choice Voucher provided rental assistance to 6,151 households and \$32,643,531 was made available for the program. This program specifically addresses Action Steps 1, 2, 3 and 4.

#### **F. HUD Section 8 Contract Administration Program**

During FY 2012-13 the HUD Section 8 Contract Administration Program provided 29,001 units of affordable housing and Housing Assistance Payments in the amount of \$148,101,848. This program also addresses Action Steps 1, 2, 3 and 4.

#### **G. Low Income Housing Tax Credit (LIHTC) Program**

LIHTC issued \$4,394,017 in 2012 tax credits. The LIHTC Program will contribute to the creation or rehabilitation of 845 units of affordable housing. The LIHTC Program addresses Action Steps 1, 2 and 4.

#### **H. Multifamily Bond Authority**

The Multifamily Bond Authority made \$32,884,454 available to local issuers during Calendar Year 2012. These funds will contribute to the creation or rehabilitation of 620 units of affordable housing. The Multifamily Bond Authority addresses Action Steps 1, 2 and 4.

#### **I. THDA Homeownership Programs**

THDA's Great Rate, Great Start, Great Advantage and New Start homeownership programs provide opportunities for low and moderate income persons to purchase their first home. During the reporting period, THDA made 1,882 homeownership loans totaling \$212,167,036. The THDA Homeownership Programs address Action Steps 1 and 6.

#### **J. BUILD Loan Program**

The BUILD Loan Program builds the capacity of nonprofit organizations to provide affordable housing to low income Tennesseans. During the reporting period, two \$100,000 loans were made to Rutherford County Habitat for Humanity for the production of affordable housing. The BUILD Loan Program addresses Action Steps 1, 2 and 4.

#### **K. Community Investment Tax Credit (CITC)**

The CITC Program allows financial institutions to obtain a credit against the sum total of taxes imposed when qualified loans, investments, grants or contributions are extended to eligible housing entities for engaging in low income housing activities. Credits were awarded for 39 affordable housing projects during the reporting period. The CITC Program addresses Action Steps 1, 2 and 4.

#### L. Emergency Repair Program for the Elderly (ERP)

ERP provides grants to low income homeowners who are 60 years or older to correct, repair or replace an essential system and/or critical structural problem. During the reporting period, 283 units and 400 individuals were assisted with ERP funds. The ERP Program specifically addresses Action Steps 1, 3 and 4.

#### M. Rural Repair Program

The Rural Repair Program provides funds for the repair of homes of low income individuals. During the reporting period, the Rural Repair Program assisted 103 units of housing and 157 individuals. The Rural Repair Program specifically addresses Action Steps 1, 3 and 4.

### **VII. OTHER ACTIONS INDICATED IN THE CONSOLIDATED PLAN AND ACTION PLAN**

#### **A. Section 8 Family Self Sufficiency Program**

The Family Self Sufficiency (FSS) Program is a part of the HUD Section 8 Housing Choice Voucher Program, which began in 1990 as an effort to enable Section 8 participants to become self-sufficient or independent of welfare assistance. The program is administered by the Rental Assistance Division of THDA with additional federal funds to support FSS staff.

FSS participants sign a five-year contract in which they agree to find employment and identify goals for achieving financial independence. Staff assists participants in identifying goals and provides referrals for resources in the community. Participants are eligible for the establishment of an escrow account, which is based on increased income as a result of employment. The funds in the escrow account may be accessed by the participant once the contract is fulfilled or the family is paying all of their rent.

In 1998, the FSS program was mandated to have 181 participants. Since 1998, over 181 participants have graduated from the program, making the program voluntary. THDA has opted to have 235 slots available to Housing Choice Voucher participants.

#### **B. Rental Assistance Homeownership Voucher Program**

The THDA Homeownership Voucher Program offers a mortgage subsidy to low income families who are not able to afford to purchase a home through traditional financing. With the Homeownership Voucher Program, families typically pay 30 percent of their monthly-adjusted income (or the family's Total Tenant Payment) towards homeownership expenses and THDA pays the difference between the family Total Tenant Payment and the actual monthly mortgage payment. The mortgage assistance payment is paid directly to the lender or loan servicing company and not to the family. At the end of the reporting period, June 30, 2013, 58 home closings had occurred using this program.

### **C. Lead Based Paint**

Title X of the federal Residential Lead Based Paint Hazard Reduction Act of 1992 became effective on December 6, 1996. On September 26, 2000, the Tennessee Department of Environment and Conservation (TDEC) implemented a certification program and compiled a registry of certified lead inspectors, risk assessors, contractors and training facilitators.

In April 2001, HUD and the Environmental Protection Agency (EPA) issued a joint memorandum to clarify Title X requirements for rehabilitation of housing to clarify the definition of abatement under regulations issued by EPA and HUD. It also asserted in the memorandum that HUD and EPA regulations were complementary. On May 2, 2011, THDA and TDEC issued a joint memorandum that allows for the use of HUD regulations in rehabilitation projects. TDEC certified that lead based paint professionals must be used. These joint efforts have enabled rehabilitation efforts to continue.

Each of the four Consolidated Planning grant programs have lead based paint requirements. In regards to the CDBG and HOME programs, subrecipients must give participants of the program notice of possible lead hazards within the unit when the house is dated pre-1978 and must inform them of possible dangers. THDA distributes to all grantees the Lead Chapter of the HOME Operations manual, which provides further guidance for compliance with HUD regulations. Housing assisted with ESG funds are also subject to the Lead Based Paint Poisoning Prevention Act and based on the activity, must comply with various subparts of the Act.

## **VIII. ACTIONS TAKEN TO AFFIRMATIVELY FURTHER FAIR HOUSING**

### **A. Statewide Analysis of Impediments to Fair Housing Choice**

In October of 2011, the consolidated partners began a series of meetings to modify the current procedures regarding the Analysis of Impediments (AI) to Fair Housing Choice and the state's obligation to affirmatively further fair housing, as a result of comments made by HUD's Office of Fair Housing and Equal Opportunity (FHOO) in regards to the FY 2011-12 CAPER. These conversations continued throughout the year and resulted in a decision by the consolidated partners to hire a third-party consultant to assist in the development of a state-wide AI. In October of 2012, a Request for Proposals (RFP) was issued to secure a consultant. Six responses to the RFP were received and were scored and ranked by ECD and THDA staff according to state procurement policies. Western Economic Services (WES) was selected to complete the AI.

A planning meeting was held with ECD, THDA and WES in January, 2013. It was determined that WES would conduct a survey of the 40 largest non-entitlement municipalities in the state to assess local practices and land uses that may span a number of jurisdictions and might not be in the spirit of affirmatively furthering fair housing. WES would hold three fair-housing forums across the state to present information on the AI based on a review of the data available from sources such as the U.S. Census Bureau, the U.S. Bureau of Labor Statistic, the U.S. Bureau of Economic Analysis, etc. A Fair Housing Survey would also be conducted online to give all partners throughout the state a chance to answer questions about their view of fair housing in the state, the challenges and what is needed.

The Forums were held in Jackson, Nashville and Knoxville during the week of March 18. Participants included housing advocates, representatives of local service agencies, real estate agents, local elected officials and others. More than 140 people attended the forums and were given the chance to view, ask questions of and comment on the preliminary findings of the AI.

The Fair Housing survey was sent out in February and available through April. More than 850 people completed the survey weighing in on fair housing issues in the public and private sectors. Data from these sources combined with information on the Fair Housing complaints received in the state and data from the Community Reinvestment Act (CRA) and Home Mortgage Disclosure Act (HMDA) were combined to develop a list of impediments to fair housing choice in the state.

The draft of the AI was on the THDA and ECD websites for public comment in May 2013. Comments received were addressed by WES and incorporated in the final draft that was produced in June 2013 and submitted to ECD in July 2013.

Public and private sector impediments were identified along with suggested actions. Based on the AI, THDA and ECD developed Fair Housing Activities, presented in the Annual Action Plan, which will be implemented in the 2013-14 fiscal year. These activities address the identified impediments and show the Consolidated Partners' commitment to affirmatively furthering fair housing in Tennessee. The Consolidated Partners will use the AI for the next several years to continue to address impediments. The final AI is available on the THDA and ECD websites.

## **B. Fair Housing Activities and Training**

The activities of each agency administering the four Consolidated Plan grant programs are described below.

### **1. Tennessee Department of Economic and Community Development (ECD), CDBG Program**

ECD assumed the lead role for developing and securing a statewide Analysis of Impediments to Fair Housing Choice (AI) study over the past year. ECD budgeted \$48,275 for the development of an AI. Additionally, each grantee is required to conduct an activity that affirmatively furthers fair housing. This is not a specific budget line-item but it is an eligible expense for the communities. For the 2012-2013 year, approximately 50 fair housing activities will be conducted in the local communities. The Annual Action Plan addresses other fair housing activities and the expected costs of completion.

The overall programmatic activities funded through the CDBG program work towards furthering fair housing across the state. CDBG projects are targeted at improvements, which benefit low and moderate income people, as well as minorities, persons with disabilities, elderly persons and female-headed households. ECD collects and analyzes data on those served by CDBG projects and reports findings to HUD annually.

In its CDBG manual, ECD informs all grantees of their roles and responsibilities and program requirements. Each grantee is required to conduct a fair housing activity, must document relocation that occurs due to grant activities, must include equal opportunity language in their contracts, must create and post an Equal Opportunity Employer policy, must follow Section 3 requirements, must follow

Section 504, must involve minority and female contractors and must complete contractor activity reports that outline contracts.

ECD also sponsored, assisted in the planning for, and attended the Tennessee Fair Housing Matters Conference during fair housing month. Additionally, an ECD representative attended a Fair Housing Conference in Memphis, TN. Together with the consolidated partners, ECD will assist in creating and implementing a strategy to address impediments found in the AI. Throughout the year, ECD will disseminate findings of the AI to subrecipients and will provide educational opportunities for subrecipients on identifying fair housing issues and working to improve existing impediments.

## 2. Tennessee Housing Development Agency (THDA), HOME and ESG Programs

THDA has participated in the planning for a statewide AI with the Consolidated Partners over the last two years and assisted with the development of a timeline to complete the AI and a fair housing plan. THDA is also responsible for providing updates on the state's progress through Consolidated Planning documents, such as the Annual Action Plan and CAPER, and has provided periodic updates on progress as requested by FHEO. Aside from planning the completion of the AI and a statewide fair housing plan, THDA engages in a number of fair housing activities through the HOME and ESG programs, as well as other programs administered by THDA that are included in this report.

HOME Program Requirements detailed in the HOME Program Description state that "no person in the United States shall on the grounds of race, color, religion, sex, familiar status, national origin, age or disability be excluded from participation, denied benefits or subjected to discrimination under any program funded in whole or in part by HOME funds." The Program Description also details federal requirements as set forth in 24 CFR 5.105(a) that are applicable to HOME projects and include: 24 CFR Part 100, 24 CFR Part 107, 24 CFR Part 1, 24 CFR Part 146, C24 CFR Part 8, and 42 USC 12101 et seq. The HOME Operations Manual further discusses applicable federal laws, executive orders and regulations that pertain to fair housing and equal opportunity. THDA HOME grantees must comply with each of the federal laws, executive orders and regulations detailed in Chapter 6, Section 2.1 of the HOME Operations Manual.

Local programs are also required to adopt affirmative marketing procedures and requirements, which must be approved by THDA prior to any HOME funds being committed to a project of five or more units. One requirement of affirmative marketing is detailing the methods for informing the public, owners and potential tenants about fair housing laws and the local program's policies.

In addition to meeting all requirements of the HOME Program Description and HOME Operations Manual, grantees have certain responsibilities to ensure protected persons or groups are not denied benefits. Responsibilities of grantees, which are undertaken throughout the progress of the projects, are outlined in Chapter 6, Section 3 of the HOME Operations Manual. In addition, the HOME Operations Manual requires certain activities of grantees and include: a minimum of one fair housing activity, which includes distribution of the *Fair Housing Equal Opportunity for All* pamphlet to each program applicant, Section 3 activities and documentation, creation and distribution of a policy of nondiscrimination, Equal Opportunity requirements in construction-generated employment, minority and female solicitation, Section 504 requirements, site and neighborhood standards and consideration of fair housing and local zoning ordinances.

Grantees are required to maintain records of their actions for FHEO monitoring purposes, including advertisements for employment and documentation of subsequent applications and individuals hired. An extensive list of recordkeeping requirements are found in Chapter 6, Section 5 of the HOME Operations Manual. Grantees are monitored during the duration of a project and an Equal Opportunity/Fair Housing/Title VI checklist is used by program monitors to determine compliance with requirements, responsibilities, activities and recordkeeping. This checklist also contains questions regarding complaints filed and any indications of Equal Opportunity and Fair Housing policy violations.

HOME Recipients attend the HOME Workshop, which includes a session dedicated to fair housing, each year at THDA's headquarters. Tracy McCartney, the Executive Director of the Tennessee Fair Housing Council, conducted the fair housing session in 2012. Training for HOME grantees included fair housing basics, fair housing law, how to identify fair housing issues and ways to make the public and clients aware of fair housing and ways to affirmatively further fair housing. The training is attended by HOME administrators located throughout the state. Supplemental fair housing information is provided in the HOME Operations Manual, which is available to the public on THDA's website.

As detailed in the ESG Program Description, all ESG recipients must perform and document action in the area of enforcement and promotion to affirmatively further fair housing. During the grant year, recipients must carry out a minimum of one activity to promote fair housing. Nondiscrimination and equal opportunity laws are also applicable to ESG programs and recipients. The ESG Program Manual requires all grantees to make facilities and services available to all persons and families on a nondiscriminatory basis. Publicity surrounding the availability of shelter facilities should reach all persons regardless of handicap, race, color, religion, sex, age, familial status or national origin. Grantees must also establish additional procedures to disseminate information to those interested in handicap accessible services and facilities. Information regarding fair housing requirements and activities can be found in the ESG Program Guidelines and the ESG Program manual, which is available to the public on THDA's website.

Other THDA programs also engage in fair housing activities similar to those of the ESG and HOME Program. Each year, THDA hosts the annual "Peer Session" for education providers of THDA's Homebuyer Education Initiative (HBEI). HBEI agencies providing education to potential homebuyers use the *Realizing the American Dream* manual and deliver training on the Fair Housing Act through multiple curriculum components. The manual used by HBEI agencies covers the rights of potential borrowers or homeowners and helps them identify fair housing issues through examples. Information is provided regarding the Equal Credit Opportunity Act, Truth in Lending Act, Fair Credit Billing Act, Fair Credit Reporting and the Fair Debt Collection Practices Act. Homebuyer education is required for THDA loan programs that provide down payment assistance (Great Advantage and Great Start) and is voluntary for THDA's other loan programs.

Various THDA staff members attend statewide and national training events that include fair housing components. During the reporting period, 39 employees across five divisions attended the Fair Housing Matters Conference, two employees attended the West Tennessee Fair Housing Celebration, 1 employee completed the Tennessee Southeastern Affordable Housing Management Association course on Fair Housing Compliance and 2 employees attended the TN Fair Housing Council's Fair Housing for Multifamily Providers training.

In September 2012, THDA entered into an agreement with the Tennessee Fair Housing Council to provide fair housing training to THDA staff. The training also includes a segment specifically dedicated to

Title VI. The goal is to train 100 percent of THDA's staff. While program staff will receive training that is designed specifically for their programs, non-program staff will have the option to attend basic training designed to cover the basics of the Fair Housing Act and Title VI.

In September 2012, THDA entered into an agreement with the Fair Housing Council for the provision of fair housing training for THDA staff. The training includes in-depth fair housing training that is tailored to each of THDA's various program divisions. For example, support staff will be provided an overview of fair housing, while staff working for certain programs will receive training specific to the requirements and details of that program. Also included in the fair housing training sessions provided by the Fair Housing Council, will be a Title VI training conducted by THDA's Assistant Legal Counsel.

During FY 2012-13, THDA conducted three fair housing training events in order to properly train all THDA staff. Training costs totaled \$7,706. Each training event consisted of both Fair Housing and Title VI. The Fair Housing training lasts approximately three to four hours and covers general and program specific information facilitated by Tracey McCartney of the TN Fair Housing Council. The Title VI training lasts approximately 45 minutes and is presented by THDA's Assistant Chief Legal Counsel, Bruce Balcom. During the reporting period, 78 percent of THDA staff members received Fair Housing and Title VI training. Additional events are scheduled for FY 2013-14 to expand the number of THDA employees that receive this training.

Each year, THDA hosts the Tennessee Governor's Housing Summit, a two-day event that provides informational sessions to affordable housing professionals on topics related to providing safe, sound and affordable housing opportunities for Tennesseans. In 2012, specific topics included the effects of homebuyer education, sustaining communities, and a review of Tennessee's Housing Needs Assessment. In April of 2013, THDA assisted the Tennessee Human Rights Commission with planning the West Tennessee Fair Housing Celebration. This one-day event in Memphis included a keynote presentation on Fair Housing Needs Fair Lending. Additional sessions included Trends in Fair Housing from HUD's Sara Pratt and Analysis of Impediments. The TN Fair Housing Matters Conference, also taking place in April and hosted by the Tennessee Fair Housing Council, included sessions on Fair Housing, Identifying and Addressing Obstacles to Fair Housing, and Fair Housing and Mental Disabilities.

THDA continues to update and refine the Fair Housing and Title VI Compliance sections of THDA's website. The webpages include a general overview of Title VI and the Fair Housing Act, fair housing legislation, examples of housing discrimination, fair housing resources and procedures for filing a fair housing complaint with HUD's Office of Fair Housing and Equal Opportunity or the Tennessee Human Rights Commission (THRC). Additionally, the AI is posted on THRC's website. THDA also continues to examine and improve the Limited English Proficiency policies and procedures. The LEP policies and procedures provide guidelines for THDA staff who encounter individuals whom may have difficulty understanding or speaking English. The procedures help to ensure that resources or services are effectively provided to individuals with limited English proficiency. THDA staff is also refining a system to track LEP encounters and continues to utilize the telephone-based AVAZA language interpreting service.

In September of 2012, THDA staff completed a state-wide Housing Needs Assessment. The report looks closely at housing needs, the major housing programs of THDA and other housing agencies and finally, aligns housing needs with housing programs and examines which areas could be better assisted. Data included in this report helps THDA identify underserved populations, as well as underserved areas of the state. Combined with the use of GIS technology and HUD mapping capabilities, the information provided in the study will assist THDA with identifying areas of minority and poverty concentration, identifying

the location of THDA programs and projects and determining what areas THDA should focus its affordable housing efforts.

THDA's Executive Director serves on the Tennessee Council on Developmental Disabilities and on the Tennessee Department of Mental Health and Substance Abuse Services, Mental Health Policy and Planning Council. The meetings are regularly attended by the Executive Director's designee and help ensure that THDA's efforts are known by the disability and mental health communities. Additionally, the agency's role on these councils keeps our program directors informed of emerging and persistent issues around housing for the populations served.

THDA has also worked with the TennCare Bureau (Tennessee's Medicaid Agency) to collaborate on housing needs for TennCare clients moving from institutional settings into community-based rental housing. Progress was made during FY2012-13, but a more substantive report can be expected next year on a fully executed agreement and preliminary programmatic outcomes.

### 3. Tennessee Department of Health

HOPWA is involved in a number of fair housing initiatives that positively impact HOPWA grantees and beneficiaries both directly and indirectly. Title II of the ADA prohibits discrimination against persons with disabilities in all services, programs and activities made available by state and local governments. HOPWA project sponsors are required to comply with anti-discrimination legislation including The Americans with Disabilities Act, Title VI and the Fair Housing Act. Title II of the ADA directly influences neighborhoods where minimal public investment has led to poor living standards. HOPWA funds are made available to help upgrade and transform these neighborhoods. Upgrades are often made to make public housing safer and to make more units available for homeless and disabled populations. The majority of HOPWA funds are used for Supportive Services in Tennessee, which include: health and mental health assessment; drug and alcohol abuse treatment; counseling; day care; nutritional services; intensive care when required; and assistance in gaining access to local, state and federal government benefits and services. Although the Supportive Services category does not emphasize housing assistance (which is covered in other service categories including Housing Information Services, the Short-Term Rent, Mortgage and Utility Payment Program and the Permanent Housing Placement Program) all funds in the Supportive Services category are used to assist HOPWA beneficiaries regardless of race, color, religion, national origin, disability and familial status. In regards to the delivery of services through the Housing Information Services, Short-Term Rent, Mortgage and Utility Payment Program and the Permanent Housing Placement Program, both HOPWA and Service Providers comply with all fair housing and anti-discrimination laws. Additionally, HOPWA is involved with job fairs, which promote fair housing practices and training, including issues regarding lead paint and other safety factors that may impede the health of residents.

HOPWA providers participate in webinars that prepare communities for the release of \$17 million targeting 132 homeless programs in Tennessee. These webinars contain information regarding anti-discrimination and fair housing regulations that providers of HOPWA funds must comply with. HOPWA providers also attend Veteran Career Expos regarding the needs of homeless veterans in Tennessee. HOPWA providers use these forums to assist in supporting program development needs around affordable housing. Providers who are interested in expanding affordable housing options and fair housing information are allowed to do so with HOPWA funds.

Section D.8. of HOPWA's contract with providers contains anti-discrimination conditions. It states that no person will be excluded from participation, denied benefits or subjected to discrimination in the performance of the grant contract or in the employment practices of the grantee on the grounds of handicap or disability, age, race, color, religion, national origin or any other classification protected by Federal or Tennessee State constitutional or statutory law. Grantees are required to show proof of nondiscrimination upon request and must post notices of nondiscrimination.

HOPWA is currently reviewing its contracts with project sponsors and program materials to strengthen language surround fair housing. HOPWA staff is also interested in learning more about furthering fair housing through HOPWA activities and becoming more involved in fair housing activities taking place throughout Tennessee, including the Tennessee Fair Housing Matters conference being held each year.

#### 4. Collaborative Activities Conducted by the Consolidated Partners

Although each agency conducts activities tailored to the programs it administers throughout the year, the Consolidated Partners came together throughout the reporting period to plan and develop ways to improve fair housing activities and fulfill the State's obligation to affirmatively further fair housing. As previously mentioned, the Consolidated Partners met periodically during the reporting period to develop and work towards completing the AI. Additionally, the Consolidated Partners have continued to collaborate not only with one another but also with other state agencies.

The Consolidated Partners will continue to work together in FY 2013-14 to complete some of the activities that were started in FY 2012-13. Following the completion of the AI, the Consolidated Partners will work to create a statewide fair housing plan and will adjust both agency and collaborative fair housing activities to overcome the barriers and impediments to fair housing choice that are identified in the AI. The Consolidated Partners anticipate meeting regularly to discuss and address the findings of the AI once the results are available for analysis. Together, the Consolidated Partners will continue to address the recommendations of the AI and create a state-wide fair housing plan that covers the delivery of services through the four formula programs. The Consolidated Partners realize the process will take time and are committed to improving their processes and procedures in regards to fair housing.

## IX. ADDITIONAL INFORMATION AND FUTURE ACTIONS

### A. Public Participation and Public Comments

Each year, the State of Tennessee provides a summary of the CAPER on THDA and Tennessee Department of Economic and Community Development's websites and at each of the nine Development District offices for citizen review. Social media is also used to engage public participation. Citizens may access the summary and a public comment forum on THDA's website. Instructions for viewing and commenting on the summary are included in the summary and in a public notice that is published in both English and Spanish in newspapers throughout the state. This year, the notice was published in seven newspapers in both English and Spanish. The names of the publications in which the notices appear are:

- Memphis Commercial Appeal
- Jackson Sun
- Nashville Tennessean

- Clarksville Leaf-Chronicle
- Chattanooga Free Press
- Knoxville News Sentinel
- Johnson City Press

The notice was published on Thursday, September 12, 2013. The summary and the public comment forum were made available on THDA's website until September 27, 2013, which meets the requirements set forth in the State of Tennessee's Citizen Participation Plan. During the public comment period, no public comments were received.

## **B. Future Actions**

The State of Tennessee will continue to administer the four formula programs covered in the Five-Year Consolidated Plan and work towards the goals set forth in the four priority areas. While the priority areas represent longer term goals, the Action Steps represent actions the four formula programs seek to carry out annually, in order to reach longer term goals. The State of Tennessee will also continue to work with local public housing authorities, as they work to adopt their long-term plans. Currently, the State of Tennessee assists with reviewing the plans of public housing authorities to ensure they are consistent with the State's Consolidated Plan. Aside from reporting, the Consolidated Partners will also continue to collaborate on housing related issues ranging from fair housing, to the new E-Con Planning Suite, to meeting specific housing and community actions steps as outlined in the Consolidated Plan. In regards to reporting, the administering agencies will continue to report on the activities of the CDBG, HOME, ESG and HOPWA programs. The four agencies will also continue to complete the Annual Action Plan, which states the intentions and goals of each program for the following fiscal year. The Consolidated Partners have already participated in a number of webinars hosted through HUD's E-Con Planning Suite and will continue to participate throughout the year. The Consolidated Partners will continue to follow the progress and educate themselves on the new Consolidated Planning tools.

The Consolidated Partners intend to make significant progress in fair housing. Each agency recognizes their obligations to further fair housing in Tennessee. The completion of the AI and a subsequent fair housing plan are the first steps in working to identify and overcome impediments and barriers to fair housing in the state. The Consolidated Partners will continue to make efforts in the area of fair housing over the coming year and will update HUD and FHEO as goals are met.

Although the State is not involved in providing direct services through the four formula programs, the State is responsible for ensuring funds are made available to local government and non-profit agencies throughout Tennessee that are capable of delivering services to local communities and individuals in need. While the State of Tennessee has identified specific target areas and community development and affordable housing goals, communities have the flexibility to use funds to reach their populations most efficiently and effectively. The State will continue to ensure funds from the four formula programs are used in a manner that is consistent with the Consolidated Plan, targets those who need assistance the most, and provides for the completion of community development and affordable housing goals.

**STATE OF TENNESSEE**  
**FY 2012-13 CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT**  
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# **Appendix A**

FY 2012-13

State Grant Performance/Evaluation Report

Prepared By:

State of Tennessee

Department of Economic & Community Development

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

**Part 1**

<b>State: Tennessee</b>					<b>Reporting Period FY: 1997</b>							
<b>Grant Number: B-97-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>							
<b>1. Financial Status</b>					<b>2. National Objectives</b>							
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 1997 to FY1997</b>							
					<b>B. Amount Used to:</b>							
<b>(1) Allocation:</b> \$29,886,000					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$30,650,385							
<b>(2) Program Income:</b> \$3,470,000					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0							
					<b>(3) Meet Urgent Community Development Needs:</b> \$0							
<b>B. Amount Obligated to Recipients:</b> \$32,640,709					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0							
<b>C. Amount Drawn Down:</b> \$29,023,205					<b>(5) Local Administration:</b> \$1,990,324							
<b>D. Amount for State Administration:</b> \$697,720												
<b>E. Technical Assistance:</b> \$0					<b>TOTAL:</b> \$32,640,709							
<b>F. Section 108 Loan Guarantees:</b> \$0												
					<b>8. Accomplishments</b>							
					<b>Proposed</b>				<b>Actual</b>			
<b>3. Locality</b>	<b>3a. Status</b>	<b>4. Activity</b>	<b>4a. Status</b>	<b>5. Amount</b>	<b>6. Purpose</b>	<b>7. Nat'l Objective</b>	<b>a. # of Units/Loans</b>	<b>b. Total # of Persons Jobs</b>	<b>c. Total # of L/M Persons / L/M Jobs</b>	<b>d. # of Units/Loans</b>	<b>e. Total # of Persons/ Jobs</b>	<b>f. Total # of L/M Persons/ L/M Jobs</b>
Adams	C	6		\$124,850	PF	LMI	N/A	622	502	N/A	622	502
		13		\$8,000								
Alexandria	C	13		\$18,500	PF	LMI	N/A	666	490	N/A	666	490
		4a		\$481,500								
Algood	C	1		\$3,000	PF	LMI	N/A	1,640	1,179	N/A	1,640	1,179
		4b		\$474,500								
		13		\$22,500								
Allardt	C	4a		\$336,250	PF	LMI	N/A	179	149	N/A	182	166
		13		\$16,500								
Atoka	C	1		\$20,000	PF	LMI	N/A	243	193	N/A	187	138
		4b		\$443,500								
		13		\$36,500								

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Baxter	C	4a		\$482,500	PF	LMI	N/A	3,394	2,484	N/A	3,394	2,484
		13		\$17,500								
Bedford Co.	C	4a		\$457,500	PF	LMI	N/A	249	189	N/A	224	176
		13		\$16,500								
Big Sandy	C	4b		\$268,320	PF	LMI	N/A	551	462	N/A	551	462
		13		\$15,480								
Bradley Co.	C	4a		\$479,750	PF	LMI	N/A	371	316	N/A	138	128
		13		\$20,250								
Brighton	C	4b		\$105,923	PF	LMI	N/A	1,256	977	N/A	1,256	997
		13		\$6,760								
Brownsville (IFC Disposables)		14b(P)		\$500,000	PF	LMI	N/A	211	165			
Campbell Co.	C	4a		\$475,500	PF	LMI	N/A	203	128	N/A	182	182
		13		\$24,500								
Campbell Co. [Camel Manufacturing]		14b(P) 13(P)		\$740,000 \$10,000	ED	LMI						
Carroll Co.	C	6		\$281,205	PF	LMI	N/A	3,556	2,614	N/A	3,556	2,614
		13		\$17,550								
Caryville	C	4a		\$484,000	PF	LMI	N/A	7,444	5,241	N/A	8,408	5,919
		13		\$16,000								
Chester Co.	C	4a		\$356,900	PF	LMI	N/A	267	135	N/A	188	163
		13		\$17,500								
Claiborne Co. [Oakwood Furniture]		14b(P) 13(P)		\$350,000 \$10,000	ED	LMI						
Cleveland	AC	4b		\$350,392	PF	LMI	N/A	344	323	N/A	0	0
		13		\$20,650								
Cocke Co.	AC	4a		\$396,287	PF	LMI	N/A	248	191	N/A	182	146
		13		\$10,850								
Collinwood	C	4a		\$484,000	PF	LMI	N/A	1,451	876	N/A	1,451	876
		13		\$16,000								
Coopertown	C	6		\$136,920	PF	LMI	N/A	3,060	2,491	N/A	3,060	2,491

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		13		\$10,080								
Covington	C	4b		\$210,573	PF	LMI	N/A	40	40	N/A	40	40
		13		\$19,000								
Dayton	C	4a		\$481,500	PF	LMI	N/A	396	261	N/A	343	236
		13		\$18,500								
Decatur Co.	C	6		\$214,289	PF	LMI	N/A	1,714	1,253	N/A	1,717	1,236
		13		\$13,150								
Dunlap	C	4b		\$215,573	PF	LMI	N/A	48	34	N/A	42	35
		13		\$16,500								
Dyer Co.	C	6		\$52,203	PF	LMI	N/A	400	249	N/A	400	249
		13		\$7,275								
Englewood	C	1		\$20,000	PF	LMI	N/A	364	227	N/A	251	162
		4a		\$455,500								
		13		\$24,500								
Etowah (United Sewing)		1		\$1,000	ED	LMI	1G	40	21			
		13		\$8,200								
		14b		\$218,220								
Fayetteville (Beowulf)		14b(P)		\$20,000	ED	LMI						
Gainesboro	C	1		\$21,000	PF	LMI	N/A	1,148	923	N/A	1,148	923
		4b		\$459,500								
		13		\$19,500								
Gallatin [ABC Group Fuel Sys]		14b		\$245,935	ED	LMI						
		13		\$16,500								
Galloway	C	4a		\$480,500	PF	LMI	N/A	605	500	N/A	605	500
		13		\$19,500								
Halls	C	1		\$3,000	PF	LMI	N/A	75	72	N/A	61	56
		4b		\$315,700								
		13		\$24,000								
Hartsville	C	4a		\$478,500	PF	LMI	N/A	5,549	4,045	N/A	5,549	4,045
		13		\$16,500								

**U.S. DEPARTMENT  
OF HOUSING AND  
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					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Hohenwald	C	4b		\$483,500	PF	LMI	N/A	3,247	2,333	N/A	3,247	2,333
		13		\$16,500								
Houston Co. (Southern Gage)		13(P) 14b(P)		\$16,500 \$733,500	ED	LMI	1L	100	51			
Houston Co.	C	4a		\$480,900	PF	LMI	N/A	238	203	N/A	285	250
		13		\$17,500								
Humboldt	C	1		\$38,000	H	LMI	23	50	46	N/A	23	23
		2		\$12,000								
		8		\$187,800								
		9a		\$208,500								
		13		\$53,700								
Huntingdon	C	4b		\$470,500	PF	LMI	N/A	3,452	2,175	N/A	3,452	2,175
		13		\$29,500								
Jacksboro	C	6		\$98,615	PF	LMI	N/A	2,043	1,446	N/A	2,043	1,446
		13		\$7,890								
Jackson Co.	C	1		\$4,000	PF	LMI	N/A	159	143	N/A	139	120
		4a		\$476,000								
		13		\$20,000								
Jellico	C	13		\$15,000	PF	LMI	N/A	2,648	2,304	N/A	2,317	2,016
		4b		\$485,000								
Johnson County	C	6		\$286,000	PF	LMI	N/A	2,921	2,588	N/A	2,921	2,588
		13		\$14,000								
Lauderdale Co.	C	4a		\$426,321	PF	LMI	N/A	496	407	N/A	496	407
		13		\$26,500								
Lenoir City	C	4b		\$473,500	PF	LMI	N/A	1,545	1,230	N/A	1,545	1,230
		13		\$26,500								
Lewis Co.	C	4a		\$483,500	PF	LMI	N/A	169	111	N/A	145	76
		13		\$16,500								
Liberty	C	9a		\$467,000	H	LMI	N/A	96	68	N/A	24	24
		13		\$33,000								
Lobelville	C	4a		\$360,400	PF	LMI	N/A	1,020	877	N/A	1,020	877

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		13		\$17,000								
Marion Co.	C	1		\$2,000	PF	LMI	N/A	438	326	N/A	480	375
		4a		\$473,000								
		13		\$25,000								
Maury Co.		13(P)		\$3,000	ED	LMI	1L	96	49			
(American Banknote Co.)		14b(P)		\$497,000								
Maynardville		13(P)		\$5,000	ED	LMI	1L	21	14			
(O'Quinn Ent.)		14b(P)		\$140,000								
McEwen	C	4b		\$386,200	PF	LMI	N/A	1,417	1,013	N/A	1,417	1,013
		13		\$18,000								
McMinnville	C	2		\$15,300	H	LMI						
		8		\$234,700				52	52		30	30
		9a		\$198,500								
		13		\$51,500								
McNairy Co.	C	6		\$138,692	PF	LMI	N/A	935	593	N/A	935	593
		13		\$8,600								
Middleton	C	6		\$119,572	PF	LMI	N/A	4,644	2,804	N/A	4,644	2,804
		13		\$9,228								
Monteagle	C	4a		\$482,500	PF	LMI	N/A	1,709	1,077	N/A	1,709	1,077
		13		\$17,500								
Monroe County	C	4a		\$483,890	PF	LMI	N/A	382	272	N/A	335	289
		13		\$16,110								
Moore Co.	C	4a		\$484,500	PF	LMI	N/A	311	276	N/A	311	226
		13		\$15,500								
Moscow	C	4b		\$195,451	PF	LMI	N/A	338	278	N/A	338	278
		13		\$16,199								
Mountain City	C	4a		\$15,000	PF	LMI	N/A	6,033	3,320	N/A	6,033	3,320
		13		\$485,000								
New Hope	C	4a		\$476,620	PF	LMI	N/A	365	248	N/A	402	290
		13		\$18,500								
New Johnsonville	C	4a		\$294,550	PF	LMI	N/A	1,824	1,140	N/A	1,824	1,140

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		13		\$17,500								
Newport	C	4a		\$482,840	PF	LMI	N/A	14,858	12,315	N/A	14,858	12,315
		13		\$17,160								
Newport		14b(P)		\$340,000	ED	LMI						
(Metal Tech of TN, LLC)		13(P)		\$10,000								
Oakland	C	4b		\$195,065	PF	LMI	N/A	589	364	N/A	589	364
		13		\$14,500								
Petersburg	C	6		\$28,621	PF	LMI	N/A	939	775	N/A	939	775
		13		\$4,500								
Pickett Co.	C	4a		\$192,516	PF	LMI	N/A	68	54	N/A	77	60
		13		\$13,884								
Ramer	C	4a		\$195,050	PF	LMI	N/A	498	348	N/A	498	348
		13		\$12,450								
Ridgely	C	4a		\$466,500	PF	LMI	N/A	2,411	1,519	N/A	2,411	1,519
		13		\$33,500								
Samburg	C	2		\$44,000	H	LMI	10	30	18	5	18	18
		8		\$205,000								
		9a		\$118,450								
		13		\$48,050								
Savannah	C	1		\$3,000	PF	LMI	N/A	7,443	5,672	N/A	7,443	5,672
		4b		\$480,620								
		13		\$16,380								
Savannah		13(P)		\$5,000	ED	LMI	1L	13	7			
(H & H Metal)		14b(P)		\$90,000								
Scott Co.	C	4a		\$481,928	PF	LMI	N/A	153	130	N/A	210	180
		13		\$18,072								
Sevier Co.	C	4a		\$470,500	PF	LMI	N/A	274	233	N/A	164	159
		13		\$29,500								
Smith Co.	C	4a		\$402,500	PF	LMI	N/A	1,563	1,185	N/A	1,563	1,185
		13		\$17,500								
Spring City	C	1		\$500	PF	LMI	N/A	1,817	1,206	N/A	1,817	1,206

**U.S. DEPARTMENT  
OF HOUSING AND  
URBAN DEVELOPMENT**

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		4a		\$481,000								
		13		\$18,500								
Stewart Co.	C	6		\$281,500	PF	LMI	N/A	10,774	10,009	N/A	10,774	10,009
		13		\$18,500								
Tellico Plains	C	1		\$2,850	PF	LMI	N/A	4,008	2,465	N/A	4,008	2,465
		4a		\$464,700								
		13		\$32,450								
Tennessee Ridge	C	4b		\$415,356	PF	LMI	N/A	372	323	N/A	372	323
		13		\$18,000								
Tipton Co.	C	6		\$280,500	PF	LMI	N/A	13,183	9,241	N/A	13,183	9,241
		13		\$19,500								
Van Buren Co.	C	1		\$27,500	H	LMI		65	65		26	26
		2		\$4,000								
		8		\$6,500								
		9a		\$408,955								
		13		\$42,300								
Vanleer	C	13		\$16,106	PF	LMI	N/A	1,767	1,646	N/A	1,767	1,646
		4a		\$483,894								
Waynesboro	C	4a		\$374,739	PF	LMI	N/A	2,489	1,690	N/A	2,489	1,690
		13		\$16,500								
Wilson Co.	C	3		\$283,500	PF	LMI	N/A	40,949	40,417	N/A	40,949	40,417
		13		\$16,500								
<b>GRAND TOTAL</b>				\$32,640,709								

## STATE GRANT PERFORMANCE/EVALUATION REPORT

### Part III: Civil Rights

State of Tennessee

Grant Number: B-97-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Alexandria	Sewer System	0	0	0	0	0	0	623	41	2	0	0	192
Atoka	Sewer Line Extension	215	24	0	0	4	28	0	0	0	0	0	0
Baxter	Water System	0	0	0	0	0	0	3,316	18	12	42	6	499
Bedford Co.	Water Line Extension	241	8	0	0	0	15	0	0	0	0	0	0
Big Sandy	Sewer System	0	0	0	0	0	0	587	0	0	0	0	48
Brighton	Sewer System	0	0	0	0	0	0	972	269	5	6	4	148
Campbell Co.	Water Line Extension	182	0	0	0	0	20	0	0	0	0	0	0
Carroll Co.	Fire Protection	0	0	0	0	0	0	3,259	297	0	0	0	314
Cheatham Co.	Health Clinic	0	0	0	0	0	0	14,234	231	0	0	0	0
Chester Co.	Water Line Extension	168	0	0	0	2	7	0	0	0	0	0	0
Cleveland	Sewer Line Extension	344	0	0	0	0	42	0	0	0	0	0	0
Cocke Co.	Water Line Extension	248	0	0	0	0	24	0	0	0	0	0	0
Collinwood	Water System	0	0	0	0	0	0	1,390	0	55	0	6	309
Covington	Sewer Line Extension	37	3	0	0	0	11	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-97-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Dayton	Water Line Extension	372	0	24	0	0	27	0	0	0	0	0	0
Decatur Co.	Fire Protection	0	0	0	0	0	0	1,647	69	1	0	0	172
Dover	Fire Protection	0	0	0	0	0	0	1,171	39	2	10	0	211
Dresden	Fire Protection	0	0	0	0	0	0	3,529	208	4	2	0	468
Dunlap	Sewer Line Extension	48	0	0	0	0	5	0	0	0	0	0	0
Dyer Co.	Fire Protection	0	0	0	0	0	0	296	104	0	0	0	75
Elkton	Fire Protection	0	0	0	0	0	0	1,744	172	0	0	0	138
Englewood	Water Line Extension	361	0	3	0	0	11	0	0	0	0	0	0
Ethridge	Fire Protection	0	0	0	0	0	0	3,585	22	11	0	34	307
Gainesboro	Sewer System	0	0	0	0	0	0	1,148	0	0	0	0	336
Gates	Sewer System	0	0	0	0	0	0	361	296	0	0	0	83
Gleason	Water System	0	0	0	0	0	0	764	12	1	5	4	123
Greenbrier	Sewer System	0	0	0	0	0	0	3,078	23	6	0	18	350
Greene Co.	Health Dept.	0	0	0	0	0	0	8,781	523	186	19	13	1,189
Gruetli-Laager	Housing Rehabilitation	79	0	0	0	0	8	0	0	0	0	0	0
Gruetli-Laager	Relocation	3	0	0	0	0	2	0	0	0	0	0	0
Gruetli-Laager	Clearance	0	0	0	0	0	0	129	0	0	0	0	0
Halls	Sewer Line Extension	45	30	0	0	0	14	0	0	0	0	0	0
Hamblen Co.	Fire Protection	0	0	0	0	0	2,123	29,070	20	15	5	5	12
Hancock Co.	Emergency Services Com.	0	0	0	0	0	0	6,322	119	35	1	18	663
Hardin Co.	Water Line Extension	109	0	0	0	0	9	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-97-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Hartsville	Water System	0	0	0	0	0	0	4,839	640	70	0	0	649
Hawkins Co.	Water Line Extension	153	0	0	0	0	12	0	0	0	0	0	0
Haywood Co.	Fire Protection	0	0	0	0	0	0	3,384	396	5	0	0	382
Hickman Co.	Health Dept.	0	0	0	0	0	0	5,137	41	16	8	8	396
Hornsby	Fire Protection	0	0	0	0	0	0	927	39	0	0	0	126
Houston Co.	Water Line Extension	236	0	1	1	0	22	0	0	0	0	0	0
Humboldt	Housing Rehabilitation	0	32	0	0	0	8	0	0	0	0	0	0
Humboldt	Acq/Relocation	0	14	0	0	0	4	0	0	0	0	0	0
Humboldt	Clearance	0	0	0	0	0	0	0	50	0	0	0	13
Huntingdon	Sewer System	0	0	0	0	0	0	2,762	606	0	0	84	718
Jacksboro	Fire Protection	0	0	0	0	0	0	2,028	3	5	7	0	197
Jackson Co.	Water Line Extension	155	0	0	0	4	12	0	0	0	0	0	0
Jellico	Sewer System	0	0	0	0	0	0	2,585	56	3	0	15	468
Lafayette	Sewer System	0	0	0	0	0	0	2,599	17	0	0	0	578
Lake Co.	Health Clinic	0	0	0	0	0	0	551	229	10	0	0	370
Lauderdale Co.	Water System	0	0	0	0	0	0	490	6	0	0	0	74
Lawrence Co.	Water System	0	0	0	0	0	0	275	15	1	0	3	35
Lenoir City	Sewer System	0	0	0	0	0	0	1,443	45	41	0	16	210
Liberty	Housing Rehabilitation	94	2	0	0	0	15	0	0	0	0	0	0
Lincoln Co.	Water Line Extension	98	3	0	0	0	10	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-97-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Linden	Sewer System	0	0	0	0	0	0	918	129	0	0	0	189
Luttrell	Fire Protection	0	0	0	0	0	0	5,808	2	0	0	38	526
Marion Co.	Water Line Extension	436	0	1	0	0	16	0	0	0	0	0	0
McNairy Co.	Fire Protection	0	0	0	0	0	0	926	4	2	1	2	84
Minor Hill	First Responder Unit Vehicle	0	0	0	0	0	0	1,328	0	0	0	0	99
Monteagle	Water System	0	0	0	0	0	0	1,709	0	0	0	0	292
Moore Co.	Water Line Extension	297	12	0	1	1	15	0	0	0	0	0	0
Moscow	Sewer System	0	0	0	0	0	0	240	96	0	0	2	58
Mountain City	Water System	0	0	0	0	0	0	5,975	29	7	7	15	608
New Hope	Water Line Extension	364	1	0	0	0	25	0	0	0	0	0	0
Newport	Water System	0	0	0	0	0	0	14,409	413	32	4	0	2,094
Oakland	Sewer Line Extension	0	0	0	0	0	0	411	176	0	0	2	177
Obion	Sewer System	0	0	0	0	0	0	1,205	30	0	0	0	6
Orme	Housing Rehabilitation	42	0	0	0	0	4	0	0	0	0	0	0
Orme	Relocation	17	0	0	0	0	2	0	0	0	0	0	0
Orme	Clearance	0	0	0	0	0	0	59	0	0	0	0	0
Overton Co.	Water Line Extension	143	0	0	0	0	9	0	0	0	0	0	0
Paris	Housing Rehabilitation	0	13	0	0	0	3	0	0	0	0	0	0
Paris	Relocation	0	12	0	0	0	4	0	0	0	0	0	0
Paris	Acquisition	0	12	0	0	0	4	0	0	0	0	0	0
Perry Co.	Water Line Extension	129	0	7	0	1	10	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

**State of Tennessee**

**Grant Number: B-97-47-0001**

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Pickett Co.	Water Line Extension	68	0	0	0	0	6	0	0	0	0	0	0
Piperton	Fire Protection	0	0	0	0	0	0	752	313	0	0	4	92
Pleasant Hill	Fire Protection	0	0	0	0	0	0	3,646	0	3	0	1	390
Powells Crossroad	Water System	0	0	0	0	0	0	2,156	7	0	0	0	195
Pulaski	Sewer System	0	0	0	0	0	0	4,641	982	0	35	0	1,403
Ramer	Water System	0	0	0	0	0	0	450	38	10	0	0	38
Red Boiling Springs	Water System	0	0	0	0	0	0	3,408	24	0	0	0	505
Ridgely	Water System	0	0	0	0	0	0	2,122	289	0	0	0	277
Ripley	Sewer Line Extension	172	30	0	0	0	35	0	0	0	0	0	0
Rutledge	Water Line Extension	70	0	0	0	0	9	0	0	0	0	0	0
Samburg	Housing Rehabilitation	32	0	0	0	0	4	0	0	0	0	0	0
Savannah	Sewer System	0	0	0	0	0	0	7,391	50	2	0	0	1,786
Sevierville	Water Line Extension	271	0	0	0	26	32	0	0	0	0	0	0
Shelbyville	Sewer Line Extension	63	3	24	0	0	8	0	0	0	0	0	0
Smith Co.	Water System	0	0	0	0	0	0	1,546	11	0	0	6	86
Spring City	Water System	0	0	0	0	0	0	1,684	132	0	0	1	365
Sumner Co.	Water Line Extension	150	0	1	0	0	13	0	0	0	0	0	0
Tellico Plains	Water System	0	0	0	0	0	0	92	8	0	0	0	369
Tipton Co.	Fire Protection	0	0	0	0	0	0	12,590	556	2	0	35	1,094

**STATE GRANT PERFORMANCE/EVALUATION REPORT**

**State of Tennessee**

**Grant Number: B-97-47-0001**

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Trousdale Co.	Water System	0	0	0	0	0	0	4,839	640	70	0	0	649
Vanleer	Water System	0	0	0	0	0	0	1,723	40	0	4	0	155
Viola	Fire Protection	0	0	0	0	0	0	59,975	10	15	0	0	164
Wartburg	Water System	0	0	0	0	0	0	1,061	4	0	0	5	257
White Pine	Sewer System	0	0	0	0	0	0	1,373	19	4	2	0	195
Williston	Fire Protection	0	0	0	0	0	0	1,480	275	0	0	0	151

## Part 1

State: Tennessee					Reporting Period FY: 1998							
Grant Number: B-98-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 1998 to FY 1998							
					B. Amount Used to:							
(1) Allocation: \$29,489,000					(1) Benefit to Low/Moderate Income Persons: \$28,357,358							
(2) Program Income: \$1,547,303					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$29,952,691					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$27,905,948					(5) Local Administration: \$1,595,333							
D. Amount for State Administration: \$689,780												
E. Technical Assistance: \$0					TOTAL: \$29,952,691							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Adamsville	C	4b		\$467,255	PF	LMI	N/A	1,389	907	N/A	1,389	907
		13		\$24,500								
Alamo	C	4b		\$361,620	PF	LMI	N/A	2,096	1,509	N/A	2,096	1,509
		13		\$24,106								
Athens	C	4a		\$412,800	PF	LMI	N/A	331	224	N/A	220	158
		13		\$21,500								
Beersheba Spgs	C	2		\$7,000	H	LMI	N/A	32	32	N/A	37	37
		8		\$132,000								
		9a		\$316,500								
		13		\$44,500								
Benton	C	8		\$122,000	H	LMI	N/A	55	55	N/A	41	41
		9a		\$333,500								
		13		\$44,500								
Blaine	C	1		\$4,050	PF	LMI	N/A	268	191	N/A	259	203

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Blaine (con't)		4a		\$464,150								
		13		\$31,800								
Bledsoe County	C	4a		\$476,500	PF	LMI	N/A	493	320	N/A	313	172
		13		\$23,500								
Bluff City	C	13		\$26,828	PF	LMI	N/A	941	678	N/A	941	678
		4b		\$232,005								
Bruceton	C	1		\$150	PF	LMI	N/A	842	467	N/A	842	467
		4a		\$282,395								
		13		\$19,630								
Calhoun	C	1		\$21,000	PF	LMI	N/A	592	381	N/A	593	400
		4a		\$405,980								
		13		\$18,500								
Camden	C	1		\$8,000	PF	LMI	N/A	97	73	N/A	109	84
		4b		\$350,653								
		13		\$25,552								
Campbell Co.		14b(P)		\$740,000	ED	LMI						
[TrailManor]		13(P)		\$10,000								
Cannon County	C	13		\$22,500	PF	LMI	N/A	527	452	N/A	392	324
		4a		\$477,500								
Celina	C	9a		\$339,000	H	LMI	N/A	117	76	N/A	32	32
		13		\$21,000								
Clay County	C	6		\$277,820	PF	LMI	N/A	6,743	5,161	N/A	6,743	5,161
		13		\$11,800								
Columbia	C	4b		\$420,565	PF	LMI	N/A	822	778	N/A	822	778
		13		\$13,000								
Cowan	C	4a		\$383,500	PF	LMI	N/A	2,198	1,622	N/A	2,198	1,622
		13		\$16,500								
Decatur	C	4a		\$466,823	PF	LMI	N/A	294	193	N/A	258	198
		13		\$19,500								
DeKalb Co.	C	1		\$10,000	PF	LMI	N/A	7,485	4,546	N/A	7,485	4,546
		4a		\$473,500								
		13		\$16,500								
Dickson Co.	C	6		\$270,640	PF	LMI	N/A	1,373	1,063	N/A	1,373	1,063

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		13		\$18,000								
Ducktown	C	4a		\$344,225	PF	LMI	N/A	1,164	902	N/A	1,164	902
		13		\$17,500								
Dyer	C	4b		\$325,624	PF	LMI	N/A	2,219	1,607	N/A	2,219	1,753
		13		\$23,649								
Dyer		14b(P)		\$159,975	ED	LMI						
[Kellwood Co.]		13(P)		\$10,935								
Ethridge	AC	1		\$2,450	PF	LMI	N/A	304	183	N/A	265	191
		4b		\$480,050								
		13		\$17,500								
Fayette County	C	6		\$279,870	PF	LMI	N/A	7,454	5,248	N/A	7,454	5,248
		13		\$16,500								
Fentress County	C	13		\$18,500	PF	LMI	N/A	276	232	N/A	230	207
		4a		\$481,500								
Gallatin	C	13		\$46,200	H	LMI	N/A	44	44	N/A	15	15
		9a		\$360,000								
Gatlinburg	C	1		\$19,571	PF	LMI	N/A	59	42	N/A	47	32
		4b		\$139,499								
		13		\$1,225								
Harrogate		13		\$36,450	PF	LMI	N/A	127	95	N/A	175	122
		4b		\$463,526								
Harriman	C	1		\$0	PF	LMI	N/A	2,200	1,533	N/A	2,200	1,533
		4b		\$258,722								
		13		\$4,000								
Henry County	C	6		\$263,160	PF	LMI	N/A	4,809	2,975	N/A	4,809	2,975
		13		\$16,770								
Jamestown	C	13		\$12,141	PF	LMI	N/A	221	177	N/A	206	160
		4b		\$428,859								
Jonesborough	C	13		\$29,500	PF	LMI	N/A	17,081	10,983	N/A	17,081	10,983
		4a		\$470,500								
La Follette	C	4a		\$468,500	PF	LMI	N/A	18,319	12,952	N/A	18,319	12,952
		13		\$31,500								
Lake City	C	13		\$378	PF	LMI	N/A	1,801	1,542	N/A	1,801	1,542







## STATE GRANT PERFORMANCE/EVALUATION REPORT

### Part III: Civil Rights

State of Tennessee

Grant Number: B-98-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Adams	Fire Protection	0	0	0	0	0	0	577	39	2	0	0	61
Adamsville	Sewer System	0	0	0	0	0	0	1,375	14	0	0	0	389
Alamo	Sewer System	0	0	0	0	0	0	1,637	459	0	0	0	526
Allardt	Water Line Extension	179	0	0	0	0	0	0	0	0	0	0	14
Algood	Sewer System	0	0	0	0	0	0	1,494	131	15	0	0	339
Athens	Water Line Extension	331	0	0	0	0	0	0	0	0	0	0	19
Baileyton	Fire Protection	0	0	0	0	0	0	5,143	73	0	0	0	610
Bean Station	Fire Protection	0	0	0	0	0	0	3,873	61	200	0	0	233
Benton	Housing Rehabilitation	35	0	0	0	0	0	0	0	0	0	0	8
Benton	Relocation	15	1	0	0	0	0	0	0	0	0	0	1
Beersheba Springs	Housing Rehabilitation	71	0	0	0	0	0	0	0	0	0	0	8
Beersheba Springs	Relocation	8	0	0	0	0	0	0	0	0	0	0	1

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-98-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Blaine	Water Line Extension	268	0	0	0	0	23	0	0	0	0	0	0
Bledsoe Co.	Water Line Extension	493	0	0	0	0	45	0	0	0	0	0	0
Bluff City	Sewer System	0	0	0	0	0	0	917	16	0	0	8	115
Bradley Co.	Water Line Extension	350	16	5	0	0	16	0	0	0	0	0	0
Bruceston	Water System	0	0	0	0	0	0	793	25	2	0	22	0
Calhoun	Water Tank	0	0	0	0	0	0	545	47	0	0	0	131
Camden	Sewer Line Extension	96	1	0	0	0	7	0	0	0	0	0	0
Cannon Co.	Water Line Extension	521	0	2	0	4	63	0	0	0	0	0	0
Caryville	Water System	0	0	0	0	0	0	7,406	14	11	4	6	1,116
Celina	Housing Rehabilitation	117	0	0	0	0	18	0	0	0	0	0	0
Clay Co.	Fire Protection	0	0	0	0	0	0	6,612	123	0	0	8	599
Columbia	Sewer System	0	0	0	0	0	0	506	317	1	0	0	156
Coopertown	Fire Protection	0	0	0	0	0	0	3,054	0	3	3	0	160
Cowan	Water System	0	0	0	0	0	0	2,092	106	0	0	0	227
Decatur	Water Line Extension	249	0	0	0	0	21	0	0	0	0	0	0
DeKalb Co.	Water System	0	0	0	0	0	0	145	50	22	45	0	957
Dickson Co.	Fire Protection	0	0	0	0	0	0	1,313	42	14	0	4	99
Ducktown	Water System	0	0	0	0	0	0	1,164	0	0	0	0	261
Dyer	Sewer System	0	0	0	0	0	0	2,031	181	0	5	2	399
Ethridge	Sewer System	0	0	0	0	0	0	304	0	0	0	0	39

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-98-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Fayette Co.	Fire Protection	0	0	0	0	0	0	3,958	3,490	2	4	0	768
Fentress Co.	Water Line Extension	276	0	0	0	0	20	0	0	0	0	0	0
Franklin Co.	Fire Protection	0	0	0	0	0	0	1,800	34	0	4	4	132
Gallatin	Housing Rehabilitation	0	44	0	0	0	11	0	0	0	0	0	0
Gatlinburg	Sewer Line Extension	47	0	0	0	0	9	0	0	0	0	0	0
Harriman	Sewer System	0	0	0	0	0	0	2,121	56	0	0	0	90
Harrogate	Sewer Line Extension	154	0	0	0	0	20	0	0	0	0	0	0
Henry Co.	Fire Protection	0	0	0	0	0	0	4,633	176	0	0	0	332
Hohenwald	Sewer System	0	0	0	0	0	0	3,143	104	0	0	0	640
Iron City	Fire Protection	0	0	0	0	0	0	1,014	6	2	6	6	113
Jamestown	Sewer Line Extension	220	0	0	0	1	31	0	0	0	0	0	0
Johnson Co.	Health Care Center	0	0	0	0	0	0	2,880	27	12	2	0	315
Jonesborough	Water System	0	0	0	0	0	0	16,739	122	122	0	98	1,998
LaFollette	Water System	0	0	0	0	0	0	18,154	40	40	0	85	2,143
Lake City	Sewer System	0	0	0	0	0	0	1,798	14	0	0	0	386
Lexington	Sewer Line Extension	1	74	0	0	0	11	0	0	0	0	0	0
Lewis Co.	Water Line Extension	163	0	6	0	0	24	0	0	0	0	0	0
Livingston	Water System	0	0	0	0	0	0	1,886	0	0	0	0	180
Lobelville	Water System	0	0	0	0	0	0	1,054	0	0	0	0	151
Loudon	Housing Rehabilitation	0	3	0	0	0	14	0	0	0	0	0	0
Madison Co.	Sewer Line Extension	75	82	0	0	0	17	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-98-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Madisonville	Sewer System	0	0	0	0	0	0	2,822	176	34	0	7	541
McEwen	Sewer System	0	0	0	0	0	0	1,408	9	0	0	0	224
McMinnville	Housing Rehabilitation	41	0	0	0	0	8	0	0	0	0	0	0
McMinnville	Acquisition/Relocation	11	0	0	0	0	1	0	0	0	0	0	0
Middleton	Fire Protection	0	0	0	0	0	0	4,561	70	0	2	11	351
Monroe Co.	Water Line Extension	378	0	1	3	0	41	0	0	0	0	0	0
Monterey	Sewer System	0	0	0	0	0	0	2,062	0	255	3	0	404
Morrison	Fire Protection	0	0	0	0	0	0	3,833	25	0	0	0	12
Mosheim	Sewer System	0	0	0	0	0	0	1,272	35	7	0	15	222
Mount Carmel	Housing Rehabilitation	52	1	0	0	0	4	0	0	0	0	0	0
New Johnsonville	Water System	0	0	0	0	0	0	1,819	0	3	2	0	204
New Market	Sewer System	0	0	0	0	0	0	231	6	0	0	0	27
Niota	Sewer System	0	0	0	0	0	0	534	0	0	0	0	98
Oakdale	Sewer Line Extension	69	0	0	0	0	14	0	0	0	0	0	0
Oneida	Sewer System	0	0	0	0	0	0	2,232	0	0	0	0	424
Parkers Crossroads	Fire Protection	0	0	0	0	0	0	1,239	506	0	0	0	209
Parkers Crossroads (IT)	Sewer System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Parsons	Water System	0	0	0	0	0	0	2,275	116	2	2	0	383
Petersburg	First Response Unit	0	0	0	0	0	0	917	22	0	0	0	92
Polk Co.	Water Line Extension	374	0	0	0	0	21	0	0	0	0	0	0
Red Bank	Sewer System	0	0	0	0	0	0	1,897	161	0	19	8	393

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-98-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Rogersville	Water System	0	0	0	0	0	0	6,342	47	71	0	119	711
Rutherford	Housing Rehabilitation	0	31	0	0	0	8	0	0	0	0	0	0
Rutherford	Acq/Relocation	0	21	0	0	0	5	0	0	0	0	0	0
Rutherford	Clearance	0	0	0	0	0	0	0	52	0	0	0	13
Saltillo	Rescue Squad	0	0	0	0	0	0	670	118	0	0	0	87
Scott Co.	Water Line Extension	153	0	0	0	0	12	0	0	0	0	0	0
Sequatchie Co.	Emergency Equipment	0	0	0	0	0	0	7,656	0	0	0	0	919
Sevier Co.	Water Line Extension	269	2	0	0	0	26	0	0	0	0	0	0
Sparta	Sewer System	0	0	0	0	0	0	3,531	210	21	27	0	796
Spencer	Sewer Line Extension	339	0	0	0	2	45	0	0	0	0	0	0
Stewart Co.	Health Clinic	0	0	0	0	0	0	10,537	89	109	39	0	700
Sweetwater	Fire Protection	0	0	0	0	0	0	4,654	403	15	26	7	880
Tazewell	Sewer Line Extension	86	0	0	0	0	5	0	0	0	0	0	0
Tennessee Ridge	Sewer System	0	0	0	0	0	0	369	0	0	3	0	36
Trenton	Sewer System	0	0	0	0	0	0	2,970	649	42	0	2	967
Troy	Water System	0	0	0	0	0	0	1,690	2	0	0	0	144

## STATE GRANT PERFORMANCE/EVALUATION REPORT

**State of Tennessee**

**Grant Number: B-98-47-0001**

Locality	Activity	Applicant						Beneficiary						
		1	2	3	4	5	6	1	2	3	4	5	6	
Unicoi	Sewer System	0	0	0	0	0	0	384	0	0	0	0	0	36
Unicoi Co.	Water Line Extension	414	1	1	0	1	27	0	0	0	0	0	0	0
Van Buren Co.	Housing Rehabilitation	62	0	0	0	0	7	0	0	0	0	0	0	0
Van Buren Co.	Relocation	3	0	0	0	0	0	0	0	0	0	0	0	0
Wartrace	Water System	0	0	0	0	0	0	1,396	94	0	0	0	0	134
Waynesboro	Water System	0	0	0	0	0	0	2,431	58	0	0	0	0	590
Weakley Co.	Fire Protection	0	0	0	0	0	0	2,792	79	0	0	0	0	192
Whitewell	Water System	0	0	0	0	0	0	3,574	47	0	0	0	0	473
Williamson Co.	Water Line Extension	346	0	0	1	0	21	0	0	0	0	0	0	0
Wilson Co.	Health Clinic	0	0	0	0	0	0	36,597	4,250	66	66	0	0	5,979
Winfield	Sewer System	0	0	0	0	0	0	188	0	0	0	0	0	25

## Part 1

State: Tennessee					Reporting Period FY: 1999							
Grant Number: B-99-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 1999 to FY 1999							
					B. Amount Used to:							
(1) Allocation: \$29,782,000					(1) Benefit to Low/Moderate Income Persons: \$37,252,600							
(2) Program Income: \$10,265,285					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$39,315,885					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$29,712,525					(5) Local Administration: \$2,063,285							
D. Amount for State Administration: \$695,640												
E. Technical Assistance: \$0					TOTAL: \$39,315,885							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Altamont	AC	13(P)		\$38,000	H	LMI	N/A	36	36	N/A	61	61
		8(P)		\$170,000								
		9a(P)		\$181,200								
		2(P)		\$6,000								
Anderson County	AC	13		\$18,060	PF	LMI	N/A	141	113	N/A	112	112
		4b		\$481,940								
Baxter	AC	13		\$22,500	PF	LMI	N/A	3,394	2,484	N/A	3,394	2,484
		4a		\$477,500								
Bethel Springs		13		\$31,500	PF	LMI						
		4b		\$468,500								
Brighton	AC	13		\$26,291	PF	LMI	N/A	1,615	1,255	N/A	1,615	1,255
		4b		\$273,990								
Brownsville	AC	4b		\$500,000	PF	LMI	N/A	5,164	3,315	N/A	5,164	3,315
Campbell County	AC	13		\$1,500	PF	LMI	N/A	210	156	N/A	149	143
		4a		\$498,500								
Campbell County	AC	13(P)		\$3,000	ED	LMI	N/A	20	15	N/A	6	5
[Profile Solutions]		14b(P)		\$200,000								
Carter County	AC	13		\$24,500	PF	LMI	N/A	6,588	3,427	N/A	6,588	3,427
		4a		\$475,500								
Centerville	AC	13		\$15,112	PF	LMI	N/A	1,477	1,099	N/A	1,477	1,099





					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
Kimball (2004 year project)	AC	13		\$26,500	PF	LMI	N/A	146	141	N/A	61	47
		4b		\$473,500								
LaFayette	AC	13(P)		\$23,500	PF	LMI	N/A	3,614	2,382	N/A	3,614	2,382
		4b(P)		\$476,500								
Lauderdale County	AC	13		\$32,500	PF	LMI	N/A	7,970	6,791	N/A	7,970	6,791
		4a		\$467,500								
Lawrence County	AC	13		\$13,250	PF	LMI	N/A	274	187	N/A	245	177
		4a		\$486,750								
Lawrenceburg	AC	13		\$11,000	PF	LMI	N/A	1,696	1,209	N/A	1,696	1,209
		4b		\$339,000								
Lewisburg	AC	13(P)		\$3,000	ED	LMI	N/A	51	27	N/A	67	36
[Lewisburg Scientific Molding, Inc.]		14b(P)		\$497,000								
Loudon County	AC	4b(P)		\$480,000	PF	LMI	N/A	389	307	N/A	225	189
		13(P)		\$20,000								
Luttrell	AC	13		\$26,500	PF	LMI	N/A	2,274	1,872	N/A	2,274	1,872
		4b		\$473,500								
Lynnville	AC	13		\$4,500	PF	LMI	N/A	1,383	907	N/A	1,383	907
		6		\$82,655								
McKenzie	AC	13(P)		\$44,500	H	LMI	N/A	36	36	N/A	25	25
		9a(P)		\$213,500								
		2(P)		\$17,000								
		8(P)		\$225,000								
McNairy County	AC	13		\$10,600	PF	LMI	N/A	1,711	1,250	N/A	1,711	1,251
		6		\$177,446								
Macon County	AC	13		\$19,760	PF	LMI	N/A	240	189	N/A	148	125
		4a		\$435,616								
Manchester	AC	13(P)		\$16,000	H	LMI	N/A	49	49	N/A	30	30
		8(P)		\$76,000								
		9a(P)		\$160,000								
Martin		13(P)		\$10,157	ED	LMI						
[Residue Regency Pad, Inc.]		14b(P)		\$369,843								
Mason	AC	13		\$33,500	PF	LMI	N/A	1,775	1,494	N/A	1,775	1,494
		4a		\$466,500								
Maury City	AC	13		\$18,500	PF	LMI	N/A	1,029	799	N/A	1,029	799
		4a		\$289,029								
Maynardville	AC	13		\$31,170	PF	LMI	N/A	1,265	1,187	N/A	1,265	1,187
		4b		\$468,830								
Meigs County	AC	13		\$21,500	PF	LMI	N/A	363	298	N/A	338	278

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		4a		\$478,500								
Morgan County		13(P)		\$5,000	ED	LMI						
[Quick Weld, Inc.]		14b(P)		\$245,000								
Normandy	AC	13		\$17,500	PF	LMI	N/A	174	114	N/A	174	114
		4a		\$240,500								
Oakland	AC	13		\$31,500	PF	LMI	N/A	2,677	1,614	N/A	2,677	1,614
		4a		\$468,500								
Oliver Springs	AC	13		\$32,350	PF	LMI	N/A	5,842	4,329	N/A	5,842	4,329
		4a		\$467,650								
Oneida	AC	13		\$11,500	ED	LMI	N/A	50	25	N/A	50	26
[MCM Corp/Jim Barna]		14b		\$483,500								
Overton County	AC	13		\$25,000	PF	LMI	N/A	169	148	N/A	165	149
		4a		\$475,000								
Palmer	AC	13		\$45,100	H	LMI	N/A	45	45	N/A	42	42
		9a		\$348,900								
		2		\$6,000								
		8		\$100,000								
Paris	AC	13(P)		\$48,000	H	LMI	N/A	33	33	N/A	31	31
		9a(P)		\$182,000								
		2(P)		\$20,000								
		8(P)		\$250,000								
Pickett County	AC	13		\$20,500	PF	LMI	N/A	9,219	8,149	N/A	9,219	8,149
		6		\$279,500								
Pigeon Forge	AC	13		\$4,650	PF	LMI	N/A	93	81	N/A	89	83
		4b		\$176,770								
Pikeville		13		\$19,000	PF	LMI	N/A	1,140	901	N/A	1,140	901
		4b		\$359,000								
Pittman Center		13		\$29,500	PF	LMI	N/A	712	535	N/A	712	535
		4a		\$470,500								
Portland	AC	13		\$17,500	PF	LMI	N/A	4,959	4,825	N/A	4,959	4,825
		4b		\$482,500								
Putnam County	AC	13		\$24,000	PF	LMI	N/A	256	183	N/A	223	183
		4a		\$476,000								
Red Boiling Springs	AC	13		\$18,500	PF	LMI	N/A	3,469	2,591	N/A	3,469	2,591
		4a		\$481,500								
Rhea County	AC	13		\$21,850	PF	LMI	N/A	276	192	N/A	179	128
		4a		\$478,150								
Rives	AC	13		\$9,000	PF	LMI	N/A	1,193	678	N/A	1,193	678

					8. Accomplishments							
					Proposed				Actual			
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Pur- pose	7. Nat'l Objec- tive	a. # of Units/ Loans	b. Total # of Persons Jobs	c. Total # of L/M Persons / L/M Jobs	d. # of Units/ Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
		6		\$118,400								
Rockwood	AC	13		\$37,500	PF	LMI	N/A	4,008	2,493	N/A	4,008	2,493
		4b		\$462,500								
Sardis	AC	13		\$14,825	PF	LMI	N/A	653	430	N/A	653	430
		4a		\$232,741								
Selmer	AC	13		\$22,200	PF	LMI	N/A	3,877	2,621	N/A	3,877	2,621
		4b		\$477,800								
Shelbyville	AC	13		\$7,500	ED	LMI	N/A	100	51	N/A	423	216
[Tyson Foods]		14b		\$492,500								
Smithville	AC	13		\$18,500	PF	LMI	N/A	3,795	2,863	N/A	3,795	2,863
		4b		\$481,500								
Sneedville	AC	13		\$25,000	PF	LMI	N/A	1,212	1,023	N/A	1,212	1,023
		4b		\$475,000								
South Carthage	AC	13		\$17,500	PF	LMI	N/A	1,052	748	N/A	1,052	748
		4b		\$382,700								
Sparta	AC	13(P)		\$51,500	H	LMI	N/A	40	40	N/A	24	24
		8(P)		\$80,000								
		9a(P)		\$368,500								
Spring City	AC	13		\$19,000	PF	LMI	N/A	1,054	735	N/A	1,054	735
		4b		\$481,000								
Trousdale County	AC	13		\$16,500	PF	LMI	N/A	10,822	10,259	N/A	10,822	10,259
		6		\$283,500								
Tullahoma		13(P)		\$31,000	H	LMI	N/A	42	38	N/A	37	37
		8(P)		\$235,000								
		9a(P)		\$234,000								
Union City	AC	13		\$48,000	PF	LMI	N/A	26	23	N/A	23	23
		9a		\$289,000								
		2		\$17,000								
		8		\$146,000								
Union County	AC	13		\$27,500	PF	LMI	N/A	264	205	N/A	103	96
		4a		\$472,500								
Warren County		13(P)		\$15,000	ED	LMI						
[Anthony's Construction]		14b(P)		\$485,000								
Warren County	AC	13		\$15,955	PF	LMI	N/A	5,505	3,715	N/A	5,505	3,715
		6		\$257,045								
Warren County		14b(P)		\$10,000	ED	LMI						
[Anthony's Construction]												
Watertown	AC	13(P)		\$20,000	PF	LMI	N/A	1,300	971	N/A	1,300	971



## STATE GRANT PERFORMANCE/EVALUATION REPORT

### Part III: Civil Rights

State of Tennessee

Grant Number: B-99-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Anderson County	Sewer Line Extension	141	0	0	0	0	28	0	0	0	0	0	0
Baxter	Water System	0	0	0	0	0	0	3,316	18	12	42	6	499
Bethel Springs	Sewer System	0	0	0	0	0	0	654	105	0	0	5	207
Brighton	Wastewater Treatment Plt	0	0	0	0	0	0	1,240	310	12	13	9	185
Brownsville	Wastewater Treatment Plt	0	0	0	0	0	0	1,781	3,228	155	0	0	1,162
Campbell County	Water Line Extension	210	0	0	0	0	40	0	0	0	0	0	0
Carter County	Water System	0	0	0	0	0	0	6,535	53	0	0	0	756
Centerville	Wastewater Treatment Plt	0	0	0	0	0	0	1,358	119	0	0	0	480
Chapel Hill	Water Storage Tank	0	0	0	0	0	0	903	56	0	0	0	137
Church Hill	Sewer Line Extension	756	0	89	0	0	19	0	0	0	0	0	0
Claiborne County	Fire Protection	0	0	0	0	0	0	14,016	130	26	12	0	1,496
Clay County	Housing Rehab	11	89	1	0	0	20	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-99-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Clifton	Water Plant Improvements	0	0	0	0	0	0	731	82	0	0	0	105
Cocke County	Water Line Extension	195	0	0	0	0	10	0	0	0	0	0	0
Coffee County	Water Storage Tank	0	0	0	0	0	0	6,016	267	199	17	17	603
Cornersville	Wastewater Treatment Plt	0	0	0	0	0	0	737	61	7	0	0	111
Cumberland County	Water Line Extension	181	0	0	0	1	15	0	0	0	0	0	0
Cumberland Gap	Fire Protection	0	0	0	0	0	0	208	0	0	0	2	11
Decherd	Sewer System	0	0	0	0	0	0	1,776	282	7	0	0	440
Dresden	Drainage	0	0	0	0	0	0	88	40	3	0	0	25
Dyer County	Water Treatment Plt	0	0	0	0	0	0	3,453	260	0	0	0	293
Estill Springs	Water Storage Tank	0	0	0	0	0	0	3,197	89	0	0	0	358
Gleason	Water System	0	0	0	0	0	0	849	0	0	0	0	104
Graysville	Housing	41	0	0	0	0	10	0	0	0	0	0	0
Graysville	Relocation	4	0	0	0	0	0	0	0	0	0	0	0
Guys	Fire Protection	0	0	0	0	0	0	387	122	0	0	0	51
Halls	Housing Rehab	6	24	0	0	0	7	0	0	0	0	0	0
Humphreys County	Water System	0	0	0	0	0	0	4,600	330	0	0	0	774
Huntsville	Wastewater Treatment Plt	0	0	0	0	0	0	874	0	13	0	0	188
Jackson County	Water Line Extension	159	0	0	0	3	11	0	0	0	0	0	0
Kenton	Housing Rehab	0	33	0	0	0	5	0	0	0	0	0	0
Kenton	Acq/Relocation	0	10	0	0	0	4	0	0	0	0	0	0
Kenton	Clearance	0	0	0	0	0	0	0	43	0	0	0	9

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-99-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Lafayette	Sewer System	0	0	0	0	0	0	3,561	0	43	5	5	761
Lauderdale County	Water System	0	0	0	0	0	0	6,408	1,528	4	0	30	1,419
Lawrence County	Water Line Extension	272	2	0	0	0	26	0	0	0	0	0	0
Lawrenceburg	Sewer System	0	0	0	0	0	0	1,682	14	0	0	0	319
Loudon County	Sewer Line Extension	386	3	0	0	0	51	0	0	0	0	0	0
Luttrell	Wastewater Treatment Plt	0	0	0	0	0	0	2,224	47	0	0	3	239
Lynnville	Fire Protection	0	0	0	0	0	0	1,301	82	0	0	0	65
Macon County	Water Line Extension	230	0	0	8	2	26	0	0	0	0	0	0
Mason	Water System	0	0	0	0	0	0	912	849	8	0	6	209
Maury City	Water System	0	0	0	0	0	0	532	497	0	0	0	155
Maynardville	Sewer System	0	0	0	0	0	0	1,260	0	0	0	5	207
McNairy County	Fire Protection	0	0	0	0	0	0	1,591	104	13	2	1	154
Meigs County	Water Line Extension	358	4	0	0	0	26	0	0	0	0	0	0
Normandy	Water System	0	0	0	0	0	0	169	3	2	0	0	17
Oakland	Water Treatment Plt	0	0	0	0	0	0	2,061	611	2	3	0	289
Oliver Springs	Water System	0	0	0	0	0	0	5,766	76	0	0	0	386
Overton County	Water Line Extension	161	0	0	2	6	13	0	0	0	0	0	0
Palmer	Housing Rehab	45	0	0	0	0	8	0	0	0	0	0	0
Palmer	Relocation	9	0	0	0	0	2	0	0	0	0	0	0
Pickett County	Health Dept	0	0	0	0	0	0	9,219	0	0	0	0	1,789
Pigeon Forge	Sewer Line Extension	105	0	0	0	0	2	0	0	0	0	0	0
Pikeville	Wastewater Treatment Plt	0	0	0	0	0	0	1,125	14	0	0	0	190

## STATE GRANT PERFORMANCE/EVALUATION REPORT

State of Tennessee

Grant Number: B-99-47-0001

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Pittman Center	Water Line Extension	676	26	10	0	0	38	0	0	0	0	0	0
Portland	Sewer System	0	0	0	0	0	0	4,785	113	48	13	0	714
Putnam County	Water Line Extension	255	0	0	1	0	11	0	0	0	0	0	0
Red Boling Springs	Water System	0	0	0	0	0	0	3,419	8	41	1	0	453
Rhea County	Water Line Extension	271	5	0	0	0	17	0	0	0	0	0	0
Rives	Fire Protection	0	0	0	0	0	0	1,170	23	0	0	0	44
Rockwood	Sewer System	0	0	0	0	0	0	3,776	220	0	6	6	601
Sardis	Water System	0	0	0	0	0	0	653	0	0	0	0	85
Selmer	Wastewater Treatment Plt	0	0	0	0	0	0	3,032	794	51	0	0	764
Smithville	Sewer System	0	0	0	0	0	0	3,679	61	55	0	0	810
Sneedville	Sewer System	0	0	0	0	0	0	1,208	4	0	0	0	275
South Carthage	Sewer System	0	0	0	0	0	0	1,014	32	6	0	0	170
Spring City	Sewer Line Extension	0	0	0	0	0	0	969	85	0	0	0	280
Trousdale County	Health Dept	0	0	0	0	0	0	8,787	1,547	488	0	0	1,439
Union City	Housing Rehab	0	8	0	0	0	3	0	0	0	0	0	0
Union City	Acq/Relocation	0	15	0	0	0	4	0	0	0	0	0	0
Union County	Water Line Extension	264	0	0	0	0	25	0	0	0	0	0	0
Watertown	Wastewater Treatment Plt	0	0	0	0	0	0	1,218	79	3	0	0	194
Waverly	Sewer System	0	0	0	0	0	0	2,169	330	0	0	0	600
Whiteville	Fire Protection	0	0	0	0	0	0	504	725	0	0	0	208
Woodbury	Water Treatment Plt	0	0	0	0	0	0	5,977	368	0	0	0	1,080

## Part 1

State: Tennessee					Reporting Period FY: 2000							
Grant Number: B-00-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2000 to FY 2000							
					B. Amount Used to:							
(1) Allocation: \$30,196,000					(1) Benefit to Low/Moderate Income Persons: \$31,509,616							
(2) Program Income: \$3,708,000					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$33,128,531					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$30,088,267					(5) Local Administration: \$1,618,915							
D. Amount for State Administration: \$703,920												
E. Technical Assistance: \$0					TOTAL: \$33,128,531							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	Activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Atwood	AC	13		\$13,344	PF	LMI	N/A	1,071	850	N/A	1,071	850
		4a		\$184,056								
Baileyton	AC	4b		\$300,000	PF	LMI	N/A	421	331	N/A	421	331
Bedford County	AC	13		\$19,500	PF	LMI	N/A	227	157	N/A	193	136
		4a		\$430,500								
Blount County	AC	13		\$17,702	PF	LMI	N/A	2,844	1,735	N/A	2,844	1,752
		4a		\$381,658								
Braden	AC	13		\$11,500	PF	LMI	N/A	1,596	1,371	N/A	1,596	1,371
		6		\$137,783								
Bradford	AC	13		\$15,910	PF	LMI	N/A	1,146	722	N/A	1,146	722
		4a		\$307,773								
Byrdstown	AC	13		\$18,500	PF	LMI	N/A	686	611	N/A	686	611
		4b		\$481,500								
Carroll County	AC	13		\$17,550	PF	LMI	N/A	3,677	2,011	N/A	3,469	1,902
		6		\$282,450								
Charlotte	AC	13(P)		\$19,000	PF	LMI	N/A	654	594	N/A	654	594
		4b(P)		\$481,000								
Collegedale	AC	13		\$18,000	PF	LMI	N/A	99	72	N/A	122	73
		4b		\$387,000								
Copperhill	AC	13(P)		\$23,500	PF	LMI	N/A	258	216	N/A	236	201

					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	Activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
		4a(P)		\$476,500								
Cumberland City	AC	13		\$13,365	PF	LMI	N/A	45	27	N/A	38	26
		4a		\$127,811								
Dandridge	AC	13		\$21,000	PF	LMI	N/A	97	69	N/A	86	70
		4b		\$279,000								
Dayton	AC	13		\$19,500	PF	LMI	N/A	5,033	4,625	N/A	5,033	4,625
		6		\$267,870								
Decatur County	AC	13		\$26,500	PF	LMI	N/A	2,181	1,544	N/A	2,181	1,544
		4a		\$391,895								
Dover	AC	13		\$18,500	PF	LMI	N/A	1,253	1,027	N/A	1,253	1,027
		4b		\$368,500								
Eastview	AC	13		\$24,500	PF	LMI	N/A	1,104	697	N/A	1,104	946
		4a		\$392,972								
Elizabethton	AC	13(P)		\$21,000	PF	LMI	N/A	24,901	13,671	N/A	24,901	13,671
		4a(P)		\$479,000								
Erwin	AC	13		\$1,500	PF	LMI	N/A	124	89	N/A	147	105
		4b		\$313,500								
Franklin County	AC	13		\$13,500	PF	LMI	N/A	21,917	20,251	N/A	21,917	20,251
		6		\$286,500								
Gibson County	AC	13		\$13,500	PF	LMI	N/A	14,419	9,531	N/A	14,419	9,531
		6		\$286,500								
Giles County	AC	13		\$16,500	PF	LMI	N/A	223	147	N/A	183	129
		4a		\$363,500								
Gilt Edge	AC	13		\$10,500	PF	LMI	N/A	2,889	1,763	N/A	2,889	1,763
		6		\$151,880								
Grainger County	AC	13		\$14,500	PF	LMI	N/A	16,585	8,906	N/A	16,585	8,906
		6		\$285,500								
Greene County	AC	13		\$57,400	PF	LMI	N/A	228	166	N/A	225	189
		4a		\$442,600								
Greeneville	AC	13		\$25,000	PF	LMI	N/A	22,169	11,794	N/A	22,169	11,794
		4a		\$475,000								
Greenfield	AC	13		\$21,000	PF	LMI	N/A	2,599	1,978	N/A	2,599	1,978
		4a		\$332,634								
Hamilton County	AC	13		\$21,500	PF	LMI	N/A	248	181	N/A	202	169
		4b		\$478,500								
Hardin County	AC	13		\$27,500	PF	LMI	N/A	153	140	N/A	397	364
		4a		\$472,500								
Hartsville	AC	13		\$22,000	PF	LMI	N/A	2,778	2,011	N/A	2,778	2,011

					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	Activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
		4b		\$403,000								
Haywood County	AC	4a		\$421,061	PF	LMI	N/A	208	178	N/A	124	121
		13		\$26,500								
Henderson	AC	13		\$24,000	PF	LMI	N/A	101	75	N/A	92	68
		4b		\$351,000								
Henderson County	AC	13		\$10,750	PF	LMI	N/A	2,746	1,845	N/A	2,746	1,845
		6		\$171,869								
Jacksboro	AC	13		\$20,972	PF	LMI	N/A	7,441	5,276	N/A	8,145	5,775
		4a		\$303,558								
Jasper	AC	13		\$17,500	PF	LMI	N/A	221	199	N/A	222	195
		4a		\$357,500								
Jefferson City	AC	13		\$21,000	PF	LMI	N/A	5,061	4,201	N/A	5,061	4,201
		4b		\$479,000								
Jefferson County	AC	13		\$20,000	PF	LMI	N/A	576	351	N/A	506	390
		4a		\$480,000								
Kenton		13(P)		\$12,500	ED	LMI						
[Universal Enterprises]		14b(P)		\$152,500								
Kimball	AC	13		\$18,000	PF	LMI	N/A	885	625	N/A	885	625
		4a		\$269,100								
LaFollette	AC	13		\$14,500	PF	LMI	N/A	22	16	N/A	18	18
		4b		\$231,655								
Lexington	AC	13		\$35,100	PF	LMI	N/A	5,139	3,644	N/A	5,139	3,644
		4b		\$464,900								
Lincoln County	AC	13		\$14,500	PF	LMI	N/A	201	147	N/A	182	159
		4a		\$485,500								
Linden	AC	13		\$18,500	PF	LMI	N/A	198	145	N/A	150	136
		4a		\$481,500								
Loretto	AC	13		\$17,500	PF	LMI	N/A	213	158	N/A	294	238
		4a		\$482,500								
Loudon	AC	13(P)		\$5,000	ED	LMI	N/A	20	11	N/A	15	15
[Ponce Properties, LLC]		14b(P)		\$288,000								
Marion County	AC	13		\$21,500	PF	LMI	N/A	4,138	2,912	N/A	2,686	1,888
		4b		\$478,500								
Marshall County	AC	13		\$18,500	PF	LMI	N/A	181	151	N/A	193	149
		4a		\$481,500								
McLemoresville	AC	13		\$8,217	PF	LMI	N/A	353	240	N/A	353	240
		4a		\$107,817								
McMinn County	AC	13		\$21,500	PF	LMI	N/A	413	262	N/A	231	211

					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	Activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
		4a		\$478,500								
Michie	AC	13		\$18,400	PF	LMI	N/A	1,980	1,273	N/A	1,980	1,273
		4a		\$350,600								
Middleton	AC	13		\$31,500	PF	LMI	N/A	633	390	N/A	633	390
		4b		\$468,500								
Monteagle	AC	13		\$19,500	PF	LMI	N/A	375	279	N/A	375	279
		4b		\$336,108								
Montgomery County	AC	13		\$13,300	PF	LMI	N/A	3,315	2,191	N/A	3,315	2,191
		4a		\$184,100								
Moore County	AC	13		\$18,000	PF	LMI	N/A	239	200	N/A	212	181
		4a		\$357,000								
Morgan County		13(P)		\$5,000	ED	LMI						
[ZeTek Power Corp.]		14b(P)		\$745,000								
Moscow	AC	13		\$9,924	PF	LMI	N/A	2,618	1,911	N/A	2,618	1,911
		6		\$130,476								
New Tazewell	AC	13		\$9,750	PF	LMI	N/A	5,549	4,430	N/A	5,549	4,430
		6		\$140,250								
Obion	AC	13		\$31,500	PF	LMI	N/A	1,743	1,105	N/A	1,743	1,105
		4a		\$468,500								
Oneida	AC	13		\$29,500	PF	LMI	N/A	2,232	1,734	N/A	2,232	1,734
		4b		\$470,500								
Perry County	AC	13		\$16,500	PF	LMI	N/A	168	143	N/A	138	138
		4a		\$368,896								
Petersburg	AC	13		\$11,900	PF	LMI	N/A	69	53	N/A	72	50
		4a		\$326,300								
Pikeville (IT)	AC	13		\$23,500	PF	U/N	N/A	3,010	NA	N/A	3,010	NA
		4a		\$476,500								
Red Bank	AC	13		\$19,500	PF	LMI	N/A	2,664	2,155	N/A	2,664	2,155
		4b		\$480,500								
Ripley	AC	13		\$21,500	PF	LMI	N/A	6,625	4,313	N/A	6,625	4,313
		4b		\$478,500								
Roane County	AC	13(P)		\$23,500	PF	LMI	N/A	595	447	N/A	489	377
		4a(P)		\$476,500								
Rossville	AC	13		\$10,499	PF	LMI	N/A	1,647	1,250	N/A	1,647	1,250
		6		\$126,277								
Saulsbery	AC	13		\$6,000	PF	LMI	N/A	663	514	N/A	663	514
		6		\$39,900								
Savannah	AC	13		\$16,000	PF	LMI	N/A	7,496	5,404	N/A	7,496	5,404

					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	Activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons/ L/M Jobs
		6		\$230,000								
Sequatchie County	AC	13		\$23,500	PF	LMI	N/A	342	229	N/A	292	187
		4a		\$476,500								
Scott County	AC	13		\$27,000	PF	LMI	N/A	225	177	N/A	184	178
		4a		\$473,000								
Sharon	AC	4a		\$180,612	PF	LMI	N/A	1,118	938	N/A	1,118	938
		13		\$12,852								
Shelbyville [Wal-Mart]		14b(P)		\$500,000	ED	LMI						
Silerton	AC	13		\$8,000	PF	LMI	N/A	411	286	N/A	411	286
		6		\$128,160								
Smith County	AC	13		\$9,500	PF	LMI	N/A	112	90	N/A	95	76
		4a		\$348,023								
Soddy Daisy	AC	13		\$13,500	PF	LMI	N/A	41	36	N/A	44	33
		4b		\$161,500								
Somerville	AC	13		\$15,960	PF	LMI	N/A	2,912	2,417	N/A	2,912	2,417
		4b		\$320,040								
South Fulton	AC	13		\$11,500	PF	LMI	N/A	1,685	1,225	N/A	1,685	1,225
		6		\$68,105								
South Pittsburg	AC	13		\$18,500	PF	LMI	N/A	2,007	1,295	N/A	2,007	1,295
		4b		\$381,500								
Stanton	AC	13		\$11,500	PF	LMI	N/A	517	358	N/A	517	358
		4b		\$195,860								
Stewart County	AC	13		\$19,000	PF	LMI	N/A	6,130	4,916	N/A	6,130	4,916
		6		\$281,000								
Sumner County	AC	13		\$16,500	PF	LMI	N/A	41,467	40,679	N/A	41,467	40,679
		6		\$283,500								
Sweetwater	AC	13		\$19,740	PF	LMI	N/A	181	115	N/A	120	100
		4b		\$480,260								
Tipton County	AC	4a		\$462,686	PF	LMI	N/A	7,615	4,912	N/A	7,615	4,912
		13		\$29,000								
Toone	AC	13		\$10,250	PF	LMI	N/A	1,920	1,317	N/A	1,920	1,317
		6		\$175,238								
Townsend	AC	13		\$11,800	PF	LMI	N/A	4,025	2,967	N/A	4,025	2,967
		6		\$119,860								
Tracy City	AC	13		\$18,500	PF	LMI	N/A	178	138	N/A	170	130
		4a		\$72,199								
Trezevant	AC	13		\$15,480	PF	LMI	N/A	849	575	N/A	849	575



## STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-00-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Altamont	Housing Rehab	26	0	0	0	0	3	0	0	0	0	0	0
Altamont	Relocation	10	0	0	0	0	1	0	0	0	0	0	0
Atwood	Water System	0	0	0	0	0	0	856	215	0	0	0	188
Baileyton	Sewer Treatment Plt	0	0	0	0	0	0	419	2	0	0	0	94
Bean Station	Sewer Line Ext	25	18	0	0	0	6	0	0	0	0	0	0
Bedford County	Water Line Ext	215	10	1	1	0	20	0	0	0	0	0	0
Blount County	Water Storage Tank	0	0	0	0	0	0	2,775	69	0	0	0	420
Braden	Fire Protection	0	0	0	0	0	0	749	847	0	0	0	152
Bradford	Water Line Rehab	0	0	0	0	0	0	1,021	125	0	0	0	214
Byrdstown	Sewer System	0	0	0	0	0	0	686	0	0	0	0	187
Carroll County	Fire Protection	0	0	0	0	0	0	3,357	320	0	0	0	324
Charlotte	Sewer System	0	0	0	0	0	0	600	52	2	0	0	114
Collegedale	Sewer Line Ext	88	11	0	0	0	14	0	0	0	0	0	0
Copperhill	Water Line Ext	258	0	0	0	0	23	0	0	0	0	0	0
Cumberland City	Water Line Ext	45	0	0	0	0	3	0	0	0	0	0	0
Dandridge	Sewer Line Ext	95	2	0	0	0	12	0	0	0	0	0	0
Dayton	Health Center	0	0	0	0	0	0	4,560	473	0	0	0	513
Decatur County	Water Storage Tank	0	0	0	0	0	0	2,133	28	17	0	3	225
Dover	Sewer System	0	0	0	0	0	0	1,209	35	1	8	0	211
Dunlap	Housing Rehab	8	0	0	0	0	1	0	0	0	0	0	0
Dunlap	Relocation	25	0	0	0	0	5	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Eastview	Water System	0	0	0	0	0	0	1,101	3	0	0	0	144
Elizabethton	Water System	0	0	0	0	0	0	24,527	374	0	0	0	4,233
Erwin	Sewer Line Ext	122	0	0	2	0	16	0	0	0	0	0	0
Franklin County	Health Department	0	0	0	0	0	0	18,616	2,218	###	115	0	2,343
Friendship	Fire Protection	0	0	0	0	0	0	1,442	66	0	0	0	87
Gadsden	Housing Rehab	0	51	0	0	0	9	0	0	0	0	0	0
Gibson County	Early Warning Siren	0	0	0	0	0	0	14,003	366	50	0	0	1,732
Giles County	Water Line Ext	223	0	0	0	0	13	0	0	0	0	0	0
Gilt Edge	Fire Protection	0	0	0	0	0	0	2,802	82	0	5	0	364
Grainger County	Resecue Services	0	0	0	0	0	0	16,429	102	4	8	42	599
Greene County	Water Line Ext	225	2	0	0	1	19	0	0	0	0	0	0
Greenfield	Water System	0	0	0	0	0	0	2,225	363	0	0	11	434
Greenville	Water Storage	0	0	0	0	0	0	21,393	776	0	0	0	3,657
Hamilton County	Sewer Line Ext	229	19	0	0	0	18	0	0	0	0	0	0
Hardeman County	Fire Protection	0	0	0	0	0	0	796	60	10	0	3	84
Hardin County	Water Line Ext	391	6	0	0	0	39	0	0	0	0	0	0
Hartsville	Sewer System	0	0	0	0	0	0	2,042	578	###	6	0	508
Haywood County	Water Line Ext	192	16	0	0	0	7	0	0	0	0	0	0
Henderson	Sewer Line Ext	94	10	0	0	0	3	0	0	0	0	0	0
Henderson County	Fire Protection	0	0	0	0	0	0	2,581	165	0	0	0	247
Humboldt	Housing Rehab	0	26	0	0	0	4	0	0	0	0	0	0
Humboldt	Acquisition	0	28	0	0	0	5	0	0	0	0	0	0
Humboldt	Relocation	0	28	0	0	0	5	0	0	0	0	0	0
Humboldt	Clearance	0	0	0	0	0	0	0	54	0	0	0	9
Jacksboro	Water Storage	0	0	0	0	0	0	7,406	35	0	0	0	1,116
Jasper	Water Line Ext	220	11	0	0	0	10	0	0	0	0	0	0
Jefferson City	Sewer System	0	0	0	0	0	0	165	16	0	0	0	38
Jefferson County	Water Line Ext	572		3	1		91	0	0	0	0	0	0
Kimball	Water Storage	0	0	0	0	0	0	866	19	0	0	0	123
LaFollette	Sewer Line Ext	22	0	0	0	0	2	0	0	0	0	0	0
Lexington	Sewer System	0	0	0	0	0	0	4,575	1,004	0	0	0	2,566
Lincoln County	Water Line Ext	197	4	0	0	0	16	0	0	0	0	0	0
Linden	Water Line Ext	197	0	1	0	0	16	0	0	0	0	0	0
Loretto	Water Line Ext	213	0	0	0	0	22	0	0	0	0	0	0
Manchester	Housing Rehab	54	0	0	0	0	8	0	0	0	0	0	0
Marion County	Sewer System	0	0	0	0	0	0	4,080	58	0	0	0	201
Marshall County	Water Line Ext	177	4	0	0	0	17	0	0	0	0	0	0
McKenzie	Acquisition/Relocation	2	5	0	0	0	2	0	0	0	0	0	0
McKenzie	Housing Rehab	0	29	0	0	0	5	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
MeLemoresville	Water Treatment Plt	0	0	0	0	0		329	24	0	0	0	41
McMinn County	Water Line Ext	350	63	0	0	0	25	0	0	0	0	0	0
Michie	Water Source	0	0	0	0	0	0	1,881	99	0	0	0	554
Middleton	Sewer Treatment Plt	0	0	0	0	0	0	559	67	2	5		119
Monteagle	Sewer System	0	0	0	0	0	0	372	3	0	0	0	76
Montgomery Co.	Water System	0	0	0	0	0	0	3,249	66	0	0	0	318
Moore County	Water Line Ext	219	0	20	0	0	12	0	0	0	0	0	0
Moscow	Fire Protection	0	0	0	0	0	0	1,411	1,207	0	0	0	202
Mt Pleasant	Sewer Treatment Plt	0	0	0	0	0	0	2,912	297	0	0	19	652
New Tazewell	Fire Protection	0	0	0	0	0	0	5,378	54	###	0	0	1,147
Obion	Water System	0	0	0	0	0	0	1,626	92	0	11	14	263
Oneida	Sewer System	0	0	0	0	0	0	2,232	0	0	0	0	424
Paris	Housing Rehab	0	21	0	0	0	7	0	0	0	0	0	0
Paris	Acquisition	0	12	0	0	0	3	0	0	0	0	0	0
Paris	Relocation	0	12	0	0	0	3	0	0	0	0	0	0
Perry County	Water Line Ext	164	0	4	0	0	12	0	0	0	0	0	0
Petersburg	Water Line Ext	65	4	0	0	0	5	0	0	0	0	0	0
Pikeville (IT)	Water System	0	0	0	0	0	0	3,010	N/A	N/A	N/A	N/A	N/A
Red Bank	Sewer Line Ext	0	0	0	0	0	0	2,113	551	0	0	0	300
Ripley	Sewer System	0	0	0	0	0	0	4,200	2,425	0	0	0	1,186
Roane County	Water Line Ext	595	0	0	0	0	49	0	0	0	0	0	0
Rossville	Fire Protection	0	0	0	0	0	0	823	824	0	0	0	201
Saulsburry	Emergency Sirens	0	0	0	0	0	0	418	245	0	0	0	66
Savannah	Emergency Sirens	0	0	0	0	0	0	6,821	675	0	0	0	1,424
Scott County	Water Line Ext	225	0	0	0	0	4	0	0	0	0	0	0
Sequatchie Co.	Water System	342	0	0	0	0	21	0	0	0	0	0	0
Sharon	Water System	0	0	0	0	0	0	956	162	0	0	0	183
Silerton	Fire Protection	0	0	0	0	0	0	395	16	0	0	0	45
Smith County	Water Line Ext	112	0	0	0	0	6	0	0	0	0	0	0
Soddy Daisy	Sewer Line Ext	41	0	0	0	0	4	0	0	0	0	0	0
Somerville	Sewer Treatment Plt	0	0	0	0	0	0	1,398	1,514	0	0	0	670
South Fulton	Early Warning Siren	0	0	0	0	0	0	1,251	404	4	6	20	339
South Pittsburg	Sewer Treatment Plt	0	0	0	0	0	0	1,802	205	0	0	0	454
Sparta	Housing Rehab	4	36	0	0	0	10	0	0	0	0	0	0
Stanton	Sewer Treatment Plt	0	0	0	0	0	0	180	337	0	0	0	42
Stewart County	Emergency Med Svs	0	0	0	0	0	0	6,099	31	0	0	0	625
Sumner County	Health Clinic	0	0	0	0	0	0	32,717	7,175	###	613	0	6,427
Surgoinsville	Sewer System	330	4	3	0	0	51	0	0	0	0	0	0
Sweetwater	Sewer Line Ext	176	0	0	0	5	20	0	0	0	0	0	0

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary					
		1	2	3	4	5	6	1	2	3	4	5	6
Tipton County	Water System	0	0	0	0	0	0	7,227	276	75	0	37	754
Toone	Fire Protection	0	0	0	0	0	0	1,517	403	0	0	0	250
Townsend	Fire Protection	0	0	0	0	0	0	4,075	20	0	0	7	88
Tracy City	Water Line Ext	178	0	0	0	0	10	0	0	0	0	0	0
Trezevant	Sewer Treatment Plt	0	0	0	0	0	0	763	86	0	0	0	133
Tullahoma	Housing Rehab	35	3	0	0	0	7	0	0	0	0	0	0
Vonore	Fire Protection	0	0	0	0	0	0	3,269	25	14	0	0	252
Warren County	Emergency Med Eq	0	0	0	0	0	0	5,319	148	11	0	27	510
Wartburg	Fire Protection	0	0	0	0	0	0	4,915	258	6	0	89	606
Washington Co.	Water Line Ext	112	1	0	0	4	8	0	0	0	0	0	0
Washington Co. (IT)	Water Line Ext.	85	0	0	0	0	12	0	0	0	0	0	0
Wayne County	Water Line Ext	160	0	0	0	0	10	0	0	0	0	0	0
White Bluff	Sewer System	0	0	0	0	0	0	1,193	0	3	21	0	198
White County	Water System	0	0	0	0	0	0	4,794	13	0	0	50	393
Winchester	Drainage	0	0	0	0	0	0	475	389	8	0	0	129

## Part 1

<b>State: Tennessee</b>					<b>Reporting Period FY: 2001</b>							
<b>Grant Number: B-01-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>							
<b>1. Financial Status</b>					<b>2. National Objectives</b>							
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2001 to FY 2001</b>							
					<b>B. Amount Used to:</b>							
<b>(1) Allocation:</b> \$31,505,000					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$37,673,496							
<b>(2) Program Income:</b> \$9,184,647					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0							
					<b>(3) Meet Urgent Community Development Needs:</b> \$0							
<b>B. Amount Obligated to Recipients:</b> \$39,844,531					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0							
<b>C. Amount Drawn Down:</b> \$30,980,887					<b>(5) Local Administration:</b> \$2,171,035							
<b>D. Amount for State Administration:</b> \$730,100												
<b>E. Technical Assistance:</b> \$0					<b>TOTAL:</b> \$39,844,531							
<b>F. Section 108 Loan Guarantees:</b> \$0												
<b>8. Accomplishments</b>												
					<b>Proposed</b>				<b>Actual</b>			
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>
Adamsville	AC	13		\$29,145	PF	LMI	N/A	1,350	828	N/A	1,350	828
		4b		\$470,855								
Alamo	AC	13(P)		\$9,500	PF	LMI	N/A	3,285	2,470	N/A	3,285	2,470
		6(P)		\$164,500								
Algood	AC	13		\$22,500	PF	LMI	N/A	113	92	N/A	109	93
		4b		\$327,500								
Allardt	AC	13(P)		\$7,000	PF	LMI	N/A	2,421	2,089	N/A	2,421	2,089
		6(P)(M)		\$107,492								
Athens	AC	13		\$23,500	PF	LMI	N/A	352	253	N/A	321	263
		4a		\$476,500								
Atoka	AC	13		\$24,070	PF	LMI	N/A	2,525	1,394	N/A	2,525	1,394
		4b		\$356,353								
Bulls Gap	AC	13		\$21,500	PF	LMI	N/A	866	637	N/A	866	637
		4b		\$478,500								
Bell Buckle	AC	13		\$12,500	PF	LMI	N/A	482	357	N/A	482	357
		4b		\$387,500								
Benton	AC	13(P)		\$44,500	H	LMI	N/A	38	38	N/A	25	25
		2(P)		\$9,500								
		8(P)		\$309,000								







3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
New Market	AC	13		\$21,036	PF	LMI	N/A	3,422	2,601	N/A	3,422	2,601
		4A		\$478,964								
Niota	AC	13		\$33,500	PF	LMI	N/A	315	225	N/A	271	216
		4a		\$716,500								
Obion County	AC	13(P)		\$15,000	ED	LMI	N/A	55	28	N/A	61	31
[Williams Sausage]		14b(P)		\$485,000								
Oliver Springs	AC	13		\$32,350	PF	LMI	N/A	5,842	4,510	N/A	5,842	4,510
		4a		\$467,650								
Orme	AC	13		\$16,240	PF	LMI	N/A	157	47	N/A	133	122
		4a		\$229,437								
Overton County	AC	13(P)		\$17,500	PF	LMI	N/A	10,798	8,142	N/A	10,798	8,142
		6(P)		\$282,500								
Parrottsville	AC	13		\$15,000	PF	LMI	N/A	209	150	N/A	209	150
		4b		\$258,000								
Pegram	AC	13(P)		\$54,500	H	LMI	N/A	19	19	N/A	26	26
		2(P)		\$6,000								
		8(P)		\$277,000								
		9a(P)		\$56,909								
Pigeon Forge	AC	13		\$16,500	PF	LMI	N/A	51	44	N/A	41	41
		4b		\$234,258								
Pleasant View	AC	13		\$21,000	PF	LMI	N/A	765	469	N/A	763	464
		4b		\$479,000								
Pulaski	AC	13		\$23,000	PF	LMI	N/A	3,835	3,298	N/A	3,835	3,298
		4b		\$477,000								
Puryear	AC	13		\$26,500	PF	U/N	N/A	668	N/A	N/A	668	N/A
		4a		\$473,500								
Red Boiling Springs	AC	13		\$21,500	PF	LMI	N/A	3,860	3,161	N/A	3,860	3,161
		4a		\$478,500								
Rutherford	AC	13		\$15,375	PF	LMI	N/A	1,234	887	N/A	1,234	887
		4a		\$436,491								
Samburg	AC	13(P)		\$59,000	H	LMI	N/A	32	32	N/A	21	21
		8(P)		\$270,000								
		9a(P)		\$171,000								
Sevier County	AC	13		\$20,251	PF	LMI	N/A	93	84	N/A	83	83
		4a		\$292,263								
Sevierville	AC	13		\$29,000	PF	LMI	N/A	12,362	7,516	N/A	12,362	7,516
		4b		\$471,000								
Shelbyville	AC	13		\$14,950	PF	LMI	N/A	76	54	N/A	76	55



## STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-01-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Adamsville	WW Treatment Plt	0	0	0	0	0	0	1,305	28	17	0	0	220	1,350	827	61.26%
Alamo	Fire Protection	0	0	0	0	0	0	2,513	699	73	0	0	975	3,285	2,470	75.19%
Algood	Sewer Line Extension	100	13	0	0	0	16	0	0	0	0	0	113	92	81.42%	
Allardt	Fire Protection	0	0	0	0	0	0	2,421	0	0	0	0	208	2,421	2,089	86.29%
Athens	Water Line Extension	352	0	0	0	0	16	0	0	0	0	0	352	253	71.88%	
Atoka	WW Treatment Plt	0	0	0	0	0	0	2,346	131	16	24	8	235	2,525	1,394	55.21%
Bell Buckle	Sewer System	0	0	0	0	0	0	427	55	0	0	0	55	482	357	74.07%
Benton County	Water System	0	0	0	0	0	0	8,064	41	0	0	0	1,046	8,105	4,223	52.10%
Benton	Housing Rehab	20	0	0	0	0	0	0	0	0	0	0	0	20	20	100.00%
Benton	Relocation	18	0	0	0	0	3	0	0	0	0	0	0	18	18	100.00%
Bulls Gap	Sewer System	0	0	0	0	0	0	864	0	0	0	2	138	866	637	73.56%
Carthage	Sewer System	0	0	0	0	0	0	1,934	135	0	0	0	625	2,069	1,611	77.86%
Cocke County	Water Line Extension	279	0	2	0	0	21	0	0	0	0	0	0	281	227	80.78%
Collinwood	Water System	0	0	0	0	0	0	1,548	5	0	2	0	243	1,555	987	63.47%
Cookeville	Sewer System	0	0	0	0	0	0	334	15	9	0	0	116	358	333	93.02%
Cowan	Sewer System	0	0	0	0	0	0	1,595	160	9	0	9	298	1,773	1,205	67.96%
Cottage Grove	Water System	0	0	0	0	0	0	121	1	0	0	0	10	122	76	62.30%
Crab Orchard	Housing Rehab	39	0	0	0	0	6	0	0	0	0	0	0	39	39	100.00%
Cumberland Co.	Water Line Extension	114	0	0	0	0	7	0	0	0	0	0	0	114	97	85.09%
Decaturville	Sewer System	0	0	0	0	0	0	521	12	0	0	0	101	533	420	78.80%
Decatur Co. (IT)	Sewer System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Dyer	Sewer System	0	0	0	0	0	0	2,453	327	42	0	0	325	2,822	1,834	64.99%
East Ridge	Sewer System	0	0	0	0	0	0	1,159	130	10	13	10	216	1,322	796	60.21%
Englewood	Fire Protection	0	0	0	0	0	0	6,207	56	45	0	0	874	6,308	5,097	80.80%
Erin	Water System	0	0	0	0	0	0	4,791	412	16	0	0	506	5,219	4,598	88.10%
Fentress Co.	Water Line Extension	167	0	0	0	0	16	0	0	0	0	0	0	167	166	99.40%
Gates	Housing Rehab	6	10	0	0	0	7	0	0	0	0	0	0	16	16	100.00%
Gatlinburg	Sewer Line Extension	41	0	0	0	0	6	0	0	0	0	0	0	41	25	60.98%
Grand Junction	Sewer System	0	0	0	0	0	0	244	205	0	4	0	0	453	287	63.36%
Gruetli-Laager	Relocation	32	0	0	0	0	1	0	0	0	0	0	0	32	32	100.00%
Gruetli-Laager	Housing Rehab	19	0	0	0	0	4	0	0	0	0	0	0	19	19	100.00%
Hamblen Co.	Sewer Line Extension	115	12	0	0	0	21	0	0	0	0	0	0	127	96	75.59%
Hamilton Co.(IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	6,237	N/A	N/A
Hancock Co.	Water System	0	0	0	0	0	0	1,200	0	0	0	0	128	1,200	967	80.58%
Harriman	WW Treatment Plt	0	0	0	0	0	0	4,844	89	0	0	0	745	4,933	3,058	61.99%
Hawkins Co.	Water Line Extension	263	7	3	2	0	5	0	0	0	0	0	0	275	149	54.18%
Henning	WW Treatment Plt	0	0	0	0	0	0	278	524	0	0	0	72	802	464	57.86%
Henry	Sewer System	0	0	0	0	0	0	363	94	47	0	0	46	504	414	82.14%
Hickman Co.	Water System	0	0	0	0	0	0	9,686	0	123	0	0	808	9,809	7,521	76.67%
Hohenwald	Water System	0	0	0	0	0	0	6,183	172	0	0	0	1,282	6,355	40,696	640.38%
Hollow Rock	Housing Rehab	27	40	3	0	0	10	0	0	0	0	0	0	70	70	100.00%
Hollow Rock	Relocation	0	4	0	0	0	2	0	0	0	0	0	0	4	4	100.00%
Hollow Rock	Clearance	31	40	3	0	0	12	0	0	0	0	0	0	74	74	100.00%
Hornbeak	Sewer System	121	0	0	0	0	17	0	0	0	0	0	0	121	104	85.95%
Houston Co.	Water Line Extension	280	6	1	0	0	21	0	0	0	0	0	0	287	252	87.80%
Huntingdon	Sewer System	0	0	0	0	0	0	2,391	733	96	57	115	906	3,392	2,473	72.91%
Iron City	Water Line Extension	108	0	0	0	0	14	0	0	0	0	0	0	108	102	94.44%
Jackson Co.	Fire Protection	0	0	0	0	0	0	11,872	0	0	0	132	1,729	12,004	10,263	85.50%
Lawrence Co.	Water System	0	0	0	0	0	0	2,617	14	56	0	0	281	2,687	1,829	68.07%
Lewis Co.	Health Department	0	0	0	0	0	0	5,641	229	31	0	0	781	5,901	5,779	97.93%
Lewsburg	Sewer System	0	0	0	0	0	0	487	250	100	3	4	137	844	649	76.90%
Livingston	Water System	0	0	0	0	0	0	7,885	35	0	0	0	1,124	7,920	4,593	57.99%
Loudon	Housing Rehab	24	0	0	0	0	8	0	0	0	0	0	0	24	24	100.00%
Macon Co.	Water Line Extension	198	1	0	0	20	17	0	0	0	0	0	0	219	188	85.84%
Martin	Water System	0	0	0	0	0	0	6,405	1,811	122	95	95	1,262	8,528	5,729	67.18%
McMinnville	Housing Rehab	44	2	0	0	0	7	0	0	0	0	0	0	46	40	86.96%
Milan	Sewer Line Extension	84	10	0	0	0	10	0	0	0	0	0	0	94	88	93.62%
Minor Hill	Water Line Extension	59	0	0	0	0	3	0	0	0	0	0	0	59	41	69.49%
Mitchellville	Sewer System	206	0	8	0	1	12	0	0	0	0	0	0	215	166	77.21%
Monroe Co.	Water Line Extension	265	1	0	0	10	27	0	0	0	0	0	0	276	168	60.87%

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Monterey	Water System	0	0	0	0	0	0	3,691	25	538	18	0	671	4,272	3,289	76.99%
Morristown	Housing Rehab	48	0	0	0	0	5	0	0	0	0	0	48	48	100.00%	
Munford	Water System	0	0	0	0	0	0	9,058	634	0	16	11	894	9,719	6,268	64.49%
New Hope	Housing Rehab	21	0	0	0	0	4	0	0	0	0	0	21	21	100.00%	
New Hope	Relocation	5	0	0	0	0	2	0	0	0	0	0	5	5	100.00%	
New Market	Water System	0	0	0	0	0	0	3,393	49	0	0	0	330	3,442	2,620	76.12%
Niota	Water System	0	0	0	0	0	0	3,001	15	0	0	0	237	3,016	2,696	89.39%
Oliver Springs	Water System	0	0	0	0	0	0	5,766	76	0	0	0	386	5,842	4,510	77.20%
Orme	Water Line Extension	56	0	0	0	0	4	0	0	0	0	0	56	47	83.93%	
Overton Co.	Fire Protection	0	0	0	0	0	0	10,722	63	0	0	13	1,166	10,798	8,142	75.40%
Parrottsville	Sewer System	0	0	0	0	0	0	206	3	0	0	0	18	209	150	71.77%
Pegram	Housing Rehab	0	19	0	0	0	4	0	0	0	0	0	19	19	100.00%	
Pigeon Forge	Sewer Line Extension	46	0	5	0	0	10	0	0	0	0	0	51	44	86.27%	
Pleasant View	Sewer System	0	0	0	0	0	0	765	0	0	0	0	68	765	483	63.14%
Pulaski	Sewer System	0	0	0	0	0	0	1,381	2,453	0	1	0	1,026	3,835	3,299	86.02%
Puryear (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Red Boiling Springs	Water System	0	0	0	0	0	0	3,682	0	147	31	0	421	3,860	3,161	81.89%
Rutherford	Water System	0	0	0	0	0	0	940	294	0	0	0	245	1,234	857	69.45%
Samburg	Housing Rehab	34	0	0	0	0	4	0	0	0	0	0	34	32	94.12%	
Sevier Co.	Water Line Extension	93	0	0	0	0	5	0	0	0	0	0	93	84	90.32%	
Sevierville	Sewer System	0	0	0	0	0	0	10,767	997	66	133	399	1,755	12,362	7,701	62.30%
Shelbyville	Sewer Line Extension	67	0	9	0	0	6	0	0	0	0	0	76	54	71.05%	
Tellico Plains	Water System	0	0	0	0	0	0	4,504	9	0	0	0	208	4,513	2,812	62.31%
Tennessee Ridge	Water System	0	0	0	0	0	0	2,689	19	0	5	0	402	2,713	2,233	82.31%
Trousdale Co.	Water System	0	0	0	0	0	0	5,535	780	115	6	0	953	6,436	4,457	69.25%
Union City	Housing Rehab	0	9	0	0	0	2	0	0	0	0	0	9	9	100.00%	
Union City	Acq/Relocation	0	16	0	0	0	4	0	0	0	0	0	16	16	100.00%	
Union Co.(IT)	Water Line Extension	N/A	N/A	N/A	N/A	N/A	N/A	0	0	0	0	0	0	N/A	N/A	N/A
Van Buren Co.	Emergency Equip	0	0	0	0	0	0	4,753	7	20	0	100	500	4,880	1,593	32.64%
Warren Co.	Water Line Extension	176	2	0	0	8	15	0	0	0	0	0	186	136	73.12%	
Wartburg	Sewer Line Extension	111	0	0	0	0	12	0	0	0	0	0	111	80	72.07%	
Wartrace	Water System	0	0	0	0	0	0	1,785	53	19	0	0	191	1,857	1,135	61.12%
Watauga	Fire Protection	0	0	0	0	0	0	6,787	36	26	0	0	691	6,849	5,123	74.80%
Waynesboro	Water System	0	0	0	0	0	0	2,499	65	0	0	0	587	2,564	1,651	64.39%
White Pine	Sewer System	0	0	0	0	0	0	1,913	44	124	0	0	200	2,081	1,394	66.99%

Part 1

State: Tennessee					Reporting Period FY: 2002							
Grant Number: B-02-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2002 to FY 2002							
					B. Amount Used to:							
(1) Allocation: \$31,570,000					(1) Benefit to Low/Moderate Income Persons: \$34,702,658							
(2) Program Income: \$6,523,000					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$677,060							
B. Amount Obligated to Recipients: \$37,183,991					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$30,736,891					(5) Local Administration: \$1,804,273							
D. Amount for State Administration: \$731,400												
E. Technical Assistance: \$0					TOTAL: \$37,183,991							
F. Section 108 Loan Guarantees: \$0												
8. Accomplishments												
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Auburntown	AC	13		\$17,500	PF	LMI	N/A	150	93	N/A	137	83
		4A		\$418,600								
Baxter	AC	13		\$20,500	PF	LMI	N/A	4,138	3,480	N/A	4,138	3,480
		4A		\$479,500								
Beersheba Springs	AC	13 (P)		\$24,000	H	LMI	N/A	20	20	N/A	25	25
		9A (P)		\$109,000								
		2 (P)		\$6,000								
		08 (P)		\$134,000								
Bolivar	AC	13		\$31,500	PF	LMI	N/A	5,509	3,944	N/A	5,509	3,944
		4B		\$468,500								
Bradley County	AC	13		\$23,500	PF	LMI	N/A	649	493	N/A	405	357
		4A		\$476,500								
Brighton	AC	13		\$31,500	PF	LMI	N/A	2,057	1,572	N/A	2,057	1,572
		4A		\$468,500								
Byrdstown	AC	13		\$18,500	PF	LMI	N/A	4,240	2,599	N/A	4,240	2,599
		4A		\$481,500								
Calhoun	AC	13		\$23,500	PF	LMI	N/A	303	226	N/A	177	160
		4A		\$476,500								
Campbell County	AC	13		\$1,500	PF	LMI	N/A	123	105	N/A	77	71
		4A		\$498,500								
Cannon County	AC	13		\$23,500	PF	LMI	N/A	181	121	N/A	97	53
		4A		\$476,500								



					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Greenback	AC	13		\$9,750	PF	LMI	N/A	9,193	7,827	N/A	9,193	7,814
		6		\$160,250								
Greenfield	AC	13		\$33,500	PF	LMI	N/A	2,599	1,978	N/A	2,599	1,978
		4A		\$466,500								
Halls	AC	13		\$26,865	PF	LMI	N/A	4,809	3,217	N/A	4,809	3,217
		4A		\$395,885								
Hardin County		13(P)		\$13,350	ED	LMI						
[The Design Team Sign Company, LLC]		14B(P)		\$486,650								
Haywood County	AC	13		\$21,500	PF	LMI	N/A	121	92	N/A	133	113
		4A		\$478,500								
Jackson County	AC	13		\$22,500	PF	LMI	N/A	127	103	N/A	104	88
		4A		\$427,500								
Johnson County		13		\$21,000	PF	LMI						
		4A		\$479,000								
Johnson County (IT)	AC	13		\$16,280	PF	U/N	N/A	650	N/A	N/A	650	N/A
		4A		\$205,060								
LaFollette	AC	13		\$29,500	PF	LMI	N/A	8,035	6,074	N/A	8,035	6,074
		4B		\$470,500								
Lake City	AC	13		\$31,475	PF	LMI	N/A	1,910	1,654	N/A	1,910	1,654
		4b		\$468,525								
Lake County	AC	13		\$19,500	PF	LMI	N/A	4,323	3,463	N/A	4,323	3,463
		6		\$280,500								
Lauderdale County	AC	13		\$14,500	PF	LMI	N/A	28,672	28,070	N/A	28,672	28,070
		6		\$285,500								
Lawrenceburg	AC	13		\$17,500	PF	LMI	N/A	1,713	1,203	N/A	1,713	1,203
		4B		\$482,500								
Linden	AC	13		\$14,500	PF	LMI	N/A	3,916	2,303	N/A	3,916	2,303
		4a		\$485,500								
Lobelville	AC	13		\$4,500	PF	LMI	N/A	1,894	1,456	N/A	1,894	1,456
		6		\$118,500								
Luttrell	AC	13		\$29,500	PF	LMI	N/A	2,365	1,937	N/A	2,365	1,937
		4B		\$470,500								
Lynnville	AC	13		\$4,500	PF	LMI	N/A	1,394	1,052	N/A	1,372	1,040
		6		\$55,560								
Macon County		14B(P)		\$500,000	ED	LMI						
[Nestle Waters]												
Marion County	AC	13		\$24,000	PF	LMI	N/A	251	212	N/A	121	83
		4A		\$476,000								
Mason	AC	13		\$8,500	PF	LMI	N/A	1,856	1,540	N/A	1,856	1,540







## STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-02-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Auburntown	Water Line Extension	150	0	0	1	0	4	0	0	0	0	0	0	151	94	62.25%
Baxter	Water System	0	0	0	0	0	0	4,076	31	12	0	19	546	4,138	3,480	84.10%
Beersheeba Springs	Housing Relocation	9	0	0	0	0	2	0	0	0	0	0	0	9	9	100.00%
Beersheeba Springs	Housing Rehab	11	0	0	0	0	1	0	0	0	0	0	0	11	11	100.00%
Bolivar	Sewer Treatment Plt	0	0	0	0	0	0	2,133	3,231	91	37	20	954	5,512	3,930	71.30%
Bradley Co.	Water Line Extension	647	2	0	0	0	28	0	0	0	0	0	0	649	493	75.96%
Brighton	Water Storage Tank	0	0	0	0	0	0	1,481	521	55	0	0	214	2,057	1,572	76.42%
Brownsville	Sewer Line Extension	0	0	0	0	0	0	5	0	0	0	0	5	5	5	100.00%
Byrdstown	Water System	0	0	0	0	0	0	4,240	0	0	0	0	0	4,240	2,600	61.32%
Calhoun	Water Line Extension	275	0	28	0	0	11	0	0	0	0	0	0	303	226	74.59%
Campbell Co.	Water Line Extension	123	0	0	0	0	13	0	0	0	0	0	0	123	105	85.37%
Cannon Co.	Water Line Extension	176	0	2	0	3	13	0	0	0	0	0	0	181	121	66.85%
Carter Co.	Water Syste	0	0	0	0	0	0	1,260	0	18	0	0	178	1,278	1,039	81.30%
Centerville	Sewer System	0	0	0	0	0	0	1,553	28	0	0	0	542	1,581	1,138	71.98%
Charleston	Sewer Line Extension	112	25	0	0	0	32	0	0	0	0	0	0	137	129	94.16%
Cheatham Co.	Fire Protection	0	0	0	0	0	0	5,272	127	59	8	31	374	5,497	3,804	69.20%
Church Hill	Fire Protection	0	0	0	0	0	0	5,932	205	29	0	0	1,085	6,166	3,681	59.70%
Claiborne Co.	Water Line Extension	158	0	6	0	2	27	0	0	0	0	0	0	166	121	72.89%
Clarksburg	Sewer System	0	0	0	0	0	0	829	43	18	0	0	41	890	566	63.60%
Clay Co.	Water Line Extension	86	0	0	0	0	7	0	0	0	0	0	0	86	73	84.88%
Crockett Co.	First Responder	0	0	0	0	0	0	8,043	2,221	222	0	0	1,279	10,486	7,843	74.79%

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Dandridge	Water Line Extension	560	104	0	0	0	71	0	0	0	0	0	0	664	593	89.31%
Decatur	Water Line Extension	339	0	0	0	0	15	0	0	0	0	0	0	339	275	81.12%
DeKalb Co.	Water Line Extension	168	0	5	0	1	11	0	0	0	0	0	0	174	140	80.46%
Englewood	Water Line Extension	425	0	0	0	0	21	0	0	0	0	0	0	425	307	72.24%
Enville	Fire Protection	0	0	0	0	0	0	1,055	15	0	0	0	106	1,070	635	59.35%
Etowah	Water Line Extension	237	0	0	0	0	14	0	0	0	0	0	0	237	179	75.53%
Finger	Fire Protection	0	0	0	0	0	0	2,386	76	0	0	0	182	2,462	1,635	66.41%
Franklin Co.	Water Storage Tank	0	0	0	0	0	0	1,069	77	7	0	0	84	1,153	973	84.39%
Gleason	Water System	0	0	0	0	0	0	1,492	10	0	0	0	201	1,502	976	64.98%
Grainger Co.	911 Services	0	0	0	0	0	0	16,429	95	57	0	4	599	16,585	8,911	53.73%
Greenback	Fire Protection	0	0	0	0	0	0	9,193	0	0	0	0	642	9,193	7,827	85.14%
Greenfield	Water System	0	0	0	0	0	0	2,499	97	0	0	3	434	2,599	1,978	76.11%
Halls	Water Source	0	0	0	0	0	0	4,607	202	0	0	0	640	4,809	3,217	66.90%
Haywood Co.	Sewer Line Extension	118	3	0	0	0	13	0	0	0	0	0	0	121	92	76.03%
Jackson Co.	Water Line Extension	122	0	1	1	3	13	0	0	0	0	0	0	127	103	81.10%
Johnson Co.	Water Line Extension	117	2	0	1	12	4	0	0	0	0	0	0	132	97	73.48%
Johnson Co. (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
LaFollette	Sewer System	0	0	0	0	0	0	7,818	203	14	0	0	924	8,035	6,074	75.59%
Lake City	Sewer Plant Impr	0	0	0	0	0	0	1,910	0	0	0	0	434	1,910	1,647	86.23%
Lake Co.	Emergency Svs Ctr	0	0	0	0	0	0	3,731	489	95	0	8	592	4,323	3,463	80.11%
Lauderdale Co.	Health Clinic	0	0	0	0	0	0	14,537	13,633	477	25	0	9,060	28,672	28,070	97.90%
Lawrenceburg	Sewer System	0	0	0	0	0	0	1,703	10	0	0	0	369	1,713	1,203	70.23%
Lobelville	Fire Protection	0	0	0	0	0	0	1,894	0	0	0	0	192	1,894	1,456	76.87%
Luttrell	Sewer System	0	0	0	0	0	0	2,365	0	0	0	0	213	2,365	1,937	81.90%
Lynnville	Emergency Rescue	0	0	0	0	0	0	1,366	28	0	0	0	69	1,394	1,052	75.47%
Marion Co.	Water Line Extension	244	0	0	0	7	20	0	0	0	0	0	0	251	217	86.45%
Mason	Fire Protection	0	0	0	0	0	0	1,001	841	10	0	4	204	1,856	1,540	82.97%
Maynardville	Water Line Extension	133	0	0	0	0	12	0	0	0	0	0	0	133	112	84.21%
McEwen	Sewer System	0	0	0	0	0	0	1,466	9	0	0	3	232	1,478	1,215	82.21%
McKenzie	Housing Acq/Relocation	0	10	0	0	0	1	0	0	0	0	0	0	10	10	100.00%
McKenzie	Housing Rehab	0	20	0	0	0	6	0	0	0	0	0	0	20	20	100.00%
Michie	Water Line Extension	1,973	61	0	0	0	264	0	0	0	0	0	0	2,034	1,432	70.40%
Midtown	Fire Protection	0	0	0	0	0	0	4,672	150	0	0	0	636	4,822	4,002	82.99%
Morgan Co.	Water Line Extension	156	7	0	0	0	13	0	0	0	0	0	0	163	145	88.96%
Mosheim	Sewer System	0	0	0	0	0	0	1,322	15	3	0	4	185	1,344	1,057	78.65%
Newbern (IT)	Water System	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
New Tazewell	Sewer Line Extension	45	6	0	0	0	7	0	0	0	0	0	0	51	47	92.16%
Obion Co.	Water Plant Impr	0	0	0	0	0	0	2,968	54	22	0	0	170	3,044	1,811	59.49%
Overton Co.	Water Line Extension	113	0	1	0	1	6	0	0	0	0	0	0	115	104	90.43%

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Parkers Crossroads	Fire Protection	0	0	0	0	0	0	1,240	506	0	0	0	210	1,746	1,397	80.01%
Parsons	Water System	0	0	0	0	0	0	2,484	75	95	0	0	536	2,654	2,168	81.69%
Pickett Co.	Fire Protection	0	0	0	0	0	0	5,594	0	0	0	0	559	5,594	4,039	72.20%
Plainview	Fire Protection	0	0	0	0	0	0	3,007	23	17	0	0	70	3,047	2,188	71.81%
Portland	Water System	0	0	0	0	0	0	13,398	251	206	0	0	1,261	13,855	11,569	83.50%
Powell's Crossroads	Sewer System	112	0	0	0	0	4	0	0	0	0	0	0	112	107	95.54%
Rhea Co.	Sewer Line Extension	5,270	89	64	12	0	378	0	0	0	0	0	0	5,435	4,228	77.79%
Saulsbury	Fire Protection	0	0	0	0	0	0	1,950	596	0	0	0	285	2,546	1,825	71.68%
Savannah	Housing Rehab	22	0	0	0	0	7	0	0	0	0	0	0	22	22	100.00%
Scott Co.	Sewer Line Extension	743	0	0	0	0	62	0	0	0	0	0	0	743	611	82.23%
Scotts Hill	Water Tank	0	0	0	0	0	0	3,321	0	0	0	0	252	3,321	1,903	57.30%
Smith Co.	Water System	0	0	0	0	0	0	1,971	0	24	0	0	148	1,995	1,714	85.91%
Smithville	Sewer System	0	0	0	0	0	0	3,924	102	163	0	0	970	4,189	3,716	88.71%
Sneedville	Sewer System	0	0	0	0	0	0	1,094	0	0	0	0	232	1,094	887	81.08%
St. Joseph	Water Treatment Plt	0	0	0	0	0	0	1,018	5	0	0	0	132	1,023	642	62.76%
Sunbirght	Fire Protection	0	0	0	0	0	0	1,578	39	0	0	0	121	1,617	1,358	83.98%
Tiptonville	Housing Rehab	1	33	0	0	0	9	0	0	0	0	0	0	34	34	100.00%
Tiptonville	Housing Acq/Relocation	0	15	0	0	0	4	0	0	0	0	0	0	15	15	100.00%
Tiptonville	Housing Clearance	1	53	0	0	0	13	0	0	0	0	0	0	54	54	100.00%
Trenton	Sewer System	0	0	0	0	0	0	3,500	165	0	4	3	246	3,672	2,299	62.61%
Tusculum	Sewer System	0	0	0	0	0	0	1,894	2	2	0	2	112	1,900	1,176	61.89%
Unicoi	Water System	0	0	0	0	0	0	3,356	0	76	0	0	442	3,432	2,217	64.60%
Union Co.	Fire Protection	0	0	0	0	0	0	17,949	48	24	0	0	919	18,021	13,804	76.60%
Viola	Fire Protection	0	0	0	0	0	0	1,430	0	7	0	0	119	1,437	1,176	81.84%
Waverly	Sewer System	0	0	0	0	0	0	2,481	360	7	0	0	624	2,848	2,475	86.90%
Weakley Co.	Fire Protection	0	0	0	0	0	0	5,155	87	9	15	0	363	5,266	4,529	86.00%
White Co.	Water System	0	0	0	0	0	0	3,349	0	0	0	0	288	3,349	2,525	75.40%
Whiteville	Water System	0	0	0	0	0	0	668	494	0	0	0	238	1,162	854	73.49%
Whitwell	Sewer Line Extension	1,430	0	22	0	0	81	0	0	0	0	0	0	1,452	1,033	71.14%

## Part 1

State: Tennessee					Reporting Period FY: 2003							
Grant Number: B-03-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2003 to FY 2003							
					B. Amount Used to:							
(1) Allocation: \$30,940,000					(1) Benefit to Low/Moderate Income Persons: \$31,669,853							
(2) Program Income: \$7,136,958					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$1,670,958							
B. Amount Obligated to Recipients: \$35,166,699					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$29,452,631					(5) Local Administration: \$1,825,888							
D. Amount for State Administration: \$718,800												
E. Technical Assistance: \$0					TOTAL: \$35,166,699							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed			Actual				
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Alexandria	AC	13		\$23,500	PF	LMI	N/A	1,965	1,377	N/A	1,965	1,377
		4A		\$476,500								
Bedford County	AC	13		\$16,500	PF	LMI	N/A	1,831	1,507	N/A	1,831	1,507
		4A		\$383,500								
Bells	AC	13		\$29,500	PF	LMI	N/A	2,247	1,600	N/A	2,247	1,600
		4B		\$470,500								
Big Sandy	AC	13		\$12,680	PF	LMI	N/A	774	706	N/A	774	706
		4A		\$202,320								
Bluff City	AC	13		\$48,335	PF	LMI	N/A	1,997	1,430	N/A	1,997	1,430
		4A		\$451,665								
Brownsville	AC	13		\$26,500	PF	LMI	N/A	4,477	2,987	N/A	4,477	2,987
		4B		\$473,500								
Brownsville		14B(P)		\$750,000	ED	LMI						
[Dynametal Technologies, Inc.]												
Bruceton	AC	13		\$32,120	PF	LMI	N/A	1,359	1,005	N/A	1,359	1,005
		4B		\$467,880								
Cannon County	AC	13(P)		\$17,500	ED	LMI	NA	38	20	NA	31	22
[Cumberland Molded Products]		14B(P)		\$482,500								
Carroll County	AC	13		\$19,500	PF	LMI	N/A	3,796	3,454	N/A	3,796	3,454

					8. Accomplishments							
					Proposed			Actual				
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		6		\$280,500								
Carter County (IT)	AC	13		\$30,000	PF	U/N	NA	484		NA	308	
		4A		\$470,000								
Caryville		13(P)		\$10,000	ED	LMI						
[Camel Manufacturing]		14B(P)		\$740,000								
Celina	AC	13		\$20,000	PF	LMI	N/A	3,486	2,775	N/A	3,486	2,775
		4A		\$480,000								
Chester County	AC	13		\$10,000	PF	LMI	N/A	2,436	2,063	N/A	2,436	2,063
		6		\$235,700								
Cornersville	AC	13		\$13,500	PF	LMI	N/A	797	614	N/A	797	614
		4B		\$309,500								
Covington	AC	13		\$26,500	PF	LMI	N/A	5,174	7,002	N	7,002	5,174
		4A		\$473,500								
Crossville	AC	13(P)		\$48,200	H	LMI	N/A	35	35	N/A	19	19
		2(P)		\$4,000								
		8(P)		\$220,000								
		9A(P)		\$227,800								
Cumberland City	AC	13		\$18,500	PF	LMI	N/A	66	44	N/A	55	40
		4A		\$481,500								
Dayton	AC	13		\$26,500	PF	LMI	N/A	218	154	N/A	117	71
		4A		\$438,500								
Decherd	AC	13		\$20,000	PF	LMI	N/A	2,342	1,949	N/A	2,342	1,949
		4C		\$280,000								
Dickson County (IT)	AC	13(P)		\$23,500	PF	U/N	N/A	N/A	N/A	N/A	NA	NA
		4A(P)		\$441,958								
Dover (2004 project)	AC	13		\$23,500	PF	LMI	N/A	1,399	1,207	N/A	1,399	1,207
		4B		\$376,500								
Ducktown	AC	13		\$26,500	PF	LMI	N/A	191	183	N/A	141	118
		4A		\$473,500								
Dyer	AC	13		\$31,500	PF	LMI	N/A	2,482	1,613	N/A	2,482	1,613
		4B		\$468,500								
Dyer County	AC	13(P)		\$59,000	H	LMI	N/A	37	37	N/A	15	15
		2(P)		\$8,000								
		8(P)		\$324,000								
		9A(P)		\$109,000								
Elizabethton (IT)	AC	13(P)		\$15,000	PF	U/N	N/A	NA	NA	NA	NA	NA

					8. Accomplishments							
					Proposed			Actual				
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4A(P)		\$275,500								
Erin	AC	13		\$19,500	PF	LMI	N/A	5,546	4,980	N/A	5,546	4,980
		4A		\$480,500								
Erwin	AC	13		\$24,900	PF	LMI	N/A	5,468	3,516	N/A	5,468	3,516
		4B		\$475,100								
Estill Springs	AC	13		\$17,500	PF	LMI	N/A	3,172	1,916	N/A	3,172	1,916
		4A		\$282,500								
Friendship	AC	13		\$19,784	PF	LMI	N/A	659	604	N/A	659	604
		4B		\$288,196								
Gadsden	AC	13		\$11,500	PF	LMI	N/A	1,424	933	N/A	1,424	933
		6		\$158,899								
Gainesboro	AC	13		\$22,500	PF	LMI	N/A	1,300	1,066	N/A	1,300	1,066
		4A		\$477,500								
Giles County	AC	13		\$16,500	PF	LMI	N/A	2,790	1,939	N/A	2,790	1,939
		4A		\$467,300								
Grainger County		13(P)		\$11,500	ED	LMI						
[Sexton Furniture]		14B(P)		\$488,500								
Greenbrier	AC	13		\$20,500	PF	LMI	N/A	4,659	3,229	N/A	4,659	3,229
		4B		\$479,500								
Grundy County	AC	13		\$14,100	PF	LMI	N/A	14,365	11,607	N/A	14,365	11,607
		6		\$196,325								
Hamilton County (IT)	AC	13		\$16,500	PF	U/N	N/A	N/A	N/A	N/A	N/A	N/A
		4C		\$483,500								
Hardeman County	AC	13		\$19,500	PF	LMI	N/A	5,276	5,107	N/A	5,276	5,107
		6		\$280,500								
Hardin County	AC	13		\$19,500	PF	LMI	N/A	5,090	4,586	N/A	5,090	4,586
		6		\$280,500								
Hartsville/Trousdale County	AC	13		\$23,500	PF	LMI	N/A	2,460	2,140	N/A	2,460	2,140
		4B		\$476,500								
Hawkins County		13(P)		\$13,000	ED	LMI						
[International Playing Card]		14B(P)		\$443,000								
Hendersonville	AC	13		\$21,500	PF	LMI	N/A	117	116	N/A	115	102
		4B		\$378,500								
Huntingdon	AC	13		\$26,500	PF	LMI	N/A	2,655	1,850	N/A	2,655	1,850
		4B		\$473,500								
Loretto	AC	13		\$16,500	PF	LMI	N/A	1,971	1,653	N/A	1,971	1,653







## STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-03-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant						Beneficiary						Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	1	2	3	4	5	6			
Alexandria	Water system	0	0	0	0	0	0	1,892	67	6	0	0	279	1,965	1,377	70.08%
Bedford Co.	Water system	0	0	0	0	0	0	1,813	9	9	0	0	143	1,831	1,507	82.30%
Bells	Sewer system	0	0	0	0	0	0	1,220	376	452	0	199	359	2,247	1,600	71.21%
Big Sandy	Water system	0	0	0	0	0	0	774	0	0	0	0	54	774	706	91.21%
Blaine	Sewer system	0	0	0	0	0	0	601	2	3	0	2	51	608	412	67.76%
Bluff City	Water system	0	0	0	0	0	0	1,965	7	4	14	7	347	1,997	1,430	71.61%
Brownsville	Sewer system	0	0	0	0	0	0	2,127	2,298	61	0	0	1,121	4,486	2,987	66.58%
Bruceton	Sewer system	0	0	0	0	0	0	1,316	37	3	0	3	302	1,359	1,005	73.95%
Carroll Co.	Health Dept	0	0	0	0	0	0	2,961	660	150	0	25	531	3,796	3,454	90.99%
Carter County (IT)	Water Line Ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Celina	Water system	0	0	0	0	0	0	3,354	116	17	0	0	607	3,487	2,775	79.58%
Chester Co.	Fire protection	0	0	0	0	0	0	2,278	147	11	0	0	219	2,436	2,063	84.69%
Cornersville	Sewer system	0	0	0	0	0	0	785	7	3	0	2	121	797	615	77.16%
Covington	Water system	0	0	0	0	0	0	4,829	1,740	68	15	357	1,332	7,009	5,180	73.90%
Crossville	Housing rehab	49	0	4	0	0	7	0	0	0	0	0	0	53	51	96.23%
Cumberland City	Water line ext	65	0	1	0	0	3	0	0	0	0	0	0	66	45	68.18%
Dayton	Water line ext	218	0	0	0	0	10	0	0	0	0	0	0	218	154	70.64%
Decherd	Drainage	0	0	0	0	0	0	2,270	71	1	0	0	417	2,342	1,950	83.26%
Dickson County (IT)	Water line ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

## STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant						Beneficiary						Total Served	# OF	
		1	2	3	4	5	6	1	2	3	4	5	6		LMI	% LMI
Ducktown	Water line ext	198	0	0	0	0	16	0	0	0	0	0	0	198	183	92.42%
Dyer	Sewer system	0	0	0	0	0	0	2,453	327	42	0	0	325	2,822	1,834	64.99%
Elizabethton (IT)	Water Line Ext	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Dyer Co.	Housing rehab	0	40	0	0	0	25	0	0	0	0	0	0	40	37	92.50%
Erin	Water system	0	0	0	0	0	0	5,098	431	17	0	0	532	5,546	4,980	89.79%
Erwin	Sewer system	0	0	0	0	0	0	5,404	0	53	0	11	1,072	5,468	3,517	64.32%
Estill Springs	Water system	0	0	0	0	0	0	3,159	11	0	0	2	341	3,172	1,916	60.40%
Friendship	Sewer system	0	0	0	0	0	0	619	40	0	0	0	65	659	604	91.65%
Gadsden	Fire protection	0	0	0	0	0	0	1,296	128	0	0	0	104	1,424	933	65.52%
Gainesboro	Water system	0	0	0	0	0	0	1,300	0	0	0	0	316	1,300	1,066	82.00%
Giles Co.	Water system	0	0	0	0	0	0	2,712	72	5	1	0	307	2,790	1,939	69.50%
Greenbrier	Sewer system	0	0	0	0	0	0	4,459	41	62	28	69	303	4,659	3,229	69.31%
Grundy Co.	Emergency Svs Bldg	0	0	0	0	0	0	14,342	0	0	0	23	1,126	14,365	11,607	80.80%
Hamilton County (IT)	Emerg. Stream Bank	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	1,020	N/A	N/A
Hardeman Co.	Health Dept	1,609	3,533	100	0	34	976	0	0	0	0	0	0	5,276	5,107	96.80%
Hardin Co.	Health Dept	4,693	216	133	22	26	392	0	0	0	0	0	0	5,090	4,586	90.10%
Hartsville/Trousdale Co	Sewer system	0	0	0	0	0	0	1,774	674	12	0	0	649	2,460	2,140	86.99%
Hendersonville	Sewer line ext	116	1	0	0	0	17	0	0	0	0	0	0	117	116	99.15%
Huntingdon	Sewer system	0	0	0	0	0	0	2,431	790	78	0	0	709	3,299	2,425	73.51%
Loretto	Water system	0	0	0	0	0	0	1,971	0	0	0	0	439	1,971	1,653	83.87%
Macon Co.	Water line ext	184	0	2	0	1	17	0	0	0	0	0	0	187	164	87.70%
Madisonville	Sewer system	0	0	0	0	0	0	601	0	0	0	0	105	601	443	73.71%
Marshall Co.	Water line ext	184	0	2	0	0	15	0	0	0	0	0	0	186	128	68.82%
Maury City	Housing rehab	4	28	0	0	0	5	0	0	0	0	0	0	32	32	100.00%
Maury Co.	Health Dept	0	0	0	0	0	0	15,922	1,042	853	22	17	2,196	17,856	16,785	94.00%
McMinn Co.	Water line ext	433	3	0	0	0	19	0	0	0	0	0	0	436	333	76.38%
McNairy Co.	Fire protection	0	0	0	0	0	0	2,637	67	1	0	0	176	2,705	2,167	80.11%
Meigs Co.	Water line ext	280	0	0	0	0	10	0	0	0	0	0	0	280	240	85.71%
Millersville	Drainage	0	0	0	0	0	0	5,249	576	194	56	0	632	6,075	4,805	79.09%
Monroe Co.	Health Center	0	0	0	0	0	0	556	32	32	7	0	88	627	599	95.53%
Morrison	Emergency Svs Bldg	0	0	0	0	0	0	5,299	51	134	6	13	402	5,503	3,792	68.91%
Mount Carmel	Sewer line ext	131	2	0	0	0	31	0	0	0	0	0	0	133	110	82.71%
Mountain City	Water system	0	0	0	0	0	0	6,948	40	0	0	30	842	7,018	4,351	62.00%
Oakdale	Fire protection	0	0	0	0	0	0	3,085	9	0	0	0	158	3,094	2,126	68.71%
Obion	Fire protection	0	0	0	0	0	0	1,993	91	7	0	0	309	2,091	1,547	73.98%
Oliver Springs	Sewer system	0	0	0	0	0	0	2,818	175	20	0	20	428	3,033	2,457	81.01%
Oneida	Sewer system	0	0	0	0	0	0	2,378	0	0	0	0	390	2,378	1,848	77.71%
Paris	Housing rehab	0	21	0	0	0	4	0	0	0	0	0	0	21	21	100.00%

**STATE GRANT PERFORMANCE/EVALUATION REPORT**

Locality	Activity	Applicant						Beneficiary						Total Served	# OF	
		1	2	3	4	5	6	1	2	3	4	5	6		LMI	% LMI
Paris	Acquisition/relocation	1	6	0	0	0	1	0	0	0	0	0	0	7	7	100.00%
Perry Co.	Water system	0	0	0	0	0	0	3,897	17	0	2	0	385	3,916	2,347	59.93%
Polk Co.	Water line ext	457	0	0	0	0	22	0	0	0	0	0	457	335	73.30%	
Red Boiling Springs	Water system	0	0	0	0	0	0	3,682	0	147	31	0	421	3,860	3,188	82.59%
Ridgely	Water system	0	0	0	0	0	0	1,892	267	66	3	0	310	2,228	1,693	75.99%
Saltillo	Early Warning Sys	0	0	0	0	0	0	243	120	0	0	0	50	363	344	94.77%
Sardis	Water system	0	0	0	0	0	0	744	8	2	0	0	96	754	606	80.37%
Selmer	Water system	0	0	0	0	0	0	15,096	1,438	0	0	0	1,372	16,534	10,218	61.80%
Sevier Co.	Water line ext	152	6	0	0	0	16	0	0	0	0	0	158	144	91.14%	
Soddy-Daisy	Housing rehab	21	0	0	0	0	5	0	0	0	0	0	21	21	100.00%	
Soddy-Daisy	Relocation	16	0	0	0	0	4	0	0	0	0	0	16	16	100.00%	
South Carthage	Fire protection	0	0	0	0	0	0	1,210	58	6	0	0	283	1,274	954	74.88%
Sparta	Sewer system	0	0	0	0	0	0	3,866	332	24	0	12	1,029	4,234	3,319	78.39%
Spring City	Sewer system	0	0	0	0	0	0	1,127	28	0	0	0	253	1,155	831	71.95%
Stanton	Housing rehab	0	30	0	0	0	30	0	0	0	0	0	30	30	100.00%	
Stanton	Clearance/Drainage	0	34	0	0	0	43	0	0	0	0	0	34	34	100.00%	
Stantonville	Early Warning Sys	0	0	0	0	0	0	356	0	0	0	0	43	356	224	62.92%
Tazewell	Sewer line ext	76	0	0	0	0	7	0	0	0	0	0	76	62	81.58%	
Tipton Co.	Water system	0	0	0	0	0	0	14,428	250	41	0	13	1,282	14,732	10,769	73.10%
Tracy City	Sewer line ext	104	0	0	0	0	13	0	0	0	0	0	104	102	98.08%	
Trezevant	Sewer system	0	0	0	0	0	0	798	112	0	0	0	147	910	692	76.04%
Vonore	Sewer line ext	22	0	0	0	0	2	0	0	0	0	0	22	19	86.36%	
Westmoreland	Water system	0	0	0	0	0	0	2,903	0	0	0	0	433	2,903	2,276	78.40%

## Part 1

<b>State: Tennessee</b>					<b>Reporting Period FY: 2004</b>							
<b>Grant Number: B-04-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>							
<b>1. Financial Status</b>					<b>2. National Objectives</b>							
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2004 to FY 2004</b>							
					<b>B. Amount Used to:</b>							
<b>(1) Allocation:</b> \$31,250,733					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$34,772,277							
<b>(2) Program Income:</b> \$6,772,500					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0							
					<b>(3) Meet Urgent Community Development Needs:</b> \$478,500							
<b>B. Amount Obligated to Recipients:</b> \$37,152,403					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0							
<b>C. Amount Drawn Down:</b> \$37,274,348					<b>(5) Local Administration:</b> \$1,901,626							
<b>D. Amount for State Administration:</b> \$725,015												
<b>E. Technical Assistance:</b> \$0					<b>TOTAL:</b> \$37,152,403							
<b>F. Section 108 Loan Guarantees:</b> \$0												
					<b>8. Accomplishments</b>							
					<b>Proposed</b>			<b>Actual</b>				
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>
Anderson County	AC	13		\$28,126	PF	LMI	N/A	90	84	N/A	86	80
		4a		\$332,305								
Athens	AC	13		\$26,500	PF	LMI	N/A	521	397	N/A	249	197
		4a		\$473,500								
Atoka	AC	13		\$26,500	PF	LMI	N/A	4745	2448	N/A	4745	2448
		4a		\$473,500								
Bean Station	AC	13		\$26,000	PF	LMI	N/A	6,277	5,712	N/A	6,277	5,712
		4a		\$452,816								
Bledsoe County	AC	13		\$26,500	PF	LMI	N/A	310	231	N/A	156	101
		4a		\$473,500								
Cannon County	C	13(P)		\$16,000	ED	LMI	NA	42	21	NA	13	7
[Global Industrial Components		14b(P)		\$448,000								
Chapel Hill		14b(P)		\$65,000	ED	LMI						
[Lobelson-McCabe, Inc]												
Chapel Hill	AC	13		\$13,160	PF	LMI	N/A	1,053	887	N/A	1,053	887
		4a		\$181,180								
Church Hill	AC	13		\$31,500	PF	LMI	N/A	5,495	3,687	N/A	5,495	3,687

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4b		\$468,500								
Clay County		13(P)		\$16,000	ED	LMI						
[Fab Care]		14b(P)		\$503,000								
Clifton	AC	13		\$31,500	PF	LMI	N/A	759	539	N/A	759	539
		4b		\$468,500								
Cocke County	AC	13		\$26,500	PF	LMI	N/A	126	113	N/A	104	85
		4a		\$473,500								
Coffee County	AC	13		\$16,500	PF	LMI	N/A	277	205	N/A	106	82
		4A		\$483,500								
Collegedale	AC	13		\$26,500	PF	LMI	N/A	103	103	N/A	33	33
		4B		\$473,500								
Columbia	AC	13		\$16,500	PF	LMI	N/A	720	684	N/A	720	684
		4b		\$483,500								
Crockett County	AC	13		\$20,000	PF	LMI	N/A	5,476	5,295	N/A	5,476	5,295
		6		\$280,000								
Cumberland County	AC	13		\$24,000	PF	LMI	N/A	11,925	9,063	N/A	11,925	9,063
		4a		\$476,000								
Decatur County	AC	13		\$12,500	PF	LMI	N/A	5,421	3,377	N/A	5,421	3,377
		6		\$287,500								
Dyersburg	AC	13(P)		\$44,287	H	LMI	N/A	85	85	N/A	18	16
		9a(P)		\$455,713								
Fentress County	AC	13		\$16,000	PF	LMI	N/A	15,501	12,664	N/A	15,501	12,664
		6		\$284,000								
Franklin County	AC	13		\$17,500	PF	LMI	N/A	4,978	3,514	N/A	4,978	3,514
		4a		\$482,500								
Friendsville	AC	13		\$23,100	PF	LMI	N/A	3,565	2,912	N/A	3,565	2,912
		4a		\$293,239								
Gates	AC	13		\$20,690	PF	LMI	N/A	669	607	N/A	669	607
		4b		\$299,137								
Gibson County	AC	13		\$11,729	PF	LMI	N/A	14,593	10,317	N/A	14,593	10,317
		6		\$158,763								
Grainger County	AC	13		\$22,000	PF	LMI	N/A	90	84	N/A	73	69
		4a		\$428,481								
Greene County	AC	13		\$31,500	PF	LMI	N/A	260	192	N/A	167	150
		4a		\$468,500								
Hamilton County	AC	13		\$26,500	PF	LMI	N/A	335	271	N/A	194	143

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4A		\$473,500								
Harriman	AC	13		\$29,500	PF	LMI	N/A	5,071	4,001	N/A	5,071	4,001
		4b		\$470,500								
Haywood County	AC	13		\$28,500	PF	LMI	N/A	188	118	N/A	92	79
		4a		\$471,500								
Henderson County	AC	13		\$15,000	PF	LMI	N/A	4,205	3,991	N/A	4,205	3,991
		6		\$285,000								
Henderson County [Manufacturers Ind. Group]		14b(P)		\$500,000	ED	LMI						
Henry County	AC	13		\$19,500	PF	LMI	N/A	5,320	4,224	N/A	5,320	4,224
		6		\$280,500								
Hickman County	AC	13		\$16,500	PF	LMI	NA	30,710	22,674	NA	30,710	22,674
		6		\$283,500								
Hohenwald	AC	13		\$17,500	PF	LMI	N/A	2,624	1,899	N/A	2,624	1,899
		4b		\$482,500								
Houston County	AC	13		\$19,500	PF	LMI	N/A	212	204	N/A	152	144
		4a		\$480,500								
Huntland	AC	13		\$19,500	PF	LMI	N/A	1,052	952	N/A	1,052	952
		4a		\$330,500								
Jackson County	AC	13		\$25,400	PF	LMI	N/A	116	106	N/A	34	31
		4a		\$474,600								
Jamestown	AC	13(P)		\$1,500	H	LMI	N/A	40	40	N/A	25	25
		9a(P)		\$498,000								
Jefferson City	AC	13		\$20,164	PF	LMI	N/A	4,983	4,086	N/A	4,983	4,101
		4B		\$479,836								
Jellico	AC	13		\$23,650	PF	LMI	N/A	2,022	1,872	N/A	2,022	1,872
		4b		\$451,918								
Jonesborough	AC	13		\$28,500	PF	LMI	N/A	16,691	10,215	N/A	16,691	10,215
		4a		\$471,500								
Lafollette	AC	13		\$29,500	PF	LMI	N/A	8,035	6,131	N/A	8,035	6,131
		4b		\$470,500								
Lawrence County	AC	13		\$18,500	PF	LMI	N/A	237	165	N/A	156	125
		4a		\$481,500								
Lewis County	AC	13		\$20,000	PF	LMI	N/A	6,393	4,302	N/A	6,393	4,302
		4a		\$480,000								
Lewisburg	AC	13		\$20,000	PF	LMI	N/A	1,111	975	N/A	1,111	975

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4b		\$478,000								
Livingston	AC	13		\$30,000	PF	LMI	N/A	8,426	6,074	N/A	8,426	6,074
		4a		\$470,000								
Loudon County	AC	13		\$51,500	PF	LMI	N/A	1,088	878	N/A	670	570
		4a		\$948,500								
Luttrell	AC	13		\$29,500	PF	LMI	N/A	2,365	1,937	N/A	2,365	1,937
		4b		\$470,500								
Metro Moore County	AC	13		\$21,500	PF	LMI	N/A	108	89	N/A	50	40
		4a		\$243,500								
Milan	AC	13		\$30,550	PF	LMI	N/A	69	68	N/A	84	80
		4b		\$469,450								
Milledgeville	AC	13		\$1,725	PF	LMI	N/A	314	174	N/A	314	174
		6		\$17,033								
Monterey	AC	13		\$20,500	PF	LMI	N/A	2,394	2,107	N/A	2,394	2,107
		4b		\$479,500								
Morgan County	AC	13		\$26,635	PF	LMI	N/A	144	127	N/A	92	81
		4a		\$473,365								
New Market	AC	13		\$27,500	PF	LMI	N/A	3,564	84	N/A	3,564	2,994
		4a		\$472,500								
Newport	AC	13(P)		\$44,700	H	LMI	N/A	35	35	N/A	15	15
		9a(P)		\$258,300								
		2(P)		\$17,000								
		8(P)		\$180,000								
Overton County	AC	13		\$17,500	PF	LMI	N/A	9,915	8,309	N/A	9,915	8,309
		6		\$282,500								
Pickett County	AC	13		\$12,500	PF	LMI	N/A	1,109	829	N/A	1,109	829
		6		\$287,500								
Pikeville	AC	13		\$26,500	PF	LMI	N/A	2,473	1,762	N/A	2,473	1,762
		4A		\$473,500								
Plainview	AC	13		\$9,500	PF	LMI	N/A	3,040	2,189	N/A	3,040	2,189
		6		\$169,100								
Pulaski	AC	13		\$20,000	PF	LMI	N/A	3,835	3,459	N/A	3,835	3,459
		4b		\$480,000								
Ramer		13		\$12,480	PF	LMI						
		4a		\$170,520								
Red Bank	AC	13(P)		\$42,000	H	LMI	N/A	46	46	N/A	54	54

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		9a(P)		\$298,000								
		8(P)		\$135,000								
Roane County	AC	13		\$16,500	PF	LMI	N/A	57,700	39,236	N/A	57,700	39,236
		6		\$283,500								
Rockwood	AC	13		\$26,500	PF	LMI	N/A	3,634	2,914	N/A	3,634	2,914
		4b		\$473,500								
Rutherford	AC	13		\$22,538	PF	LMI	N/A	1,355	892	N/A	1,355	892
		4a		\$294,760								
Rutledge	AC	13		\$29,500	PF	LMI	N/A	1,856	1,171	N/A	1,939	1,197
		4a		\$470,500								
Savannah	AC	13		\$31,500	PF	LMI	N/A	7,456	5,406	N/A	7,456	5,406
		4b		\$468,500								
Sharon	AC	13		\$19,708	PF	LMI	N/A	936	807	N/A	936	807
		4a		\$258,770								
Smith County	AC	13		\$19,500	PF	LMI	N/A	93	79	N/A	31	22
		4a		\$312,500								
Smithville	AC	13		\$22,000	PF	LMI	N/A	4,189	3,175	N/A	4,189	3,175
		4b		\$478,000								
South Pittsburg	AC	13(P)		\$42,000	H	LMI	N/A	52	52	N/A	33	33
		8(P)		\$325,000								
		9a(P)		\$133,000								
Spring City (IT)	AC	13		\$21,500	PF	U/N	N/A	N/A	2,025	N/A	N/A	N/A
		4b		\$478,500								
Stewart County	AC	13		\$15,500	PF	LMI	N/A	2,494	1,978	N/A	2,494	1,978
		6		\$284,500								
Sullivan County	AC	13		\$19,500	PF	LMI	NA	128	97	NA	132	101
		6		\$280,500								
Sunbright	AC	13		\$29,500	PF	LMI	NA	86	71	NA	86	71
		4b		\$470,500								
Trenton	AC	13		\$26,500	PF	LMI	N/A	3,692	2,488	N/A	3,692	2,488
		4b		\$473,500								
Unicoi County	AC	13		\$26,500	PF	LMI	N/A	10,297	6,271	N/A	10,297	6,271
		4a		\$473,500								
Union County	AC	13		\$19,500	PF	LMI	N/A	18,021	13,804	N/A	18,021	13,804
		6		\$280,500								
Van Buren County	AC	13(P)		\$33,000	H	LMI	N/A	39	39	N/A	26	26



## STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-04-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |  |   |
|--|---|
| <ol style="list-style-type: none"> <li>1. White</li> <li>2. Black/African American</li> <li>3. Asian</li> <li>4. American Indian/Alaskan Native</li> <li>5. Native Hawaiian/Other Pacific Islander</li> <li>6. American Indian/Alaskan Native &amp; White</li> </ol> | <ol style="list-style-type: none"> <li>7. Asian &amp; White</li> <li>8. Black/African American &amp; White</li> <li>9. American Indian/Alaskan Native &amp; Black/African American</li> <li>10. Other Multi-Racial</li> <li>11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).</li> </ol> |
|--|---|

Locality	Activity	Applicant											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Anderson County	Waterline Ext.	90	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	0	90	84	93.00%
Athens	Waterline Ext.	521	0	0	0	0	0	0	0	0	0	28	0	0	0	0	0	0	0	0	0	0	0	521	397	76.00%
Atoka	Water System	0	0	0	0	0	0	0	0	0	0	0	4,476	137	20	20	0	6	67	0	0	31	157	4,757	2,483	52.20%
Bean Station	Waterline	0	0	0	0	0	0	0	0	0	0	0	5,882	270	0	0	0	0	0	0	0	125	345	6,277	5,710	91.00%
Bledsoe County	Water line Ext.	310	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	310	231	74.50%
Chapel Hill	Wayter System	0	0	0	0	0	0	0	0	0	0	0	1,008	36	0	0	0	2	0	7	0	0	121	1,053	887	84.20%
Church Hill	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,374	121	0	0	0	0	0	0	0	0	1,110	5,495	3,687	67.10%
Clifton	Sewer System	0	0	0	0	0	0	0	0	0	0	0	652	107	0	0	0	0	0	0	0	0	91	759	539	71.00%
Cocke County	Waterline Ext.	122	2	0	0	0	0	0	0	0	2	12	0	0	0	0	0	0	0	0	0	0	0	126	113	89.60%
Coffee County	Waterline Ext.	264	4	0	2	0	0	0	0	0	7	19	0	0	0	0	0	0	0	0	0	0	0	277	205	74.00%
Collegedale	Sewerline Ext.	88	0	0	0	0	0	0	0	0	15	10	0	0	0	0	0	0	0	0	0	0	0	103	99	96.00%
Columbia	Sewer System	0	0	0	0	0	0	0	0	0	0	0	91	625	4	0	0	0	0	0	0	0	166	720	684	95.00%
Crockett County	Health Dept.	2,300	731	0	10	0	0	0	22	0	2,413	493	0	0	0	0	0	0	0	0	0	0	0	5,476	5,295	96.70%
Cumberland County	Water System	0	0	0	0	0	0	0	0	0	0	0	11,424	75	50	0	0	0	0	0	0	376	1,443	11,925	9,063	76.00%
Decatur County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	4,591	298	0	490	0	0	0	0	0	42	457	5,421	3,387	62.40%
Dover	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,349	38	3	3	6	0	0	0	0	0	249	1,399	1,207	86.30%
Dyersburg	Housing	85	4	0	0	0	0	0	0	0	3	27	0	0	0	0	0	0	0	0	0	0	0	92	85	92.40%
Fentress County	Communication	0	0	0	0	0	0	0	0	0	0	0	15,315	46	0	47	0	0	0	0	0	93	1,766	15,501	12,666	81.70%
Franklin County	Water System	0	0	0	0	0	0	0	0	0	0	0	4,707	60	0	0	0	60	0	55	0	96	760	4,978	3,513	70.60%
Friendsville	Water System	0	0	0	0	0	0	0	0	0	0	0	3,473	92	0	0	0	0	0	0	0	0	490	3,565	2,912	81.67%
Gates	Sewer System	0	0	0	0	0	0	0	0	0	0	0	325	342	0	0	0	0	0	0	0	2	91	669	607	90.10%
Gibson County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	13,789	616	0	0	0	0	0	0	0	0	1,916	14,405	10,025	69.60%
Grainger County	Waterline Ext.	89	1	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	90	83	92.20%	
Greene County	Waterline Ext.	254	0	0	1	0	0	0	0	0	5	24	0	0	0	0	0	0	0	0	0	0	0	260	192	73.80%
Hamilton County	Waterline Ext.	335	0	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	335	271	80.90%
Harriman	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,746	280	45	0	0	0	0	0	0	0	751	5,071	4,001	79.90%
Haywood County	Waterline Ext.	172	16	0	0	0	0	0	0	0	0	24	0	0	0	0	0	0	0	0	0	0	0	188	118	62.80%
Henderson County	Health Clinic	0	0	0	0	0	0	0	0	0	0	0	3,705	367	10	4	0	0	0	0	0	119	429	4,205	3,991	94.90%
Henry County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	4,841	400	11	8	0	0	0	0	15	45	638	5,320	4,224	79.40%

**STATE GRANT PERFORMANCE/EVALUATION REPORT**

Locality	Activity	Applicant											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Hickman County	Emergency Ctr.	0	0	0	0	0	0	0	0	0	0	0	29,820	630	0	0	0	0	0	0	0	260	2,997	30,710	22,674	73.80%
Hohenwald	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,527	97	0	0	0	0	0	0	0	0	615	2,624	1,899	72.40%
Houston County	Waterline Ext.	203	0	0	0	0	0	0	0	0	9	19	0	0	0	0	0	0	0	0	0	0	0	212	204	96.20%
Huntland	Water System	0	0	0	0	0	0	0	0	0	0	0	1,020	32	0	0	0	0	0	0	0	0	275	1,052	953	90.50%
Jackson County	Waterline Ext.	115	0	0	1	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	116	106	91.38%
Jamestown	Housing	40	0	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	0	40	38	95.00%
Jefferson City	Sewerline Repair	0	0	0	0	0	0	0	0	0	0	0	4,642	330	0	0	0	0	0	0	0	89	568	5,061	4,174	82.00%
Jellico	Sewerline Repair	0	0	0	0	0	0	0	0	0	0	0	2,010	0	0	0	0	0	0	0	0	0	404	2,010	1,854	92.23%
Jonesborough	Water System	0	0	0	0	0	0	0	0	0	0	0	16,257	235	0	86	30	0	0	0	0	83	2,003	16,691	10,215	61.20%
Kimball	Sewerline Ext.	135	0	11	0	0	0	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	146	141	96.60%
LaFollette	Sewer System	7,826	203	0	0	0	0	0	0	0	6	924	0	0	0	0	0	0	0	0	0	0	0	8,035	6,131	76.30%
Lawrence County	Waterline Ext.	237	0	0	0	0	0	0	0	0	0	22	0	0	0	0	0	0	0	0	0	0	237	165	69.60%	
Lewis County	Water System	0	0	0	0	0	0	0	0	0	0	0	6,227	166	0	0	0	0	0	0	0	0	1,276	6,393	4,300	67.30%
Lewisburg	Sewer System	685	348	0	0	0	7	0	5	0	66	242	0	0	0	0	0	0	0	0	0	0	0	1,111	975	87.80%
Liberty	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,376	100	28	7	0	0	0	0	0	22	413	2,533	2,077	81.90%
Livingston	Water System	0	0	0	0	0	0	0	0	0	0	0	8,314	42	14	28	0	0	0	0	0	28	1,204	8,426	6,074	72.00%
Loudon County	Waterline Ext.	1,066	22	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	1,088	878	80.70%
Luttrell	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,363	2	0	0	0	0	0	0	0	0	220	2,365	1,937	81.90%
Milan	Sewerline Ext.	56	3	0	0	0	0	0	3	0	7	3	0	0	0	0	0	0	0	0	0	0	0	69	68	98.60%
Milledgeville	Siren System	0	0	0	0	0	0	0	0	0	0	0	293	10	0	1	0	0	0	0	7	0	42	311	172	55.40%
Monterey	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,597	18	0	0	0	0	0	0	0	779	529	2,394	2,107	88.00%
Moore County	Waterline Ext.	106	0	0	0	0	0	0	0	0	2	9	0	0	0	0	0	0	0	0	0	0	0	108	89	82.40%
Morgan County	Waterline Ext.	143	1	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	144	127	88.19%
New Market	Waterline Repair	0	0	0	0	0	0	0	0	0	0	0	3,508	56	0	0	0	0	0	0	0	0	387	3,564	56	87.00%
Newport	Housing	7	28	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	35	35	100.00%
Oakland	Water System	0	0	0	0	0	0	0	0	0	0	0	4,327	882	31	19	0	0	0	0	0	163	309	5,422	3,600	67.20%
Overton County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	9,894	26	0	13	0	0	0	0	0	0	965	9,933	8,321	83.70%
Palmer	Sewerline Ext.	575	0	0	4	0	0	0	0	0	1	30	0	0	0	0	0	0	0	0	0	0	0	580	453	78.10%
Pickett County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,109	0	0	0	0	0	0	0	0	0	145	1,109	829	74.70%
Pikeville	Water System	0	0	0	0	0	0	0	0	0	0	0	2,350	109	0	14	0	0	0	0	0	0	423	2,473	1,762	71.30%
Plainview	Ambulance	3,028	4	0	0	0	0	0	0	0	8	185	0	0	0	0	0	0	0	0	0	0	0	3,040	2,189	72.20%
Pulaski	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,401	2,427	7	0	0	0	0	0	0	0	1,026	3,835	3,460	90.20%
Ramer	Water System	0	0	0	0	0	0	0	0	0	0	0	427	47	0	0	0	0	0	0	0	0	48	474	398	84.00%
Red Bank	Housing	44	0	0	0	0	0	0	0	0	2	5	0	0	0	0	0	0	0	0	0	0	0	48	46	95.80%
Roane County	EMS Equipment	0	0	0	0	0	0	0	0	0	0	0	53,775	2,280	0	0	0	0	0	0	0	1,720	6,075	57,775	39,250	67.94%
Rockwood	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,513	121	0	0	0	0	0	0	0	0	691	3,634	2,914	80.10%
Rutherford	Water System	0	0	0	0	0	0	0	0	0	0	0	1,122	230	1	0	1	0	0	0	0	1	240	1,355	892	65.80%
Rutledge	Waterline Ext.	1,837	6	0	0	0	0	0	0	0	13	109	0	0	0	0	0	0	0	0	0	0	0	1,856	1,171	63.10%
Savannah	Sewer System	0	0	0	0	0	0	0	0	0	0	0	6,434	1,074	0	0	0	0	0	0	0	0	1,337	7,508	5,421	72.20%
Sequatchie County	Waterline Ext.	336	0	0	0	0	0	0	0	0	0	26	0	0	0	0	0	0	0	0	0	0	0	336	251	74.70%
Sharon	Water System	0	0	0	0	0	0	0	0	0	0	0	790	103	0	0	0	0	0	0	0	0	275	893	806	90.20%
Smith County	Waterline Ext.	93	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	93	79	84.90%
Smithville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,924	102	0	0	0	0	0	0	0	163	970	4,189	3,176	75.80%
South Pittsburg	Housing	52	11	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	52	52	100.00%
Spring City (IT)	Sewer Line	0	0	0	0	0	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2,025	N/A	N/A
Springfield	Siren System	0	0	0	0	0	0	0	0	0	0	0	10,450	3,735	126	84	0	0	0	0	0	1,343	2,660	15,738	12,433	79.00%
Stewart County	Ambulance/Bldg	0	0	0	0	0	0	0	0	0	0	0	2,392	75	0	27	0	0	0	0	0	0	185	2,494	1,978	79.30%

**STATE GRANT PERFORMANCE/EVALUATION REPORT**

Locality	Activity	Applicant											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Sullivan County	Sewerline Ext.	124	0	0	0	0	0	0	0	0	4	17	0	0	0	0	0	0	0	0	0	0	0	0	128	97	75.70%
Sunbright	Sewer System	0	0	0	0	0	0	0	0	0	0	0	86	0	0	0	0	0	0	0	0	0	0	17	86	71	82.60%
Trenton	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,102	538	0	17	0	0	0	0	0	0	31	800	3,688	2,490	67.50%
Unicoi County	Water System	0	0	0	0	0	0	0	0	0	0	0	10,081	0	0	95	0	26	0	0	0	95	1,771	10,297	6,271	60.90%	
Union County	Ambulance/Bldg	0	0	0	0	0	0	0	0	0	0	0	17,949	48	0	0	0	0	0	0	0	0	24	919	18,021	13,804	76.60%
Van Buren County	Housing	38	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	6	39	39	100.00%
Warren County	Ambulance	0	0	0	0	0	0	0	0	0	0	0	4,972	13	0	0	0	0	0	0	0	0	7	515	4,992	3,560	71.30%
White County	Ambulance	0	0	0	0	0	0	0	0	0	0	0	21,887	355	0	19	0	0	0	0	0	0	280	2,480	22,541	16,703	74.10%
Whiteville	Siren System	0	0	0	0	0	0	0	0	0	0	0	679	323	0	0	0	0	0	0	11	11	205	1,013	713	70.30%	
Woodbury	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,113	163	0	6	0	0	0	0	0	0	54	667	2,325	1,900	81.70%

## Part 1

<b>State: Tennessee</b>					<b>Reporting Period FY: 2005</b>								
<b>Grant Number: B-05-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>								
<b>1. Financial Status</b>					<b>2. National Objectives</b>								
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2005 to FY 2005</b>								
					<b>B. Amount Used to:</b>								
<b>(1) Allocation:</b>					\$29,786,399			<b>(1) Benefit to Low/Moderate Income Persons:</b>			\$20,497,254		
<b>(2) Program Income:</b>					\$1,096,100			<b>(2) Prevent/Eliminate Slums/Blight:</b>			\$0		
								<b>(3) Meet Urgent Community Development Needs:</b>			\$579,440		
<b>B. Amount Obligated to Recipients:</b>					\$22,390,217			<b>(4) Acquisition/Rehabilitation Noncountable:</b>			\$0		
<b>C. Amount Drawn Down:</b>					\$21,654,356			<b>(5) Local Administration:</b>			\$1,313,523		
<b>D. Amount for State Administration:</b>					\$695,728								
<b>E. Technical Assistance:</b>					\$0			<b>TOTAL:</b>			\$22,390,217		
<b>F. Section 108 Loan Guarantees:</b>					\$0								
					<b>8. Accomplishments</b>								
							<b>Proposed</b>			<b>Actual</b>			
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>	
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>	
Alamo	AC	13		\$22,500	PF	LMI	N/A	2459	1876	N/A	2459	1876	
		4B		\$346,265									
Baxter	AC	13		\$25,000	PF	LMI	N/A	4,761	3,814	N/A	4,761	3,814	
		4A		\$475,000									
Bedford County	AC	13		\$18,500	PF	LMI	N/A	119	98	N/A	116	95	
		4A		\$342,300									
Brownsville	AC	13		\$59,051	H	LMI	N/A	115	115	N/A	43	43	
		9A		\$440,949									
Camden	AC	13		\$31,500	PF	LMI	N/A	7,264	4,460	N/A	7,264	4,460	
		4A		\$468,500									
Caryville	AC	13		\$30,949	PF	LMI	NA	8,156	5,832	NA	8,156	5,832	
		4A		\$459,875									
Celina	AC	13		\$24,000	PF	LMI	N/A	3,890	3,061	N/A	3,890	3,061	
		4A		\$476,000									
Clay County	AC	13(P)		\$34,000	H	LMI	N/A	60	54	N/A	21	21	
		9A(P)		\$213,000									

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		8		\$253,000								
Cumberland Gap	AC	13		\$20,896	PF	LMI	N/A	325	269	N/A	325	269
		4B		\$248,526								
DeKalb County	AC	13		\$26,500	PF	LMI	N/A	5,105	3,701	N/A	5,105	3,701
		4A		\$473,500								
Elizabethton	AC	13		\$31,500	PF	LMI	N/A	23,744	14,264	N/A	23,744	14,264
		4A		\$468,500								
Englewood	AC	13		\$28,500	PF	LMI	N/A	1,132	921	N/A	1,132	921
		4B		\$471,500								
Erin	AC	13		\$20,500	PF	LMI	N/A	5,902	5,300	N/A	5,902	5,300
		4A		\$479,500								
Etowah	AC	13		\$18,000	PF	LMI	NA	15,280	11,322	NA	15,280	11,322
		6		\$282,000								
Gallaway	AC	13		\$19,500	PF	LMI	N/A	573	559	N/A	573	559
		4B		\$261,400								
Greeneville	AC	13(P)		\$30,000	PF	LMI	N/A	49	49	N/A	13	13
		9A(P)		\$405,000								
		8(P)		\$65,000								
Greenfield	AC	13		\$9,627	PF	LMI	N/A	2,030	1,468	N/A	2,030	1,468
		4B		\$125,827								
Halls	AC	13		\$31,500	PF	LMI	N/A	5,468	3,740	N/A	5,468	3,740
		4A		\$468,500								
Hartsville/Trousdale County	AC	13		\$27,500	PF	LMI	N/A	144	123	N/A	65	65
		4A		\$472,500								
Hawkins County	AC	13		\$29,500	PF	LMI	N/A	246	178	N/A	231	193
		4A		\$470,500								
Henry County		13(P)		\$9,000	ED	LMI						
[Knox Outdoor]		14B(P)		\$130,700								
Huntingdon	AC	13		\$21,500	PF	LMI	N/A	3,270	2,413	N/A	3,270	2,413
		4B		\$478,500								
Johnson County (IT)	AC	13		\$9,400	PF	U/N	N/A	462	N/A	N/A	462	N/A
		4A		\$110,940								
Lafayette	AC	13		\$26,500	PF	LMI	N/A	2,838	2,143	N/A	2,838	2,143
		4B		\$473,500								
Lake City	AC	13		\$27,050	PF	LMI	N/A	1,791	1,675	N/A	1,791	1,675

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4B		\$472,950								
Lake County	AC	13		\$44,400	H	LMI	N/A	45	45	N/A	14	14
		9		\$245,600								
		8		\$210,000								
Lawrenceburg	AC	13		\$21,000	PF	LMI	N/A	1,918	1,352	N/A	1,918	1,352
		4B		\$479,000								
Lynnville	AC	13		\$13,500	PF	LMI	N/A	763	552	N/A	763	552
		4A		\$265,500								
Macon County	AC	13		\$26,500	PF	LMI	N/A	11,376	9,308	N/A	11,376	9,308
		4A		\$473,500								
Martin	AC	13		\$31,500	PF	LMI	N/A	6,676	5,207	N/A	6,676	5,207
		4B		\$468,500								
Maynardville	AC	13		\$32,430	PF	LMI	N/A	5,273	3,849	N/A	5,273	3,849
		4A		\$467,570								
McKenzie	AC	13		\$36,500	PF	LMI	N/A	3,838	2,771	N/A	3,838	2,771
		4B		\$463,500								
Minor Hill	AC	13		\$4,500	PF	LMI	N/A	1,990	1,698	N/A	1,990	1,698
		6		\$140,750								
Monteagle	AC	13		\$28,500	PF	LMI	NA	297	209	NA	297	209
		4B		\$471,500								
Mountain City	AC	13		\$31,500	PF	LMI	N/A	7,018	4,358	N/A	7,018	4,358
		4A		\$468,500								
Niota	AC	13		\$28,500	PF	LMI	N/A	287	239	N/A	157	135
		4A		\$471,500								
Oliver Springs	AC	13		\$29,500	PF	LMI	N/A	3,033	2,496	N/A	3,033	2,496
		4B		\$470,500								
Oneida	AC	13		\$29,500	PF	LMI	N/A	2,378	1,855	N/A	2,378	1,855
		4B		\$470,500								
Putnam County	AC	13		\$14,500	PF	LMI	N/A	17,759	10,975	N/A	17,759	10,975
		6		\$285,500								
Red Boiling Springs	AC	13		\$27,500	PF	LMI	N/A	4,319	3,511	N/A	4,319	3,511
		4A		\$472,500								
Rives	AC	13		\$11,470	PF	LMI	N/A	3,031	2,076	N/A	3,031	2,076
		4A		\$160,000								
Scott County	AC	13		\$28,050	PF	LMI	N/A	189	159	N/A	35	35



STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
 State of Tennessee  
 Grant Number: B-05-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |   |  |
|---|--|
| 1. White                                  | 7. Asian & White   |
| 2. Black/African American                 | 8. Black/African American & White  |
| 3. Asian                                  | 9. American Indian/Alaskan Native & Black/African American                                     |
| 4. American Indian/Alaskan Native         | 10. Other Multi-Racial   |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White |  |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Alamo	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,869	546	0	0	0	0	0	0	0	44	428	2,459	1,876	76.30%
Baxter	Water System	0	0	0	0	0	0	0	0	0	0	0	4,704	31	0	0	0	0	0	7	0	19	605	4,761	3,814	80.10%
Bedford County	Water Line Ext.	107	3	0	0	0	0	5	0	0	4	20	0	0	0	0	0	0	0	0	0	0	0	119	98	82.40%
Brownsville	Housing Rehab.	0	110	0	0	0	0	0	0	0	0	49	0	0	0	0	0	0	0	0	0	0	0	110	110	100.00%
Camden	Water System	0	0	0	0	0	0	0	0	0	0	0	6683	477	32	29	0	0	0	0	0	43	1,482	7264	4460	61.40%
Caryville	Water Plant Exp.	0	0	0	0	0	0	0	0	0	0	0	8,126	6	3	6	0	0	0	0	0	15	1,142	8,156	5,832	71.50%
Celina	Water System	0	0	0	0	0	0	0	0	0	0	0	3,731	142	17	0	0	0	0	0	0	0	626	3,890	3,061	78.70%
Clay County	Housing Rehab.	60	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	60	54	90.00%
Cumberland Gap	Sewer System	0	0	0	0	0	0	0	0	0	0	0	318	6		1	0	0	0	0	0	0	42	325	269	82.90%
DeKalb County	Water System	0	0	0	0	0	0	0	0	0	0	0	5,050	28	0	0	0	0	0	0	20	7	592	5,105	3,701	72.50%
Elizabethton	Water System	0	0	0	0	0	0	0	0	0	0	0	22,461	131	105	131	0	209	236	26	0	445	3,495	23,744	14,264	60.00%
Englewood	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,075	0	0	0	0	0	0	0	0	57	193	1,132	921	81.40%
Erin	Water System	0	0	0	0	0	0	0	0	0	0	0	5,412	472	0	0	0	0	0	0	0	18	596	5,902	5,300	89.80%
Etowah	Wellness Ctr.	0	0	0	0	0	0	0	0	0	0	0	14,672	524	0	42	0	0	0	0	0	42	1,470	15,280	11,322	74.10%
Gallaway	Sewer System	0	0	0	0	0	0	0	0	0	0	0	268	305	0	0	0	0	0	0	0	0	131	573	559	97.60%
Graysville	Sewer Line Ext	0	0	0	0	0	0	0	0	0	0	0	707	1	0	0	0	0	0	0	0	45	38	753	613	81.40%
Greeneville	Housing Rehab.	0	49	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	49	49	100.00%
Greenfield	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,971	57	0	2	0	0	0	0	0	0	339	2,030	1,468	72.30%
Halls	Water System	0	0	0	0	0	0	0	0	0	0	0	4,724	677	0	0	0	0	0	0	0	67	656	5,468	3,740	68.40%
Hartsville/Trousdale	Water Line Ext.	125	7	0	0	0	0	0	0	0	12	11	0	0	0	0	0	0	0	0	0	0	0	144	123	85.40%
Hawkins County	Water Line Ext.	243	0	0	0	0	0	0	0	0	3	26	0	0	0	0	0	0	0	0	0	0	0	246	178	72.40%
Huntingdon	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,407	786	0	0	0	0	0	0	0	77	703	3,270	2,413	73.80%
Lafayette	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,791	6	12	0	0	0	0	0	0	29	743	2,838	2,142	75.50%
Lake City	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,777	0	0	0	0	0	0	0	0	14	463	1,791	1,675	93.50%
Lake County	Housing Rehab.	35	10	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	45	45	100.00%
Lawrenceburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,844	39	0	0	0	0	10	4	0	21	374	1,918	1,352	70.50%
Lynnville	Water System	0	0	0	0	0	0	0	0	0	0	0	659	76	14	0	0	2	9	0	0	3	72	763	552	72.40%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Macon County	Water System	0	0	0	0	0	0	0	0	0	0	0	11,257	20	0	0	0	0	0	0	0	99	1,870	11,376	9,308	81.80%
Martin	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,334	1,330	0	0	0	0	0	0	0	12	1,549	6,676	5,207	78.00%
Maynardville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,241	0	0	32	0	0	0	0	0	0	538	5,273	3,849	72.90%
McKenzie	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,377	295	28	4	0	18	0	5	0	111	844	3,838	2,771	72.20%
Minor Hill	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,932	41	0	0	0	0	0	0	0	17	245	1,990	1,698	85.30%
Monteagle	Sewer System	0	0	0	0	0	0	0	0	0	0	0	297	0	0	0	0	0	0	0	0	0	59	297	209	70.50%
Mountain City	Water System	0	0	0	0	0	0	0	0	0	0	0	6,927	52	0	0	39	0	0	0	0	0	814	7,018	4,358	62.10%
Niota	Water Line Ext.	214	73	0	0	0	0	0	0	0	0	23	0	0	0	0	0	0	0	0	0	0	0	287	232	80.84%
Oliver Springs	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,818	175	0	0	0	0	0	0	20	20	428	3,033	2,496	82.30%
Oneida	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,378	0	0	0	0	0	0	0	0	0	390	2,378	1,855	78.00%
Putnam County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	17,616	103	20	20	0	0	0	0	0	0	1,719	17,759	10,975	61.80%
Red Boiling Springs	Water System	0	0	0	0	0	0	0	0	0	0	0	4,125	12	30	0	0	0	0	0	0	152	462	4,319	3,511	81.30%
Rives	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,958	58	0	8	0	0	3	0	0	4	249	3,031	2,076	68.50%
Scott County	Sewer Line Ext	189	0	0	0	0	0	0	0	0	0	22	0	0	0	0	0	0	0	0	0	0	189	159	84.00%	
Scotts Hill	Water Line	0	0	0	0	0	0	0	0	0	0	0	3,339	57	0	6	0	0	0	0	0	0	409	3,402	2,732	80.30%
Spencer	Water System	0	0	0	0	0	0	0	0	0	0	0	3,336	0	0	0	0	0	0	0	0	0	717	3,336	2,762	82.80%
Tennessee Ridge	Water System	0	0	0	0	0	0	0	0	0	0	0	2,814	16	0	0	0	0	0	22	0	49	426	2,901	2,649	91.30%
Warren County	Water Line Ext.	75	0	0	0	0	0	0	0	0	45	6	0	0	0	0	0	0	0	0	0	0	120	108	90.00%	
Waverly	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,838	579	0	0	0	0	0	0	48	606	3,465	3,132	90.40%	
Wayne County	Water Line Ext.	88	1	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	89	77	86.50%	
Waynesboro	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,338	0	0	0	0	0	0	0	13	455	1,351	975	72.20%	
Winfield	Sewer Line Ext.	64	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	64	59	92.20%	
Johnson Co. (IT)	Water System	0	0	0	0	0	0	0	0	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	462	N/A	N/A	

## Part 1

<b>State: Tennessee</b>					<b>Reporting Period FY: 2006</b>								
<b>Grant Number: B-06-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>								
<b>1. Financial Status</b>					<b>2. National Objectives</b>								
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2006 to FY 2006</b>								
					<b>B. Amount Used to:</b>								
<b>(1) Allocation:</b> \$26,912,038					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$23,842,775								
<b>(2) Program Income:</b> \$0					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0								
					<b>(3) Meet Urgent Community Development Needs:</b> \$0								
<b>B. Amount Obligated to Recipients:</b> \$26,001,624					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0								
<b>C. Amount Drawn Down:</b> \$25,793,234					<b>(5) Local Administration:</b> \$2,158,849								
<b>D. Amount for State Administration:</b> \$638,241													
<b>E. Technical Assistance:</b> \$0					<b>TOTAL:</b> \$26,001,624								
<b>F. Section 108 Loan Guarantees:</b> \$0													
					<b>8. Accomplishments</b>								
								<b>Proposed</b>			<b>Actual</b>		
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>	
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>	
Alexandria	AC	13		\$22,500	PF	LMI	N/A	942	760	N/A	942	760	
		4B		\$344,230									
Anderson County	AC	13		\$19,200	PF	LMI	N/A	188	188	N/A	172	172	
		4A		\$275,800									
Big Sandy	AC	13		\$17,500	PF	LMI	N/A	924	763	N/A	924	763	
		4A		\$482,500									
Bradford	AC	13		\$13,254	PF	LMI	N/A	30	24	N/A	24	20	
		4B		\$149,314									
Bradley County	AC	13		\$28,500	PF	LMI	N/A	128	124	N/A	85	85	
		4B		\$471,500									
Byrdstown	AC	13		\$51,500	H	LMI	N/A	50	50	N/A	36	36	
		9A		\$270,500									
		8		\$178,000									
Cannon County	AC	13		\$34,500	PF	LMI	N/A	111	89	N/A	85	52	
		4A		\$465,500									
Chester County	AC	13		\$11,650	PF	LMI	N/A	3,245	2,783	N/A	3,245	2,783	
		6		\$270,021									
Clarksburg	AC	13		\$31,500	PF	LMI	N/A	69	50	N/A	45	37	
		4B		\$468,500									

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Crump	AC	13		\$3,050	PF	LMI	N/A	2,355	1,425	N/A	2,355	1,425
		6		\$25,516								
Decherd	AC	13		\$18,500	PF	LMI	N/A	2,562	2,240	N/A	2,562	2,240
		4C		\$281,500								
Ducktown	AC	13		\$365,569	PF	LMI	N/A	171	106	N/A	123	106
		4A		\$23,500								
Dunlap		13		\$30,000	PF	LMI						
		4A		\$420,000								
Dyer County	AC	13		\$26,500	PF	LMI	N/A	93	87	N/A	93	87
		4B		\$473,500								
Gainesboro	AC	13		\$23,500	PF	LMI	N/A	1,287	1,067	N/A	1,287	1,067
		4A		\$556,500								
Gates	AC	13		\$21,443	PF	LMI	N/A	669	617	N/A	669	617
		4B		\$310,950								
Gibson County	AC	13		\$19,500	PF	LMI	N/A	20,590	19,766	N/A	20,590	19,766
		4C		\$280,500								
Grainger County	AC	13		\$31,500	PF	LMI	N/A	132	132	N/A	133	133
		14A		\$468,500								
Hardin County	AC	13		\$12,630	PF	LMI	N/A	3,183	2,573	N/A	3,183	2,573
		6		\$287,370								
Harriman	AC	13		\$29,500	PF	LMI	N/A	5,071	4,092	N/A	5,092	4,092
		4B		\$470,500								
Haywood County	AC	13		\$29,500	PF	LMI	N/A	143	125	N/A	143	101
		4A		\$470,500								
Henderson	AC	13		\$56,500	H	LMI	N/A	41	41	N/A	23	23
		9A		\$218,500								
		8		\$225,000								
Hollow Rock	AC	13		\$41,500	PF	LMI	N/A	892	675	N/A	892	675
		4A		\$458,500								
Hornbeak	AC	13		\$34,685	PF	LMI	N/A	140	103	N/A	140	105
		4B		\$465,315								
Humphreys County	AC	13		\$16,500	PF	LMI	N/A	6,804	3,960	N/A	6,804	3,960
		6		\$283,500								
Iron City	AC	13		\$305,181	PF	LMI	NA	49	40	NA	36	27
		4A		\$16,500								
Jefferson City	AC	13		\$25,000	PF	LMI	N/A	5,029	4,324	N/A	5,029	4,324
		4B		\$475,000								
Lafollette	AC	13		\$31,500	PF	LMI	N/A	8,543	6,962	N/A	8,543	6,962







STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
 State of Tennessee  
 Grant Number: B-06-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |   |  |
|---|--|
| 1. White                                  | 7. Asian & White   |
| 2. Black/African American                 | 8. Black/African American & White  |
| 3. Asian                                  | 9. American Indian/Alaskan Native & Black/African American                                     |
| 4. American Indian/Alaskan Native         | 10. Other Multi-Racial   |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White |  |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Alexandria	Sewer System	0	0	0	0	0	0	0	0	0	0	0	877	50	0	0	0	0	0	0	0	15	171	942	760	80.70%
Anderson County	Water Line Ext.	188	0	0	0	0	0	0	0	0	0	21	0	0	0	0	0	0	0	0	0	0	0	188	188	100.00%
Big Sandy	Water System	0	0	0	0	0	0	0	0	0	0	0	924	0	0	0	0	0	0	0	0	0	76	924	763	82.60%
Bradford	Sewer Line Ext.	15	0	0	0	0	12	0	0	0	3	0	0	0	0	0	0	0	0	0	0	0	0	30	24	80.00%
Bradley County	Sewer Line Ext.	122	0	0	0	0	0	0	0	0	6	11	0	0	0	0	0	0	0	0	0	0	0	128	124	96.90%
Byrdstown	Housing Rehab.	50	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	50	50	100.00%
Cannon County	Water Line Ext.	106	0	0	0	0	0	0	0	0	5	8	0	0	0	0	0	0	0	0	0	0	111	89	80.20%	
Chester County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,039	144	6	39	0	0	0	0	17	322	3,245	2,783	85.80%	
Clarksburg	Sewer Line Ext.	66	3	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	69	50	71.60%	
Crump	Siren System	0	0	0	0	0	0	0	0	0	0	0	2,305	0	0	32	0	0	0	0	18	278	2,355	1,425	60.50%	
Decherd	Drainage Improves	0	0	0	0	0	0	0	0	0	0	0	2,241	314	0	0	0	0	0	0	7	370	2,562	2,240	87.50%	
Ducktown	Water Line Ext.	171	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	171	123	85.40%	
Dunlap	Water Line Ext.	213	0	0	0	1	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	214	176	82.24%	
Dyer County	Tigrett Sewer System	22	71	0	0	0	0	0	0	0	0	39	0	0	0	0	0	0	0	0	0	0	93	87	93.50%	
Gainesboro	Water Line	0	0	0	0	0	0	0	0	0	0	0	1,287	0	0	0	0	0	0	0	0	317	1,287	1,067	82.90%	
Gates	Sewer System	0	0	0	0	0	0	0	0	0	0	0	323	344	0	0	0	0	0	0	2	91	669	617	92.20%	
Gibson County	Health Clinic Reno.	0	0	0	0	0	0	0	0	0	0	0	8,302	10,295	312	52	0	0	52	555	1,022	3,706	20,590	19,766	95.99%	
Grainger County	Water Line Ext.	132	0	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	0	0	0	0	132	132	100.00%	
Hardin County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,114	99	7	19	0	0	0	0	9	236	3,248	2,573	79.20%	
Harriman	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	4,746	280	45	0	0	0	0	0	0	751	5,071	4,092	80.70%	
Haywood County	Water Line Ext.	50	89	0	0	0	0	0	0	0	4	33	0	0	0	0	0	0	0	0	0	0	143	125	87.40%	
Henderson	Housing Rehab.	0	56	0	0	0	0	0	0	0	0	15	0	0	0	0	0	0	0	0	0	0	56	56	100.00%	
Hollow Rock	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	0	803	77	0	0	0	0	0	0	12	152	892	675	76.70%	
Hornbeak	Sewer Line Ext.	140	0	0	0	0	0	0	0	0	0	39	0	0	0	0	0	0	0	0	0	0	140	105	75.00%	
Humphreys County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	6,672	57	0	0	0	6	6	17	9	14	208	6,781	3,898	57.50%
Iron City	Water Line Ext.	49	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	49	40	81.60%	
Jefferson City	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	4,274	581	40	0	0	0	0	0	79	858	4,974	4,274	86.00%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
LaFollette	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	8,372	49	0	36	0	0	0	0	0	86	1,299	8,543	6,962	81.50%
Lawrence County	Water Line Ext.	294	0	7	0	0	0	0	0	1	0	12	0	0	0	0	0	0	0	0	0	0	0	302	218	72.20%
Lenoir City	Sewer System Improve	7,191	73	0	0	0	0	0	0	0	51	1,214	0	0	0	0	0	0	0	0	0	0	7,315	4,638	63.40%	
Lewis County	Water Line Ext.	138	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	138	84	60.90%	
Lewisburg	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	685	342	0	0	0	9	0	8	0	67	242	1,111	1,001	90.10%
Mason	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	887	1,298	0	0	0	0	0	0	0	10	294	2,195	1,927	87.80%
McMinn County	Sewer Line Ext.	0	0	0	0	0	0	0	0	0	0	0	2,215	27	0	0	3	0	0	13	0	46	98	2,304	1,674	72.60%
McMinnville	Sewer Plant Exp.	0	0	0	0	0	0	0	0	0	0	0	9,770	310	0	0	0	10	0	0	0	160	2,640	10,250	7,480	73.00%
Monterey	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	1,597	18	0	0	0	0	0	0	0	779	529	2,394	2,107	88.00%
Munford	Water Storage Tank	0	0	0	0	0	0	0	0	0	0	0	10,006	495	0	9	0	0	0	0	0	12	1,221	10,522	6,660	63.30%
Newbern	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	1,984	578	0	0	0	0	0	0	0	15	438	2,577	1,866	72.40%
Overton County	EMS Facility	0	0	0	0	0	0	0	0	0	0	0	2,944	54	0	0	0	0	0	0	0	0	294	2,998	2,461	82.10%
Paris	Housing Rehab.	0	29	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	29	29	100.00%	
Plainview	Sewer Line Ext.	110	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	110	79	71.80%	
Ridgely	Water Line Replace	0	0	0	0	0	0	0	0	0	0	0	1,033	247	0	0	0	0	0	0	0	28	510	1,308	993	75.90%
Rogersville	Water Line Ext.	297	0	0	0	0	0	0	0	0	0	25	0	0	0	0	0	0	0	0	0	0	297	218	73.40%	
Saltillo	Housing Rehab.	1	40	0	0	0	0	8	0	0	2	13	0	0	0	0	0	0	0	0	0	0	51	46	90.20%	
Samburg	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,577	0	0	0	0	0	0	0	0	0	311	3,577	2,740	76.60%
Sardis	Water Line Ext.	26	0	2	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	28	21	75.00%	
Saulsbury	Fire Hall Improve	0	0	0	0	0	0	0	0	0	0	0	1,684	922	0	0	0	0	0	0	0	0	0	2,606	1,970	75.60%
Selmer	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	0	15,065	1,116	0	0	0	0	0	0	0	0	2,055	16,181	9,919	61.30%
Shelbyville	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	1,920	473	0	0	0	0	0	18	38	346	2,449	1,712	68.50%	
Sneedville	Sewer System Rehab.			0	0			0	0	0	0	0	1,099	3	0	0	0	12	0	0	0	0	259	1,114	1,066	95.70%
Sparta	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	3,972	286	0	0	0	0	0	0	0	31	1,068	4,289	3,457	80.60%
Spring City	Water Intake Ext.	0	0	0	0	0	0	0	0	0	0	0	2,132	43	7	43	0	0	0	0	0	7	343	2,232	1,610	72.10%
Surgoinsville	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	0	19,208	584	0	0	0	0	0	0	0	269	1,950	20,061	10,481	52.25%
Tipton County	Sewer Line Ext.	52	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	52	44	84.60%	
Trenton	Sewer System Improve	0	0	0	0	0	0	0	0	0	0	0	2,959	735	17	0	0	0	0	0	0	34	820	3,745	2,663	71.10%
Unicoi	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	6,154	24	0	0	0	47	0	0	35	106	802	6,366	3,890	61.10%
Watauga	Housing Rehab.	17	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	17	17	100.00%	
Weakley County	Sewer Line Ext.	1,183	37	0	0	0	0	0	0	0	0	98	0	0	0	0	0	0	0	0	0	0	1,220	861	70.30%	
Westmoreland	Sewer System Rehab.	0	0	0	0	0	0	0	0	0	0	0	1,796	0	0	0	0	0	3	0	32	337	1,831	1,677	91.60%	
White County	Water Treatment Plant	0	0	0	0	0	0	0	0	0	0	0	2,514	0	0	0	0	0	19	0	6	404	2,539	2,036	80.20%	
White Pine	Sewer Line Ext.	70	1	0	0	0	0	1	0	0	0	6	0	0	0	0	0	0	0	0	0	0	72	70	97.00%	
Woodbury	Water Line Replace	0	0	0	0	0	0	0	0	0	0	0	6,835	166	0	0	0	0	0	0	0	67	1,322	7,068	6,036	85.40%

**Part 1**

<b>State: Tennessee</b>					<b>Reporting Period FY: 2007</b>							
<b>Grant Number: B-07-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>							
<b>1. Financial Status</b>					<b>2. National Objectives</b>							
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2007 to FY 2007</b>							
					<b>B. Amount Used to:</b>							
<b>(1) Allocation:</b> \$26,736,998.00					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$22,426,312							
<b>(2) Program Income:</b> \$1,280,836.00					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0							
					<b>(3) Meet Urgent Community Development Needs:</b> \$0							
<b>B. Amount Obligated to Recipients:</b> \$23,755,907.00					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0							
<b>C. Amount Drawn Down:</b> \$23,122,710.92					<b>(5) Local Administration:</b> \$1,329,595							
<b>D. Amount for State Administration:</b> \$634,740.00												
<b>E. Technical Assistance:</b> \$0.00					<b>TOTAL:</b> \$23,755,907							
<b>F. Section 108 Loan Guarantees:</b> \$0.00												
					<b>8. Accomplishments</b>							
					<b>Proposed</b>			<b>Actual</b>				
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>
Adamsville	AC	13		\$28,500.00	PF	LMI	N/A	9861	7931	N/A	9861	7931
		4A		\$471,500.00								
Altamont	AC	13		\$22,500.00	H	LMI	NA	26	26	NA	21	21
		9A		\$184,500.00								
Baxter	AC	13		\$28,000.00	PF	LMI	N/A	4761	3814	N/A	4761	3814
		4A		\$472,000.00								
Bean Station	AC	13		\$29,500.00	PF	LMI	NA	1956	1205	NA	1956	1205
		4B		\$470,500.00								
Bedford County	AC	13		\$25,500.00	PF	LMI	N/A	227	227	N/A	159	167
		4A		\$747,500.00								
Bolivar	AC	13		\$31,170.00	PF	LMI	N/A	5071	3570	N/A	5071	3570
		4B		\$463,330.00								
Brownsville	AC	13		\$29,500.00	PF	LMI	N/A	9486	5662	N/A	9486	5662
		4B		\$470,500.00								
Calhoun	AC	13		\$28,500.00	PF	LMI	N/A	247	203	N/A	133	102
		4A		\$471,500.00								
Campbell County	AC	13		\$21,800.00	PF	LMI	N/A	87	80	N/A	47	42
		4A		\$378,200.00								
Carter County	AC	13		\$30,000.00	PF	LMI	N/A	1,243	883	N/A	1,243	883
		4A		\$447,750.00								







STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
 State of Tennessee  
 Grant Number: B-07-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>1. White</li> <li>2. Black/African American</li> <li>3. Asian</li> <li>4. American Indian/Alaskan Native</li> <li>5. Native Hawaiian/Other Pacific Islander</li> <li>6. American Indian/Alaskan Native &amp; White</li> </ul> | <ul style="list-style-type: none"> <li>7. Asian &amp; White</li> <li>8. Black/African American &amp; White</li> <li>9. American Indian/Alaskan Native &amp; Black/African American</li> <li>10. Other Multi-Racial</li> <li>11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).</li> </ul> |
|--|---|

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Adamsville	Water System	0	0	0	0	0	0	0	0	0	0	0	6,585	0	0	0	0	0	0	0	0	56	1,049	6,641	4,600	69.27%
Altamont	Housing Rehab	26	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	26	26	100.00%
Baxter	Water System	0	0	0	0	0	0	0	0	0	0	0	4,735	31	0	0	0	0	0	7	0	19	605	4,761	3,814	80.11%
Bean Station	Sewer Line	1,901	0	0	0	0	0	0	0	0	49	127	0	0	0	0	0	0	0	0	0	0	0	1,956	1,205	61.61%
Bedford County	Water Line	216	6	0	0	0	0	4	0	0	1	12	0	0	0	0	0	0	0	0	0	0	227	177	77.97%	
Bolivar	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,201	2741	0	13	0	0	0	0	0	116	1,131	5,071	3,570	70.40%
Brownsville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	5,689	3,599	0	0	0	0	0	0	0	198	2,336	9,486	5,662	59.69%
Calhoun	Water Line	239	0	0	0	0	0	0	0	0	8	10	0	0	0	0	0	0	0	0	0	0	247	216	87.45%	
Campbell County	Water Line	87	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	87	80	91.95%	
Carter County	Water System	0	0	0	0	0	0	0	0	0	0	0	1,184	0	0	21	0	0	7	0	0	31	149	1,243	883	71.04%
Clinton	Housing Rehab	40	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	40	40	100.00%	
Cookeville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	986	97	15	0	0	0	0	0	0	518	194	1,616	1,519	94.00%
Church Hill	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,885	10	0	0	0	0	0	0	0	0	891	4,895	3,255	66.50%
Copperhill	Water System	0	0	0	0	0	0	0	0	0	0	0	544	0	2	0	0	0	0	0	0	0	124	546	458	83.88%
Cumberland County	Water Line	83	1	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	84	77	91.67%	
Dresden	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,656	111	0	0	0	0	0	0	0	0	636	2,767	2,283	82.51%
Erwin	Water System	0	0	0	0	0	0	0	0	0	0	0	10,327	0	0	0	0	0	0	0	0	83	2,115	10,410	5,776	55.49%
Fayetteville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	3,917	1,434	0	0	0	0	0	0	0	0	1,350	5,351	4,049	75.67%
Fentress	Water System	0	0	0	0	0	0	0	0	0	0	0	9,861	0	0	0	0	0	0	0	0	0	1,197	9,861	7,931	80.43%
Friendship	Sewer Line	71	45	0	0	0	0	0	0	0	18	40	0	0	0	0	0	0	0	0	0	0	134	111	82.84%	
Greenfield	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,836	194	0	7	0	0	0	0	0	0	341	2,037	1,472	72.26%
Gruetli-laager	Housing Rehab	42	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	42	40	95.24%	
Halls	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,936	663	0	0	0	13	7	0	0	67	604	4,686	3,303	70.49%
Harrogate	Sewer Line	109	0	0	0	0	0	0	0	0	0	19	0	0	0	0	0	0	0	0	0	0	109	99	90.83%	
Hartsville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,006	1,177	0	0	0	0	0	38	0	205	1,330	6,426	5,648	87.89%
Hohenwald	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,249	61	0	0	0	0	0	0	0	0	765	2,310	1,788	77.40%
Huntingdon	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,721	377	0	0	0	0	0	23	0	122	872	3,243	2,650	81.71%
Jackson County	Water Line	150	0	0	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	150	126	84.00%	
Jellico	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,258	29	0	0	0	0	0	0	0	5	427	2,292	1,700	74.17%
Jonesborough	Water Treatment	0	0	0	0	0	0	0	0	0	0	0	17,905	157	45	22	0	45	0	0	0	134	2,526	18,308	9,410	51.40%
Lauderdale County	Water Line	0	0	0	0	0	0	0	0	0	0	0	3,754	1,231	0	0	0	0	0	21	0	33	509	5,039	3,099	61.50%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Lawrenceburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,844	43	0	4	0	0	0	0	0	40	289	1,931	1,440	74.57%
Lexington	Water System	0	0	0	0	0	0	0	0	0	0	0	20,027	2,152	0	0	0	0	0	0	0	24	7,660	22,203	16,186	72.90%
Loretto	Water System	0	0	0	0	0	0	0	0	0	0	0	267	21	0	0	0	0	0	0	0	0	40	288	232	80.56%
Madisonville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,359	8	0	0	0	0	0	8	0	34	420	2,409	1,952	81.03%
Marion County	Water Line	0	0	0	0	0	0	0	0	0	0	0	7,607	70	0	0	14	0	0	0	0	0	799	7,691	5,828	75.78%
Meigs County	Water Line	181	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0	181	129	71.27%	
Middleton	Sewer System	0	0	0	0	0	0	0	0	0	0	0	635	107	13	0	0	0	0	0	0	7	99	762	564	74.02%
Morgan County	Water Line	99	1	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	100	86	86.00%	
Mountain City	Water Line	0	0	0	0	0	0	0	0	0	0	0	5,915	15	8	8	0	55	8	0	0	78	1,046	6,087	3,808	62.56%
Mt. Pleasant	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,663	723	0	0	0	0	0	0	0	0	508	3,386	2,434	71.88%
New Hope	Fire Hall	0	0	0	0	0	0	0	0	0	0	0	8,303	357	0	0	0	0	0	0	0	0	929	8,660	7,531	86.96%
New Port	Drainage Improvementt	0	0	0	0	0	0	0	0	0	0	0	5,595	280	0	0	0	0	0	0	0	234	1,556	6,109	4,373	71.58%
Oakland	Water Storage	0	0	0	0	0	0	0	0	0	0	0	6,850	952	0	13	0	0	0	0	0	41	636	7,856	4,800	61.10%
Perry County	Emergency Operations Facility	0	0	0	0	0	0	0	0	0	0	0	9,196	196	0	0	0	48	0	0	0	96	1,081	9,536	7,434	77.96%
Petersburg	Water System	0	0	0	0	0	0	0	0	0	0	0	880	58	0	0	0	0	0	0	0	9	113	947	892	94.19%
Red Boiling Springs	Water System	0	0	0	0	0	0	0	0	0	0	0	4,124	49	0	31	0	0	0	0	0	115	470	4,319	3,549	82.17%
Ripley	Sewer System	0	0	0	0	0	0	0	0	0	0	0	1,569	3,143	7	103	0	151	199	110	15	96	1,731	5,393	4,509	83.61%
Roane County	Water Line	479	7	2	0	0	0	1	0	0	1	36	0	0	0	0	0	0	0	0	0	0	490	407	83.06%	
Rockwood	Waste Water	0	0	0	0	0	0	0	0	0	0	0	3,444	143	0	0	0	0	0	0	0	685	3,587	2,831	78.92%	
Rutherford	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,085	182	10	0	0	0	0	0	0	0	204	1,277	859	67.27%
Savannah	Sewer Plant	0	0	0	0	0	0	0	0	0	0	0	4,665	427	4	0	0	0	0	0	0	19	2,291	5,115	3,596	70.30%
Sevierville	Water Line	87	10	0	0	0	0	0	0	0	6	22	0	0	0	0	0	0	0	0	0	0	103	102	99.03%	
Soddy-Daisy	Housing Rehab	32	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	32	32	100.00%	
St. Joseph	Water Treatment	0	0	0	0	0	0	0	0	0	0	0	1,153	0	0	0	0	0	7	0	0	0	134	1,160	828	71.38%
Van Buren County	Ambulances	0	0	0	0	0	0	0	0	0	0	0	6,630	8	0	0	0	0	0	0	0	0	1,163	6,638	5,381	81.06%
Warren County	Water System	0	0	0	0	0	0	0	0	0	0	0	17,867	224	0	22	0	0	112	0	0	448	1,836	18,673	11,419	61.15%
Wartburg	Fire & Rescue	0	0	0	0	0	0	0	0	0	0	0	5,484	22	0	0	0	0	0	0	0	0	617	5,506	3,281	59.59%
Waverly	Sewer System	0	0	0	0	0	0	0	0	0	0	0	2,838	579	0	0	0	0	0	0	0	48	606	3,465	3,160	91.20%
Whiteville	Sewer System	0	0	0	0	0	0	0	0	0	0	0	736	430	0	0	0	0	0	0	0	18	221	1,184	842	71.11%
																								Total	245,179	173,552

## Part 1

State: Tennessee					Reporting Period FY: 2008							
Grant Number: B-08-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2008 to FY 2008							
					B. Amount Used to:							
(1) Allocation: \$26,154,131					(1) Benefit to Low/Moderate Income Persons: \$22,873,961							
(2) Program Income: \$442,284					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$24,227,590					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$20,607,524					(5) Local Administration: \$1,353,629							
D. Amount for State Administration: \$623,083												
E. Technical Assistance: \$0					TOTAL: \$24,227,590							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Alamo	AC	13		\$10,500	PF	LMI	N/A	3834	2929	N/A	3834	2929
		6		\$172,410								
Auburntown	AC	13		\$25,500	PF	LMI	NA	115	99	NA	95	79
		4A		\$374,500								
Byrdstown		13		\$33,000	PF	LMI						
		4A		\$467,000								
Celina	AC	13		\$25,500	PF	LMI	N/A	3878	3025	N/A	3878	3025
		4A		\$474,500								
Clay County	AC	13		\$16,500	PF	LMI	N/A	9238	7547	N/A	9238	7547
		6		\$283,500								
Collinwood	AC	13		\$23,500	PF	LMI	NA	1,234	966	NA	1,234	966
		4A		\$347,444								
Decatur	AC	13		\$14,700	PF	LMI	NA	48	43	NA	48	43
		4B		\$205,300								
DeKalb County	AC	13		\$25,500	PF	LMI	N/A	110	110	N/A	128	94
		4A		\$374,500								
Elizabethton	AC	13		\$31,500	PF	LMI	N/A	24,717	15,250	N/A	24,717	15,250

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4A		\$468,500								
Englewood	AC	13		\$15,500	PF	LMI	N/A	1,163	962	N/A	1,163	962
		4B		\$247,840								
Fayette County	AC	13		\$56,500	H	LMI	NA	67	67	NA	29	29
		9A		\$443,500								
Gallaway	AC	13		\$22,000	PF	LMI	NA	1,328	1,176	NA	1,328	1,176
		4A		\$278,000								
Graysville	AC	13		\$28,500	PF	LMI	NA	2,437	1,898	NA	2,437	1,898
		4A		\$450,900								
Greene County	AC	13		\$31,500	PF	LMI	N/A	9,535	7,303	N/A	9,535	7,303
		4A		\$468,500								
Greeneville	AC	13		\$19,500	H	LMI	N/A	24	24	N/A	9	9
		9A		\$280,500								
Hamblen County		13		\$22,950	PF	LMI						
		4B		\$387,906								
Harriman	AC	13		\$31,500	PF	LMI	N/A	5,102	3,827	N/A	5,102	3,827
		4B		\$468,500								
Hartsville / Trousdale County	AC	13		\$28,500	PF	LMI	N/A	6,787	5,742	N/A	6,787	5,742
		4A		\$471,500								
Houston County	AC	13		\$22,500	PF	LMI	NA	86	81	NA	109	101
		4A		\$477,500								
Jasper	AC	13		\$27,000	PF	LMI	NA	7,929	6,512	NA	7,929	6,512
		4A		\$473,000								
Lafayette		13		\$22,500	PF	LMI						
		6		\$727,500								
Lafayette	AC	13		\$27,000	PF	LMI	N/A	3,489	2,663	N/A	3,489	2,663
		4B		\$473,000								
LaFollette	AC	13		\$31,500	PF	LMI	N/A	8,543	6,963	N/A	8,543	6,963
		4B		\$468,500								
Lake City	AC	13		\$24,500	PF	LMI	NA	1,693	1,498	NA	1,693	1,498
		4B		\$475,500								
Lawrence County	AC	13		\$21,500	PF	LMI	N/A	285	186	N/A	205	162
		4A		\$478,500								
Lewis County	AC	13		\$21,500	PF	LMI	N/A	5,739	3,874	N/A	5,739	3,874
		4A		\$478,500								
Lewisburg	AC	13		\$20,000	PF	LMI	N/A	1,267	1,171	N/A	1,665	1,171

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		4B		\$430,275								
Macon County		13		\$24,000	PF	LMI						
		6		\$726,000								
Marshall County	AC	13		\$34,500	PF	LMI	NA	243	210	NA	243	210
		4A		\$465,500								
Martin	AC	13		\$31,500	PF	LMI	N/A	6,746	5,060	N/A	6,746	5,060
		4B		\$468,500								
Mason	AC	13		\$26,500	PF	LMI	N/A	2,564	2,390	N/A	2,564	2,390
		4A		\$473,500								
Maynardville	AC	13		\$31,500	PF	LMI	N/A	5,108	4,148	N/A	5,108	4,148
		4A		\$468,500								
McKenzie	AC	13		\$36,500	PF	LMI	N/A	3,020	2,195	N/A	3,020	2,195
		4B		\$463,500								
McNairy County	AC	13		\$19,500	PF	LMI	N/A	4,612	4,321	N/A	4,612	4,321
		6		\$280,500								
Michie	AC	13		\$7,050	PF	LMI	N/A	2,135	1,522	N/A	2,135	1,522
		6		\$87,126								
Milan	AC	13		\$29,050	PF	LMI	N/A	7,443	5,389	N/A	7,443	5,389
		4B		\$470,950								
Moscow	AC	13		\$9,500	PF	LMI	NA	2,905	2,330	NA	2,905	2,330
		6		\$129,730								
Mosheim	AC	6		\$135,900	PF	LMI	N/A	2,034	1,302	N/A	2,034	1,302
New Johnsonville	AC	13		\$15,000	PF	LMI	NA	1,986	1,523	NA	1,986	1,523
		4A		\$190,000								
Obion	AC	13		\$20,320	PF	LMI	NA	1,192	966	N/A	1,192	966
		4B		\$345,408								
Obion County	AC	13		\$19,500	PF	LMI	NA	6,645	6,306	NA	6,645	6,306
		6		\$280,500								
Oliver Springs	AC	13		\$31,500	PF	LMI	N/A	5,441	4,005	N/A	5,441	4,005
		4A		\$468,500								
Overton County		13(P)		\$11,500	ED	LMI						
[Cooper Recycling]		14B(P)		\$430,784								
Polk County	AC	13		\$28,500	PF	LMI	N/A	280	261	N/A	185	171
		4A		\$471,500								
Scott County	AC	13		\$28,475	PF	LMI	N/A	93	69	N/A	65	56



STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
State of Tennessee  
Grant Number: B-08-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |   |  |
|---|--|
| 1. White                                  | 7. Asian & White   |
| 2. Black/African American                 | 8. Black/African American & White  |
| 3. Asian                                  | 9. American Indian/Alaskan Native & Black/African American                                     |
| 4. American Indian/Alaskan Native         | 10. Other Multi-Racial   |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White |  |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Alamo	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,324	434	0	0	0	0	0	0	0	76	518	3,834	2,929	76.40%
Auburntown	Water Line Extension	114	0	0	0	0	0	0	1	0	0	11	0	0	0	0	0	0	0	0	0	0	0	115	99	86.09%
Byrdstown	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,025	0	0	0	0	0	0	0	0	77	617	5,102	4,122	80.79%
Celina	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,716	145	0	0	0	0	0	0	0	17	636	3,878	3,025	78.00%
Clay County	Ambulances (EMS Project)	0	0	0	0	0	0	0	0	0	0	0	9053	163	0	0	0	11	0	0	0	11	1,465	9238	7545	81.67%
Collinwood	Water System Development	0	0	0	0	0	0	0	0	0	0	0	1,234	0	0	0	0	0	0	0	0	0	0	1,234	966	78.28%
Decatur	Sewer Line Extension	48	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	0	48	43	89.58%
DeKalb County	Water Line Extension	144	0	0	1	0	4	0	0	0	4	17	0	0	0	0	0	0	0	0	0	0	0	153	110	71.90%
Elizabethon	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	23,668	439	49	122	0	49	0	49	24	317	4,685	24,717	15,250	61.70%
Englewood	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	1,163	0	0	0	0	0	0	0	0	0	229	1,163	962	82.72%
Fayette County	Housing Rehabilitation	0	67	0	0	0	0	0	0	0	0	32	0	0	0	0	0	0	0	0	0	0	0	67	67	100.00%
Galloway	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	742	520	0	0	0	24	21	0	0	21	193	1,328	1,176	88.55%
Graysville	Water Plant Improvement	0	0	0	0	0	0	0	0	0	0	0	2,335	3	0	0	0	3	3	3	0	90	219	2,437	1,898	77.88%
Greene County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	8,887	0	69	0	0	0	234	0	0	317	953	9,535	7,304	76.60%
Greenville	Housing Rehabilitation	24	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	24	24	100.00%
Grundy County	Water System	0	0	0	0	0	0	0	0	0	0	0	15,409	0	36	0	0	7	14	14	0	85	1,649	15,565	11,855	76.16%
Hamblen County	Sewer Line Extension	91	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	91	75	82.42%
Harriman	Sewer System	0	0	0	0	0	0	0	0	0	0	0	4,908	125	0	0	0	0	0	0	0	69	1,077	5,102	3,827	75.01%
Hartsville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,816	845	0	0	0	0	0	0	0	126	1,011	6,787	5,742	84.60%
Houston County	Water Line Extension	86	0	0	0	0	0	0	0	0	0	14	0	0	0	0	0	0	0	0	0	0	0	86	81	94.19%
Jasper	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	7,575	205	27	0	0	0	0	0	0	122	722	7,929	6,512	82.13%
Lafayette	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,265	0	0	0	0	0	29	0	0	195	786	3,489	2,663	76.33%
Lafollette	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	8,372	49	0	36	0	0	0	0	0	86	1,299	8,543	6,962	81.49%
Lake City	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,629	0	0	0	0	0	0	0	0	14	427	1,643	1,498	91.17%
Lawrence County	Water Line Extension	272	5	0	1	0	1	0	0	0	0	32	0	0	0	0	0	0	0	0	0	0	0	279	186	66.67%
Lewis County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	5,621	87	0	0	0	0	0	0	0	31	1,036	5,739	3,874	67.50%
Lewisburg	Sewer System	0	0	0	0	0	0	0	0	0	0	0	855	337	0	0	0	0	9	5	0	61	221	1,267	1,171	92.42%
Marshall County	Water Line Extension	220	0	0	2	0	5	10	0	0	6	25	0	0	0	0	0	0	0	0	0	0	0	243	209	86.01%
Martin	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,073	1,660	0	0	0	0	0	0	0	13	1,315	6,746	5,060	75.01%
Mason	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	1,213	1,351	0	0	0	0	0	0	0	0	310	2,564	2,390	93.21%
Maynardville	Water System	0	0	0	0	0	0	0	0	0	0	0	5,088	15	0	0	0	5	0	0	0	15	664	5,108	4,148	81.21%
McKenzie	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	3,771	573	18	6	0	0	0	0	0	98	2,075	4,466	3,010	67.40%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
McNairy County	Health Department Renovation	0	0	0	0	0	0	0	0	0	0	0	3,954	264	217	72	0	0	0	0	0	105	516	4,612	4,323	93.73%
Michie	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,028	107	0	0	0	0	0	0	0	0	406	2,135	1,520	71.19%
Milan	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,597	1,404	61	20	0	50	101	0	0	210	1,608	7,443	5,389	72.40%
Moscow	Fire Protection Project	0	0	0	0	0	0	0	0	0	0	0	1,572	1,248	7	0	0	0	0	0	0	78	398	2,905	2,330	80.21%
Mosheim	Fire Protection Equipment	0	0	0	0	0	0	0	0	0	0	0	1,867	10	3	0	0	20	94	13	0	27	224	2,034	1,302	64.01%
New Johnsonville	Water Treatment Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	1,972	4	0	0	0	0	2	0	0	8	205	1,986	1,523	76.69%
Obion	Sewer System Improvement	0	0	0	0	0	0	0	0	0	0	0	1,116	76	0	0	0	0	0	0	0	0	217	1,192	966	81.04%
Obion County	Health Department Expansion	4,392	225	0	0	0	0	0	1,870	0	158	864	0	0	0	0	0	0	0	0	0	0	6,645	6,313	95.00%	
Oliver Springs	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	5,387	27	0	0	0	0	0	0	0	27	653	5,441	4,005	73.61%
Polk County	Water Line Extension	0	0	0	0	0	0	0	0	0	0	0	280	0	0	0	0	0	0	0	0	0	21	280	261	93.21%
Rhea County	Water Line Extension	297	0	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	297	244	82.15%
Scott County	Sewer Line Extension	93	0	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	0	0	0	0	0	93	69	74.19%
Sevier County	Water Line Extension	159	0	0	0	0	1	0	0	0	0	25	0	0	0	0	0	0	0	0	0	0	0	159	135	84.91%
Sparta	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,972	286	0	0	0	0	0	0	0	31	1,068	4,289	3,457	80.60%
Spencer	Water Treatment Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	3,218	0	0	0	0	0	6	0	0	0	700	3,224	2,589	80.30%
Stewart County	Water Treatment Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	3,481	7	1	1	3	4	4	2	2	20	591	3,525	2,133	60.51%
Sweetwater	Sewer Line Extension	65	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0	0	65	57	87.69%
Tipton County	Water System Improvement	0	0	0	0	0	0	0	0	0	0	0	13,349	4,658	0	0	0	0	0	0	0	304	1,428	18,311	11,042	60.30%
Tusculum	Fire Protection Project	0	0	0	0	0	0	0	0	0	0	0	7,715	0	0	85	0	0	28	42	0	254	1,016	8,124	4,626	56.94%
Union County	Water Line Extension	128	2	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	130	114	87.69%
Viola	Fire Protection Project	0	0	0	0	0	0	0	0	0	0	0	1,599	56	0	0	0	3	7	0	0	0	175	1,665	1,092	65.59%
Wayne County	Water Line Extension	173	6	0	0	0	0	0	0	0	16	26	0	0	0	0	0	0	0	0	0	0	0	195	126	64.62%
Winchester	Housing Rehabilitation	35	0	0	0	0	0	0	0	0	2	7	0	0	0	0	0	0	0	0	0	0	0	37	37	100.00%
																							Total	213,307	158,436	

<b>State: Tennessee</b>					<b>Reporting Period FY: 2009</b>								
<b>Grant Number: B-09-DY-47-0001</b>					<b>Date as of: June 30, 2013</b>								
<b>1. Financial Status</b>					<b>2. National Objectives</b>								
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2009 to FY 2009</b>								
					<b>B. Amount Used to:</b>								
<b>(1) Allocation:</b> \$7,095,627					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$6,492,299								
<b>(2) Program Income:</b> \$0					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0								
					<b>(3) Meet Urgent Community Development Needs:</b> \$0								
<b>B. Amount Obligated to Recipients:</b> \$6,915,871					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0								
<b>C. Amount Drawn Down:</b> \$7,043,058					<b>(5) Local Administration:</b> \$423,572								
<b>D. Amount for State Administration:</b> \$179,756													
<b>E. Technical Assistance:</b> \$0					<b>TOTAL:</b> \$6,915,871								
<b>F. Section 108 Loan Guarantees:</b> \$0													
					<b>8. Accomplishments</b>								
					<b>Proposed</b>					<b>Actual</b>			
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>	
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>	
Baileyton	AC	13		\$1,000	PF	LMI	NA	401	325	NA	401	325	
		4B		\$224,000									
Benton	AC	13		\$30,500	PF	LMI	N/A	105	95	N/A	87	83	
		4B		\$419,500									
Charleston	AC	13		\$28,500	PF	LMI	NA	90	86	NA	97	94	
		4B		\$471,500									
Crossville	AC	13		\$31,500	PF	LMI	N/A	9852	6069	N/A	9852	6069	
		4B		\$468,500									
Enville	AC	13		\$6,250	PF	LMI	NA	960	723	NA	988	774	
		6		\$56,688									
Gainesboro	AC	13		\$27,000	PF	LMI	N/A	1,287	1,082	N/A	1,287	1,082	
		4A		\$473,000									
Gates	AC	13		\$23,700	PF	LMI	NA	604	575	NA	604	575	
		4A		\$366,360									
Maury County	AC	13		\$19,000	PF	LMI	N/A	5,534	3,968	N/A	5,534	3,968	
		4A		\$455,000									
Niota	AC	13		\$28,500	PF	LMI	NA	162	135	NA	106	104	





## Part 1

State: Tennessee					Reporting Period FY: 2009							
Grant Number: B-09-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2009 to FY 2009							
					B. Amount Used to:							
(1) Allocation: \$26,660,860					(1) Benefit to Low/Moderate Income Persons: \$26,014,998							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$27,509,133					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$27,018,497					(5) Local Administration: \$1,494,135							
D. Amount for State Administration: \$633,217												
E. Technical Assistance: \$0					TOTAL: \$27,509,133							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Athens	AC	13		\$26,500	PF	LMI	NA	13,386	9,678	NA	13,386	9,678
		4B		\$473,500								
Bedford County	AC	13		\$18,500	PF	LMI	NA	104	75	NA	102	79
		4A		\$341,500								
Bell Buckle	AC	13		\$9,500	PF	LMI	NA	2076	1666	NA	2076	1666
		4A		\$284,500								
Bells	AC	13		\$21,500	PF	LMI	NA	2494	2087	NA	2494	2087
		4A		\$322,225								
Benton County	AC	13		\$19,500	PF	LMI	NA	61	46	NA	35	31
		4A		\$289,756								
Bledsoe County	AC	13		\$28,500	PF	LMI	NA	169	121	NA	74	51
		4A		\$471,500								
Bradford	AC	13		\$13,758	PF	LMI	NA	976	765	NA	976	765
		4B		\$205,728								
Brownsville	AC	13		\$21,500	PF	LMI	NA	9458	5817	NA	9458	5817
		4B		\$478,500								
Bruceton	AC	13		\$18,900	PF	LMI	NA	1358	1096	NA	1358	1096
		4B		\$275,100								
Carthage		13		\$9,000	PF	LMI						
		6		\$186,500								

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Centerville	AC	13		\$24,500	PF	LMI	NA	2074	1606	NA	2074	1606
		4B		\$361,900								
Chester County	AC	13		\$19,500	PF	LMI	NA	3236	2316	NA	3236	2316
		6		\$280,500								
Church Hill	AC	13		\$31,500	PF	LMI	NA	4920	3065	NA	4920	3065
		4B		\$468,500								
Claiborne County	AC	13		\$24,000	PF	LMI	NA	155	139	NA	148	132
		4A		\$444,964								
Clarksburg	AC	13		\$28,500	PF	LMI	NA	63	43	NA	57	42
		4B		\$471,500								
Clifton	NR	13		\$31,500	PF	LMI						
		4A		\$468,500								
Coalmont	NR	13		\$22,500	H	LMI						
		9A		\$238,500								
Cocke County	AC	13		\$23,650	PF	LMI	NA	150	131	NA	183	145
		4A		\$476,350								
Columbia	AC	13		\$13,500	PF	LMI	NA	1,024	978	NA	1,024	978
		6		\$286,500								
Dayton	AC	13		\$26,500	PF	LMI	NA	2600	1841	NA	2600	1841
		4A		\$473,500								
Franklin County	AC	13		\$11,500	PF	LMI	NA	130	81	NA	141	88
		4A		\$354,860								
Giles County	AC	13		\$18,500	PF	LMI	NA	2,816	2,050	NA	2,816	2,050
		4A		\$480,634								
Gleason	AC	13		\$10,989	PF	LMI	NA	1,904	1,352	NA	1,904	1,352
		6		\$179,228								
Grainger County	AC	13		\$31,500	PF	LMI	NA	153	123	NA	83	83
		4A		\$468,500								
Guys	AC	13		\$4,590	PF	LMI	NA	432	421	NA	432	421
		6		\$45,087								
Halls	AC	13		\$33,000	PF	LMI	NA	2,154	1,551	NA	2,154	1,551
		4B		\$467,000								
Hamilton County	AC	13		\$28,500	PF	LMI	NA	2,760	2,053	NA	2,760	2,053
		4A		\$471,500								
Haywood County	NR	13		\$25,000	PF	LMI						
		4A		\$475,000								
Henderson	AC	13		\$13,500	PF	LMI	NA	52	49	NA	52	45
		4B		\$186,500								
Henderson County	AC	13		\$13,115	PF	LMI	NA	1,077	833	NA	1,077	833







STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-09-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |   |  |
|---|--|
| 1. White                                  | 7. Asian & White   |
| 2. Black/African American                 | 8. Black/African American & White  |
| 3. Asian                                  | 9. American Indian/Alaskan Native & Black/African American                                     |
| 4. American Indian/Alaskan Native         | 10. Other Multi-Racial   |
| 5. Native Hawaiian/Other Pacific Islander | 11. Female head of households (if applicants or beneficiaries are receiving a direct benefit). |
| 6. American Indian/Alaskan Native & White |  |

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Athens	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	11,373	1,314	0	37	0	18	166	92	0	202	2,253	13,386	9,675	72.28%
Bedford County	Water Line Extension	108	0	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	0	108	73	67.59%
Bell Buckle	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,792	243	6	0	0	6	0	6	0	23	258	2,076	1,666	80.25%
Bells	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,140	670	20	14	0	0	0	0	0	650	382	2,494	2,087	83.68%
Benton County	Water Line Extension	62	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	63	46	73.02%	
Bledsoe County	Water Line Extension	169	0	0	0	0	0	0	0	0	0	5	0	0	0	0	0	0	0	0	0	0	169	121	71.60%	
Bradford	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	841	132	5	0	0	0	0	0	0	0	196	978	763	78.02%
Brownsville	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	5,675	3,586	0	0	0	0	0	0	0	197	2,327	9,458	5,817	61.50%
Bruceston	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,298	37	0	3	0	0	0	0	0	20	403	1,358	1,096	80.71%
Carthage	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,807	183	0	0	0	0	48	0	0	37	637	2,075	1,819	87.66%
Centerville	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,017	57	0	0	0	0	0	0	0	0	559	2,074	1,606	77.43%
Chester County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	3,012	179	21	0	0	0	0	0	0	0	155	3,236	2,316	71.57%
Church Hill	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,890	30	0	0	0	0	0	0	0	0	856	4,920	3,065	62.30%
Clairborne County	Water Line Extension	155	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	155	139	89.68%	
Clarksburg	Sewer Line Extension	57	2	0	0	0	0	4	0	0	0	17	0	0	0	0	0	0	0	0	0	0	63	43	68.25%	
Clifton	Water Line Extension	39	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	39	26	66.67%	
Coalmont	Housing Rehabilitation	48	0	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	48	48	100.00%	
Cocke County	Water Line Extension	149	0	0	0	0	1	0	0	0	0	18	0	0	0	0	0	0	0	0	0	0	150	131	87.33%	
Columbia	Youth Empowerment Center	0	0	0	0	0	0	0	0	0	0	0	335	500	5	6	0	3	3	25	4	143	176	1,024	978	95.51%
Dayton	Water Pressure Improvement	0	0	0	0	0	0	0	0	0	0	0	2,540	23	0	0	0	0	0	0	0	37	263	2,600	1,841	70.81%
Franklin County	Water Line Extension	130	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	130	81	62.31%	
Giles County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,260	532	3	0	0	0	3	0	18	332	2,816	2,049	72.76%	
Gleason	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,763	88	25	0	0	0	0	0	0	300	1,876	1,313	69.99%	
Grainger County	Water Line Extension	153	0	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	153	123	80.39%	
Guys	Early Warning Siren System	0	0	0	0	0	0	0	0	0	0	0	360	71	0	0	0	0	0	0	0	47	432	421	97.45%	
Halls	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,568	554	3	6	0	0	6	0	17	373	2,154	1,551	72.01%	
Hamilton Coutny	Water Source Improvemtns	0	0	0	0	0	0	0	0	0	0	0	2,744	0	0	0	0	0	0	0	16	246	2,760	2,055	74.46%	
Haywood County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	709	276	0	0	0	0	0	0	0	0	985	834	84.67%	
Henderson	Sewer Line Extension	49	4	0	0	0	0	0	0	0	0	3	0	0	0	0	0	0	0	0	0	0	49	45	91.84%	
Henderson County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	975	81	0	14	2	0	0	0	5	129	1,077	833	77.34%	
Humboldt	Housing Rehabilitation	0	52	0	0	0	0	0	0	0	0	10	0	0	0	0	0	0	0	0	0	0	52	52	100.00%	
Huntingdon	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,889	352	0	0	0	0	53	0	65	853	3,359	2,721	81.01%	
Jackson Coutny	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	5,023	0	0	0	0	0	0	0	5	463	5,028	3,867	76.91%	
Jamestown	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,717	4	0	7	0	0	4	0	28	623	1,760	1,604	91.14%	
Jefferson City	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	5,258	228	0	0	0	0	0	0	342	570	5,828	4,250	72.92%	
Lauderdale County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	7,094	293	12	0	0	0	0	0	29	943	7,428	3,989	53.70%	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Lawrenceburg	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,765	58	0	4	0	0	20	9	0	16	340	1,872	1,521	81.25%	
Lenoir City	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	8,528	95	19	0	0	0	0	0	0	618	1,259	9,260	5,732	61.90%	
Livingston	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	10,566	34	0	0	0	0	0	17	0	0	2,213	10,617	7,633	71.89%	
Lobelville	Water Line Extension	302	0	0	0	0	3	1	0	0	0	25	0	0	0	0	0	0	0	0	0	0	0	306	185	60.46%	
Loretto	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	270	20	0	0	0	0	0	0	0	0	37	290	223	76.90%	
Luttrell	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,416	0	0	0	0	0	0	0	0	0	285	2,416	1,960	81.13%	
Macon County	Water Line Extension	162	0	0	0	0	0	0	1	0	0	11	0	0	0	0	0	0	0	0	0	0	0	170	159	93.53%	
Madisonville	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,020	131	2	0	0	2	0	0	0	60	557	2,215	1,928	87.04%	
Maury City	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	419	257	1	0	0	0	0	0	0	62	134	738	528	71.54%	
McEwen	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,419	0	0	0	0	0	0	0	0	0	275	1,419	1,022	72.02%	
McLemoresville	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	277	38	0	0	0	0	0	0	0	0	107	315	194	61.59%	
McMinn County	Water Line Extension	240	1	4	0	0	0	0	0	0	0	11	0	0	0	0	0	0	0	0	0	0	0	245	203	82.86%	
Minor Hill	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,889	34	0	0	0	0	0	0	0	16	278	1,939	1,640	84.58%	
Monterey	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,698	0	0	0	0	0	0	0	0	915	395	2,613	2,182	83.51%	
Morgan County	Water Line Extension	89	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	89	87	97.75%	
Overton County	EMS Ambulance	0	0	0	0	0	0	0	0	0	0	0	24,908	37	0	0	0	92	0	17	0	18	3,650	25,074	17,220	68.68%	
Paris	Sewer Line Extension	62	10	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	72	57	79.17%	
Pickett County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,999	0	0	0	0	0	0	0	0	23	203	1,811	1,490	82.27%	
Pikeville	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,026	102	9	0	0	0	0	0	0	0	227	1,137	918	80.74%	
Portland	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	9,746	162	0	17	0	12	0	17	0	104	1,297	10,058	6,276	62.40%	
Pulaski	Water Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	4,765	1,599	0	0	0	0	0	0	0	0	2,046	6,364	4,281	67.27%	
Ripley	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,570	3,714	8	120	0	178	475	129	16	112	1,733	5,397	4,512	83.60%	
Rutledge	Water Line Extension	122	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	122	100	81.97%	
Saltillo	Housing Rehabilitation	0	55	0	0	0	0	0	0	0	0	29	0	0	0	0	0	0	0	0	0	0	0	55	55	100.00%	
South Fulton	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,032	713	0	0	0	0	0	0	0	8	438	2,753	1,977	71.81%	
South Pittsburgh	Water Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	6,554	505	37	0	0	83	33	83	0	70	687	7,365	5,193	70.51%	
St. Joseph	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,200	4	0	0	0	0	5	0	0	0	136	1,209	878	72.62%	
Surgoinsville	Sewer Line Extension	621	3	0	0	0	4	0	0	0	16	109	0	0	0	0	0	0	0	0	0	0	0	635	405	63.78%	
Tazewell	Sewer Line Extension	65	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0	0	65	40	61.54%	
Tellico Plains	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,110	10	0	0	0	0	0	0	0	44	379	4,164	2,777	66.69%	
Tullahoma	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,317	208	0	18	0	21	0	32	0	30	426	2,626	2,183	83.13%	
Unicoi County	Sewer Line Extension	161	1	0	0	0	0	0	0	0	26	34	0	0	0	0	0	0	0	0	0	0	0	188	168	89.36%	
Vonore	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	831	22	0	0	0	0	0	0	0	44	123	897	759	84.62%	
Washington County	Water Line Extension	84	2	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	86	50	58.14%	
Weakley County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	888	23	0	0	0	7	0	0	0	0	106	918	683	74.40%	
Whitwell	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	7,552	46	0	0	15	0	0	0	0	0	780	7,613	5,386	70.75%	
																								<b>Total</b>	<b>195,474</b>	<b>139,749</b>	

STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
 State of Tennessee  
 Grant Number: B-09-D-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

- |  |   |
|--|---|
| <ul style="list-style-type: none"> <li>1. White</li> <li>2. Black/African American</li> <li>3. Asian</li> <li>4. American Indian/Alaskan Native</li> <li>5. Native Hawaiian/Other Pacific Islander</li> <li>6. American Indian/Alaskan Native &amp; White</li> </ul> | <ul style="list-style-type: none"> <li>7. Asian &amp; White</li> <li>8. Black/African American &amp; White</li> <li>9. American Indian/Alaskan Native &amp; Black/African American</li> <li>10. Other Multi-Racial</li> <li>11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).</li> </ul> |
|--|---|

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Adamsville	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	2,494	0	0	0	0	0	31	0	0	12	360	2,537	1,946	76.70%	
Benton County	Emergency Mgt. Building	0	0	0	0	0	0	0	0	0	0	0	16,884	362	0	0	0	0	0	0	0	142	2,000	17,388	14,310	82.30%	
Big Sandy	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	458	19	0	0	0	2	0	12	0	3	38	835	610	73.05%	
Brownsville	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	5,838	3,338	27	110	0	89	34	48	14	379	2,084	9,877	5,985	60.60%	
Camden	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	2642	40	0	0	0	0	0	0	0	70	567	2,752	1,596	57.99%	
Centerville/Hickman County	Emergency Operations Center	0	0	0	0	0	0	0	0	0	0	0	18,780	344	0	0	0	0	0	0	0	13	3,714	19,137	13,645	71.30%	
Eastview	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	1,657	12	0	0	0	0	0	0	0	13	226	1,724	1,503	87.18%	
Erin	Sewer System Repairs	0	0	0	0	0	0	0	0	0	0	0	853	85	0	0	0	0	0	6	0	2	154	946	776	82.03%	
Fentress County	Fire Station	0	0	0	0	0	0	0	0	0	0	0	16,255	18	16	25	0	0	0	0	0	157	1,779	16,471	8,666	52.61%	
Galloway	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	206	303	0	1	0	0	0	0	0	5	146	515	388	75.34%	
Grand Junction	Early Warning Siren System	0	0	0	0	0	0	0	0	0	0	0	252	104	0	0	0	0	0	0	0	0	60	356	279	78.37%	
Hardin County	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	458	19	0	0	0	2	0	12	0	3	38	522	374	71.65%	
Haywood County	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	8,926	4,728	5	94	0	0	0	4	0	594	2,440	14,351	9,630	67.10%	
Hohenwald	Water System Emergency/Fire Protection	0	0	0	0	0	0	0	0	0	0	0	5,621	89	0	0	0	0	0	0	0	29	1,036	5,739	4,266	74.33%	
Houston County	Emergency Response Center	0	0	0	0	0	0	0	0	0	0	0	7,063	234	0	0	0	0	0	0	0	60	640	7,357	6,356	86.39%	
Lafayette	Fire Protection Project	0	0	0	0	0	0	0	0	0	0	0	3,499	33	0	0	0	0	0	0	0	67	824	3,599	2,664	74.02%	
LaGrange	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	113	24	0	0	0	0	0	0	0	19	137	77	56.20%		
Linden	Warning Sirens/Emergency Generators	0	0	0	0	0	0	0	0	0	0	0	839	98	4	0	0	0	0	0	0	0	162	941	839	89.16%	
Lobelville	Emergency Generators	0	0	0	0	0	0	0	0	0	0	0	2,262	0	0	0	0	0	0	0	0	0	268	2,262	1,677	74.14%	
Macon County	Emergency Operations Center	0	0	0	0	0	0	0	0	0	0	0	27,675	19	57	0	0	0	57	38	0	285	3,741	28,131	17,919	63.70%	
McNairy County	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	35,131	44	0	0	3	663	351	0	0	11	3,161	36,592	23,458	64.11%	
Medon	Emergency Well Project	0	0	0	0	0	0	0	0	0	0	0	168	11	1	4	0	0	0	0	0	0	24	184	118	64.13%	
Milledgeville	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	145	2	0	0	0	0	11	3	0	0	18	154	126	81.82%	
Moscow	Early Warning System	0	0	0	0	0	0	0	0	0	0	0	1,572	1,248	7	0	0	0	0	0	0	78	398	2,905	2,330	80.21%	
Perry County	Emergency Operations Center	0	0	0	0	0	0	0	0	0	0	0	9,395	145	0	0	0	28	0	0	37	85	1,054	9,690	8,095	83.54%	
Portland	Public Building Improvements	0	0	0	0	0	0	0	0	0	0	0	8,150	233	17	26	0	0	0	0	0	319	1,112	8,624	4,556	52.83%	
Rossville	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	911	404	0	0	0	2	52	8	0	55	193	1,579	1,079	68.33%	
Saltillo	Emergency Management Center&Storm Shelter	0	0	0	0	0	0	0	0	0	0	0	259	82	0	1	0	0	0	0	0	0	65	342	202	59.06%	
Savannah	Drainage Improvements	0	0	0	0	0	0	0	0	0	0	0	6,251	621	22	38	2	0	0	0	0	115	1,039	6,917	3,618	52.31%	
Selmer	Emergency Communications System Upgrade	0	0	0	0	0	0	0	0	0	0	0	3,262	178	0	0	0	0	0	7	0	38	699	3,883	3,430	88.33%	
Somerville	Disaster Recovery Improvements	0	0	0	0	0	0	0	0	0	0	0	2,047	402	0	0	0	0	0	7	0	6	747	3,770	3,016	80.00%	
Stanton	Drainage Improvements	0	0	0	0	0	0	0	0	0	0	0	196	417	0	1	1	0	0	0	0	0	220	615	383	62.28%	
Stantonville	Emergency Preparedness	0	0	0	0	0	0	0	0	0	0	0	1,643	7	3	0	0	0	0	0	0	4	196	1,657	1,160	70.01%	
Trousdale County	Communication & Recovery Equipmenr	0	0	0	0	0	0	0	0	0	0	0	6,900	824	8	17	2	0	0	0	0	72	194	7,094	3,949	55.67%	
Westmoreland	Communication & Recovery Equipmenr	0	0	0	0	0	0	0	0	0	0	0	2,026	1	1	5	0	0	0	0	0	15	138	2,049	1,217	59.39%	
																							Total	221,632	150,243		

## Part 1

State: Tennessee					Reporting Period FY: 2010							
Grant Number: B-10-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2010 to FY 2010							
					B. Amount Used to:							
(1) Allocation: \$29,093,372					(1) Benefit to Low/Moderate Income Persons: \$26,534,183							
(2) Program Income: \$0					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$28,148,261					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$21,715,413					(5) Local Administration: 1,614,078.00							
D. Amount for State Administration: \$681,867												
E. Technical Assistance: \$0					TOTAL: \$28,148,261							
F. Section 108 Loan Guarantees: \$0												
8. Accomplishments												
					Proposed				Actual			
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Alamo	AC	13		\$23,700	PF	LMI	NA	2631	2068	NA	2631	2068
		4B		\$346,350								
Anderson County		13		\$36,500	PF	LMI						
		4B		\$463,500								
Baxter	AC	13		\$29,000	PF	LMI	NA	4366	3497	NA	4366	3497
		4A		\$471,000								
Bradley County	AC	13		\$23,500	PF	LMI	NA	347	345	NA	297	297
		4A		\$476,500								
Brighton		13		\$41,500	H	LMI						
		8		\$365,000								
		9A		\$93,500								
Campbell County	AC	13		\$25,000	PF	LMI	NA	81	78	NA	52	52
		4A		\$395,000								
Celina	AC	13		\$25,500	PF	LMI	NA	4353	3713	NA	4353	3713
		4A		\$474,500								
Chapel Hill		13		\$16,200	PF	LMI						
		4B		\$294,800								
Clay County		13		\$26,500	PF	LMI						
		4A		\$473,500								
Covington	AC	13		\$31,500	PF	LMI	NA	8,460	6,988	NA	8,460	6,988
		4B		\$468,500								
Crockett County	AC	13		\$18,722	PF	LMI	NA	14,888	11,613	NA	14,888	11,613







STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-10-47-0001**

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1. White
2. Black/African American
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4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Alamo	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,084	476	0	0	0	0	0	0	0	71	445	2,631	2,068	78.60%
Anderson County	Sewer Line Extension	44	26	0	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	71	57	80.28%
Baxter	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	4,327	26	0	0	0	0	0	0	0	13	637	4,366	3,497	80.10%
Bradley County	Water Line Extension	346	1	0	0	0	0	0	0	0	0	20	0	0	0	0	0	0	0	0	0	0	0	347	345	99.42%
Brighton	Housing Rehabilitation	1	39	0	0	0	0	0	0	0	0	6	0	0	0	0	0	0	0	0	0	0	0	40	40	100.00%
Campbell County	Water Line Extension	81	0	0	0	0	0	0	0	0	0	12	0	0	0	0	0	0	0	0	0	0	0	81	78	96.30%
Celina	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	4,130	12	61	49	41	0	0	0	28	32	792	4,353	3,713	85.30%
Chapel Hill	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,002	64	0	0	0	0	0	0	0	24	188	1,090	993	91.10%
Clay County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	3,200	16	0	4	0	12	0	0	0	4	339	3,236	2,164	66.87%
Covington	Sewer Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	3,519	4,822	0	40	0	0	0	0	0	79	1,455	8,460	6,988	82.60%
Crockett County	Emergency Service Improvements	0	0	0	0	0	0	0	0	0	0	0	9,290	3,009	60	43	0	0	34	60	9	2,383	2,129	14,888	11,613	78.00%
Cumberland County	Water System Renovation	0	0	0	0	0	0	0	0	0	0	0	210	0	0	0	0	0	0	0	0	0	38	210	192	91.43%
Cumberland Gap	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	218	0	0	0	0	0	0	0	6	0	53	224	175	78.13%
Decherd	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,032	269	0	0	0	0	2	14	2	43	535	2,360	2,152	91.19%
Dover	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,382	14	1	1	2	0	0	0	0	0	238	1,400	1,273	90.93%
Doyle	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	2,425	10	0	3	5	0	0	29	0	119	254	2,591	2,117	81.71%
Dyer	Sewer Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	1,850	112	0	0	0	0	3	0	2	6	677	1,973	1,383	70.10%
Dyer County	Finley Elementary Wastewater	0	0	0	0	0	0	0	0	0	0	0	1,300	11	0	16	0	0	0	4	0	16	99	1,347	730	54.19%
East Ridge	Drainage	0	0	0	0	0	0	0	0	0	0	0	5,412	120	136	24	1	17	9	6	1	45	939	5,761	3,243	56.29%
Erin	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	853	85	0	0	0	0	0	6	0	2	154	946	780	82.45%
Ethridge	Early Warning Sirens	0	0	0	0	0	0	0	0	0	0	0	505	7	4	0	0	1	3	0	0	0	64	520	434	83.46%
Gibson County	Fire Department Improvements	0	0	0	0	0	0	0	0	0	0	0	13,810	606	0	0	0	9	0	0	0	2	2,046	14,427	10,388	72.00%
Greenfield	Water Treatment Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	1,695	162	0	0	0	0	15	11	0	28	131	1,911	1,449	75.82%
Harriman	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,703	186	0	0	0	0	0	24	0	53	1,058	4,966	3,595	72.39%
Harrogate	Sewer Line Extension	104	0	2	0	0	0	0	0	0	0	16	0	0	0	0	0	0	0	0	0	0	106	91	85.85%	
Hawkins County	Water Line Extension	433	0	0	1	0	0	0	0	0	1	61	0	0	0	0	0	0	0	0	0	0	435	338	77.70%	
Henning	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	1,062	1,373	0	21	0	10	0	15	0	43	1,462	2,524	1,815	71.91%
Henry County	Water Tank Acquisition	0	0	0	0	0	0	0	0	0	0	0	1,252	50	0	0	0	0	0	0	0	16	138	1,318	771	58.50%
Hornbeak	Sewer Line Extension	114	0	0	0	0	0	0	0	0	0	30	0	0	0	0	0	0	0	0	0	0	114	91	79.82%	
Humphreys County	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,938	0	0	0	0	0	0	0	0	0	207	1,938	1,642	84.73%
Jellico	Wastewater System Improvement	0	0	0	0	0	0	0	0	0	0	0	2,354	29	0	0	0	0	0	0	0	9	333	2,392	1,979	82.73%
Kimball	Sewer Line Extension	95	0	0	0	0	0	0	0	0	2	10	0	0	0	0	0	0	0	0	0	0	97	94	96.91%	
Lafayette	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	3,499	33	0	0	0	0	0	0	0	67	824	3,599	2,664	74.02%
Lafollette	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	8,438	26	0	136	0	0	0	0	0	72	1,171	8,672	5,276	60.84%
Lynnville	Water Storage Tank	0	0	0	0	0	0	0	0	0	0	0	678	42	7	0	0	0	5	0	0	0	83	732	516	70.49%
Martin	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	5,997	1,314	90	7	0	0	30	45	0	186	1,020	7,669	5,713	74.49%
Maynardville	Water Line Extension	75	0	0	0	0	0	0	0	0	0	18	0	0	0	0	0	0	0	0	0	0	75	73	97.33%	
Monroe County	Water Line Extension	77	0	0	0	0	0	0	0	0	0	13	0	0	0	0	0	0	0	0	0	0	77	77	100.00%	
Mosheim	Sewer Plant Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,420	102	0	0	0	0	0	0	0	22	517	3,544	2,499	70.51%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Mountain City	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,783	9	12	0	0	23	0	0	0	6	339	1,833	1,099	59.96%	
Mt. Pleasant	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	2,374	437	0	0	0	0	3	0	0	26	674	2,840	2,416	85.07%	
Newbern	Sanitary Sewer Rehabilitation	1,946	405	0	0	0	0	0	0	0	18	332	0	0	0	0	0	0	0	0	0	0	0	2,368	1,579	66.68%	
Palmer	Housing Rehabilitation	37	0	0	0	0	0	0	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0	37	37	100.00%	
Polk County	Water Line Extension	169	0	0	0	0	0	0	0	0	0	8	0	0	0	0	0	0	0	0	0	0	0	169	157	92.90%	
Putnam County	Fire Protection	0	0	0	0	0	0	0	0	0	0	0	5,884	32	0	0	7	52	7	0	0	7	797	5,996	4,298	71.68%	
Red Bank	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	12,526	495	59	0	0	0	0	40	0	376	1,563	13,496	8,707	64.52%	
Red Boiling Springs	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,086	4	4	0	0	0	12	8	0	76	542	4,198	2,617	62.34%	
Ridgely	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,033	247	0	0	0	0	0	0	0	28	510	1,308	993	75.92%	
Scott County	Sewer Line Extension	20	0	0	0	0	0	0	0	0	1	2	0	0	0	0	0	0	0	0	0	0	0	21	21	100.00%	
Sequatchie County	Water Line Extension	184	0	0	0	0	0	0	0	0	0	9	0	0	0	0	0	0	0	0	0	0	0	184	160	86.96%	
Shelbyville	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	1,826	389	0	0	0	0	0	0	0	606	381	2,821	2,413	85.54%	
Sparta	Sewer System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,667	138	0	0	0	20	0	20	0	93	1,012	3,938	3,182	80.80%	
Spencer	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,866	4	0	0	0	0	0	0	0	8	493	3,878	3,149	81.20%	
Spring Hill	Housing Rehabilitation	0	41	0	0	0	0	0	0	0	0	17	0	0	0	0	0	0	0	0	0	0	0	41	39	95.12%	
Trimble	Sanitary Sewer Rehabilitation	602	20	0	0	0	0	0	0	0	5	94	0	0	0	0	0	0	0	0	0	0	0	627	482	76.87%	
Trousdale County Hartsville	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	5,609	1,200	0	0	0	0	0	0	0	244	1,023	7,053	6,016	85.30%	
Union County	Water Line Extension	152	0	0	0	0	0	0	0	0	2	13	0	0	0	0	0	0	0	0	0	0	0	154	146	94.81%	
Vanleer	Water Line Extension	142	0	0	0	0	0	0	0	0	6	10	0	0	0	0	0	0	0	0	0	0	0	148	138	93.24%	
Wartburg	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	1,122	0	0	0	0	0	0	0	0	0	199	1,122	831	74.06%	
Watauga	Housing Rehabilitation	24	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	0	24	24	100.00%	
Westmoreland	Water System Improvements	0	0	0	0	0	0	0	0	0	0	0	4,148	64	1	8	0	0	3	25	0	36	574	4,285	4,024	93.91%	
White Bluff	Sewer System Improvements	0	0	0	0	0	0	0	0	0	0	0	2,051	6	3	5	0	0	0	0	0	15	297	2,080	1,282	61.63%	
White County	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	3,488	12	13	0	0	0	4	12	0	24	274	3,552	2,788	78.49%	
White Pine	Wastewater I/I	0	0	0	0	0	0	0	0	0	0	0	2,001	66	0	0	0	0	0	0	0	99	238	2,166	1,707	78.81%	
Whiteville	Fire Department Improvements	0	0	0	0	0	0	0	0	0	0	0	2,051	1	3	5	0	0	0	0	0	15	310	2,080	1,598	76.83%	
Woodbury	Water System Rehabilitation	0	0	0	0	0	0	0	0	0	0	7,247	89	0	0	0	0	0	0	0	0	74	1,356	7,410	6,847	92.40%	
Waynesboro	Sewer Plant Improvements	0	0	0	0	0	0	0	0	0	0	0	1,317	9	0	0	0	0	0	0	0	11	421	1,337	954	71.35%	
																								Total	186,657	140,810	

## Part 1

State: Tennessee					Reporting Period FY: 2011							
Grant Number: B-11-DC-47-0001					Date as of: June 30, 2013							
1. Financial Status					2. National Objectives							
A. Total Funds					A. Period Specified for Benefit: FY 2011 to FY 2011							
					B. Amount Used to:							
(1) Allocation: \$24,449,841					(1) Benefit to Low/Moderate Income Persons: \$22,161,313							
(2) Program Income: \$532,000					(2) Prevent/Eliminate Slums/Blight: \$0							
					(3) Meet Urgent Community Development Needs: \$0							
B. Amount Obligated to Recipients: \$24,136,369					(4) Acquisition/Rehabilitation Noncountable: \$0							
C. Amount Drawn Down: \$15,074,025					(5) Local Administration: 1,975,056.00							
D. Amount for State Administration: \$588,997												
E. Technical Assistance: \$244,498					TOTAL: \$24,136,369							
F. Section 108 Loan Guarantees: \$0												
					8. Accomplishments							
					Proposed					Actual		
3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Ardmore	NR	4A		\$328,500	PF	LMI						
		13		\$21,500								
Benton		4A		\$473,000	PF	LMI						
		13		\$27,000								
Brownsville	AC	4B		\$468,500		LMI	NA	8,030	5,492	NA	8,030	5,492
		13		\$31,500								
Bulls Gap	NR	6		\$233,656	PF	LMI						
		13		\$15,500								
Cannon County	AC	4A		\$261,000	PF	LMI	NA	35	34	NA	39	38
		13		\$17,500								
Carter Co	NR	4A		\$468,500	PF	LMI						
		13		\$31,500								
Caryville		4A		\$435,160	PF	LMI						
		13		\$33,260								
Copperhill		4B		\$209,500	PF	LMI						
		13		\$15,500								
Cornersville		9A		\$208,500	H	LMI						
		13		\$16,500								
Crossville	AC	4B		\$31,500	PF	LMI	NA	9,123	6,231	NA	9,123	6,231
		13		\$468,500								
DeKalb County		4A		\$471,500	PF	LMI						

3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
		13		\$28,500								
Ducktown		6		\$100,000	PF	LMI						
Elizabethton	AC	4B		\$465,000	PF	LMI	NA	11,060	5,996	NA	11,060	5,996
		13		\$35,000								
Fentress County		6		\$285,000	PF	LMI						
		13		\$15,000								
Gainesboro		4A		\$278,500	PF	LMI						
		13		\$21,500								
Giles County	AC	4A		\$485,000	PF	LMI	NA	2816	2309	NA	2816	2309
		13		\$15,000								
Gleason	AC	6		\$177,621	PF	LMI	NA	1904	1352	NA	1904	1352
		13		\$11,900								
Greeneville		4B		\$500,000	PF	LMI						
Grundy County	NR	4A		\$468,500	PF	LMI						
		13		\$31,500								
Halls		4B		\$468,500	PF	LMI						
		13		\$31,500								
Hartsville / Trousdale County		4A		\$471,500	PF	LMI						
		13		\$28,500								
Jackson County	AC	6		\$285,000	PF	LMI	NA	12749	10556	NA	12749	10556
		13		\$15,000								
Jamestown		4B		\$475,000	PF	LMI						
		13		\$25,000								
Jefferson County	NR	4A		\$298,900	PF	LMI						
		13		\$16,500								
Lake County	NR	6		\$211,978	PF	LMI						
		13		\$15,126								
Lauderdale County	AC	6		\$279,000	PF	LMI	NA	29158	19040	NA	29158	19040
		13		\$21,000								
Lawrence County		4A		\$480,500	PF	LMI						
		13		\$19,500								
Lawrenceburg		4B		\$479,000	PF	LMI						
		13		\$21,000								
Lewis County		4A		\$480,000	PF	LMI						
		13		\$20,000								
Lewisburg		4B		\$477,000	PF	LMI						
		13		\$23,000								
Livingston		4A		\$475,000	PF	LMI						





STATE GRANT PERFORMANCE/EVALUATION REPORT

Part III: Civil Rights  
 State of Tennessee  
 Grant Number: B-11-DY-47-0001

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Beneficiary											Applicant -Direct Benefit											Total Served	# OF LMI	% LMI
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11			
Ardmore	Water System	1,648	97	20	4						31													1,800	1,721	95.61%
Benton	Water System	2,402	3																					2,405	2,015	83.78%
Brownsville	Sewer System Improvements	5,492	3,125					57	34		122													8,830	5,492	62.20%
Bulls Gap	Fire Protection	6,104	61	4					4		89													6,262	5,925	94.62%
Cannon County	Water Line Extension												35									3		35	34	97.14%
Carter County	Water System	1228	3				14	9																1,254	885	70.57%
Caryville	Water System	9,359	21	20		74		1			304													9,779	7,706	78.80%
Copperhill	Sewer System Improvements	517	1								8													526	444	84.41%
Cornersville	Housing Rehabilitation												4	4								2		8	7	87.50%
Crossville	Sewer System Improvements	8,612	39	26	92		13				341													9,123	6,231	68.30%
DeKalb County	Water Line Extension												129									10		129	107	82.95%
Duckstown	Fire Hall	5,590	6								10													5,606	4,345	77.51%
Elizabethton	Sewer System Improvements	10,695	126	20			5	10	8		196													11,060	5,696	51.50%
Fentress County	Fire Protection	2,737	4						4		37													2,782	2,426	87.20%
Gainesboro	Water System	1,310																						1,310	1,111	84.81%
Giles County	Water System	2,260	533	3					3		17													2,816	2,309	82.00%
Gleason	Street Improvements	1,791	88	25																				1,904	1,352	71.01%
Greeneville	Sewer System Improvements	12,794	312				21				371													13,498	8,118	60.14%
Grundy County	Water System	14,209	20	24	43			3	16		127													14,442	11,481	79.50%
Halls	Sewer System Improvements	1,565	554	3	6			9			17													2,154	1,551	72.01%
Hartsville/Trousdale Co.	Water System	5,607	1,202								244													7,053	6,016	85.30%
Jackson County	Fire Protection	12,673		13							63													12,749	10,556	82.80%
Jamestown	Sewer System Improvements	1,717	4		7				4		28													1,760	1,637	93.01%
Jefferson County	Water Line Extension												82									2	11	84	71	84.52%
Lake County	Incinerator	3,154	2,481	11	31																			5,677	2,943	51.84%
Lauderdale County	Ambulance Services	19,866	8,654	15	150			46	37		370													29,158	19,040	65.30%
Lawrence County	Water Line Extension												205	4								7	15	216	163	75.46%
Lawrenceburg	Sewer System Improvements	2,935	231								45													3,211	2,896	90.19%
Lewis County	Water System	5,621	118																					5,739	4,207	73.31%
Lewisburg	Sewer System Improvements	1,627	382	2			18	29	18		168													2,244	1,959	87.30%
Livingston	Water System	10,567	34							17														10,618	7,634	71.90%
Mason	Sewer System Improvements	278	488								11	27												804	662	82.34%
Maury County	Sewer System Improvements	2,365	440					9			26													2,840	2,341	82.43%
Meigs County	Sewer System Improvements	1,128	5								8													1,141	870	76.25%
Milan	Sewer System Improvements	5,600	1,453	51	77		122	148	51	13	174													7,689	5,498	71.50%
Mount Carmel	Sewer System Improvements	4,143	20						193		44													4,400	2,424	55.09%
New Hope	Fire Protection	8,937	106	22	30			22	38		43													9,198	7,740	84.15%
Newport	Water Line Extension												95											95	78	82.11%

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Beneficiary											Applicant -Direct Benefit											Total Served	# OF LMI	% LMI	
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11				
Norris	Sewer System Improvements	1,027																						1,027	871	84.81%	
Oneida	Sewer System Improvements	3,068	85								36													3,189	2,471	77.49%	
Overton County	Water System	6,583	28					7			58													6,676	5,201	77.91%	
Parsons	Housing Rehabilitation													46									8	46	46	100.00%	
Sevier County	Water Line Extension												118	1									9	119	100	84.03%	
Smith County	Water Line Extension												55								5	4	60	54	90.00%		
Sneedville	Sewer System Improvements	1,186						11																1,197	1,043	87.13%	
Soddy Daisy	Sewer Line Extension													71									9	71	47	66.20%	
South Fulton	Sewer System Improvements	2,032	713								8													2,753	1,977	71.81%	
Spring City	Sewer System Improvements	1,267	71		7			10			13													1,368	1,033	75.51%	
Stanton	Housing Rehabilitation													11	4	2							2	17	17	100.00%	
Sumner County	Water Line Extension													34									2	34	34	100.00%	
Sunbright	Rescue Improvements	1,527																						1,527	1,194	78.19%	
Tiptonville	Water System	1,519	430								64													2,013	1,476	73.32%	
Tracy City	Water System	3,416																						3,416	2,774	81.21%	
Trenton	Sewer System Improvements	2,963	947	13				5			92													4,020	2,722	67.71%	
Tullahoma	Sewer System Improvements	2,317	208		18		21		32		30													2,626	2,182	83.09%	
Union City	Counseling Center	1,522	190								24													1,736	1,564	90.09%	
Van Buren County	Water System	3,680	4		4	23	12		8		42													3,773	2,879	76.31%	
Warren County	Water System	13,008	214	175			78		39		662													14,176	10,093	71.20%	
Wartrace	Water Line Extension													34									2	34	25	73.53%	
																								<b>Total</b>	<b>250,277</b>	<b>183,494</b>	<b>73.30%</b>

**Part 1**

<b>State: Tennessee</b>					<b>Reporting Period FY: 2012</b>							
<b>Grant Number: B-12-DC-47-0001</b>					<b>Date as of: June 30, 2013</b>							
<b>1. Financial Status</b>					<b>2. National Objectives</b>							
<b>A. Total Funds</b>					<b>A. Period Specified for Benefit: FY 2012 to FY 2012</b>							
					<b>B. Amount Used to:</b>							
<b>(1) Allocation:</b> \$24,488,568					<b>(1) Benefit to Low/Moderate Income Persons:</b> \$23,303,526							
<b>(2) Program Income:</b> \$0					<b>(2) Prevent/Eliminate Slums/Blight:</b> \$0							
					<b>(3) Meet Urgent Community Development Needs:</b> \$0							
<b>B. Amount Obligated to Recipients:</b> \$24,744,782					<b>(4) Acquisition/Rehabilitation Noncountable:</b> \$0							
<b>C. Amount Drawn Down:</b> \$1,547,207					<b>(5) Local Administration:</b> 1,441,256.00							
<b>D. Amount for State Administration:</b> \$589,771												
<b>E. Technical Assistance:</b> \$244,886					<b>TOTAL:</b> \$24,744,782							
<b>F. Section 108 Loan Guarantees:</b> \$0												
<b>8. Accomplishments</b>												
					<b>Proposed</b>			<b>Actual</b>				
<b>3.</b>	<b>3a.</b>	<b>4.</b>	<b>4a.</b>	<b>5.</b>	<b>6.</b>	<b>7.</b>	<b>a.</b>	<b>b.</b>	<b>c.</b>	<b>d.</b>	<b>e.</b>	<b>f.</b>
<b>Locality</b>	<b>Status</b>	<b>activity</b>	<b>Status</b>	<b>Amount</b>	<b>Pur- pose</b>	<b>Nat'l Objec- tive</b>	<b># of Units/ Loans</b>	<b>Total # of Persons Jobs</b>	<b>Total # of L/M Persons / L/M Jobs</b>	<b># of Units/ Loans</b>	<b>Total # of Persons/ Jobs</b>	<b>Total # of L/M Persons/ L/M Jobs</b>
Adamsville	NR	13		\$27,000	PF	LMI						
		4B		\$384,400								
Alexandria	NR	13		\$26,500	PF	LMI						
		4A		\$473,500								
Algood	NR	13		\$35,000	H	LMI						
		8		\$65,000								
		9A		\$400,000								
Baileyton	NR	4B		\$321,687	PF	LMI						
Bedford County	NR	13		\$20,500	PF	LMI						
		4A		\$429,500								
Benton County	NR	13		\$19,500	PF	LMI						
		6		\$264,797								
Bethel Springs	NR	13		\$22,926	PF	LMI						
		4A		\$334,179								
Bolivar	NR	13		\$29,500	PF	LMI						
		4B		\$442,200								
Carroll County	NR	13		\$16,500	PF	LMI						
		6		\$283,500								
Centerville	NR	13		\$19,500	PF	LMI						
		4B		\$367,500								



3.	3a.	4.	4a.	5.	6.	7.	a.	b.	c.	d.	e.	f.
Locality	Status	activity	Status	Amount	Pur- pose	Nat'l Objec- tive	# of Units/ Loans	Total # of Persons Jobs	Total # of L/M Persons / L/M Jobs	# of Units/ Loans	Total # of Persons/ Jobs	Total # of L/M Persons/ L/M Jobs
Lenoir City	NR	13		\$31,500	PF	LMI						
		4B		\$468,500								
Madisonville	NR	13		\$26,900	PF	LMI						
		4B		\$473,100								
Maury City	NR	13		\$16,000	PF	LMI						
		6		\$374,500								
McKenzie	NR	13		\$36,500	PF	LMI						
		4B		\$463,500								
McMinn County	NR	13		\$31,500	PF	LMI						
		4B		\$468,500								
McMinnville	NR	13		\$34,000	PF	LMI						
		4B		\$466,000								
McNairy County	NR	13		\$29,500	PF	LMI						
		4B		\$444,800								
Michie	NR	13		\$31,500	PF	LMI						
		4A		\$468,500								
Mt Pleasant	NR	13		\$21,000	PF	LMI						
		4B		\$479,000								
Newbern	NR	13		\$17,650	PF	LMI						
		6		\$282,350								
Oliver Springs	NR	13		\$32,500	PF	LMI						
		4A		\$467,500								
Petersburg	NR	13		\$13,000	PF	LMI						
		4A		\$181,000								
Pickett County	NR	13		\$12,500	PF	LMI						
		6		\$287,500								
Pulaski	NR	13		\$24,500	PF	LMI						
		4B		\$475,500								
Ripley	NR	13		\$31,500	PF	LMI						
		4B		\$468,500								
Rogersville	NR	13		\$31,500	PF	LMI						
		4A		\$468,500								
Rutledge	NR	13		\$11,750	PF	LMI						
		6		\$188,250								
Samburg	NR	13		\$47,500	PF	LMI						
		9A		\$91,500								
		8		\$361,000								
Sardis	NR	13		\$13,875	PF	LMI						
		4A		\$309,469								
Scott County	NR	13		\$13,250	PF	LMI						



STATE GRANT PERFORMANCE/EVALUATION REPORT

**Part III: Civil Rights**  
**State of Tennessee**  
**Grant Number: B-12-DC-47-0001**

Section 570.491 of the State Community Development Block Grant (CDBG) Rule requires states to submit to HUD data on the racial, ethnic, and gender characteristics of persons who are applicants for, participants in or beneficiaries of CDBG programs. This information must be reported for each activity and should indicate:

1. White
2. Black/African American
3. Asian
4. American Indian/Alaskan Native
5. Native Hawaiian/Other Pacific Islander
6. American Indian/Alaskan Native & White

7. Asian & White
8. Black/African American & White
9. American Indian/Alaskan Native & Black/African American
10. Other Multi-Racial
11. Female head of households (if applicants or beneficiaries are receiving a direct benefit).

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI					
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11								
Adamsville	Sewer System Improvements													2,529						32			12	365	2,573	1,997	77.61%				
Alexandria	Water System Rehabilitation													2,017	61								3	264	2,078	1,708	82.19%				
Algood	Housing Rehabilitation	63									2														65	47	72.31%				
Baileyton	Sewer System Improvements													459											459	368	80.20%				
Bedford County	Water Line Extension	100	3		2							3													108	106	98.15%				
Benton County	Fire Protection													17,093	1362								143	2,002	17,405	14,325	82.30%				
Bethel Springs	Water System Rehabilitation													759	136								24	343	905	746	82.43%				
Bolivar	Sewer System Improvements													2,043	2,965	17							21	908	5,046	3,588	71.11%				
Carroll County	Child Advocacy Center													1,387	378								21	308	1,769	1,643	92.88%				
Centerville	Sewer System Improvements													506	52								5	563	2,078	1,577	75.89%				
Claiborne County	Water Line Extension	143																							143	141	98.60%				
Clinton	Housing Rehabilitation	34																							34	21	61.76%				
Cocke County	Water Line Extension	308	1		2							1													319	275	86.21%				
Dresden	Sewer System Improvements													2,121	166								3	433	2,290	1,901	83.01%				
Gates	Fire Protection													458	256					14			12	17	3	156	76.18%				
Grainger County	Water Line Extension	206																							229	214	93.45%				
Greene County	Water Line Extension																								138	118	85.51%				
Harrogate	Sewer Line Extension	108																							112	104	92.86%				
Henderson County	Fire Protection													3,657	148								4	10	10	513	3,832	2,908	75.89%		
Henry	Housing Rehabilitation	24	1																						5	25	100.00%				
Hickman County	Sewer System Improvements													2,021	57										137	2,078	1,577	75.89%			
Hohenwald	Sewer System Improvements													2,468	74								13	13	690	2,542	1,794	70.57%			
Huntingdon	Sewer System Improvements													2,669	531	15								64	741	3,279	2,597	79.20%			
Huntsville	Sewer System Improvements													734											123	734	595	81.06%			
Jasper	Water System Rehabilitation													9,667	604								236	125	590	10,674	7,813	73.20%			
Jonesborough	Sewer System Improvements													6,163	124	17							4	4	53	1,189	6,360	3,396	53.40%		
Lafayette	Sewer System Improvements													3,499	33										67	824	3,599	2,605	72.38%		
LaFollette	Sewer System Improvements													8,267	76	13								12	102	1,609	8,470	6,496	76.69%		
Lenior City	Sewer System Improvements													8,525	781	19								137	1,467	9,462	5,800	61.30%			
Madisonville	Sewer System Improvements													2,128	143	9								6	62	524	2,348	1,883	80.20%		
Maury City	Fire Protection													1,603	475								19	11	127	302	2,235	1,589	71.10%		
McKenzie	Sewer System Improvements													3,371	631	19								148	1,623	4,193	3,178	75.79%			
McMinn County	Sewer Line Extension													458	8									6	6	10	20	37	508	376	74.02%
McMinnville	Sewer System Improvements													8,374	407	17								19	1,074	2,389	9,891	7,316	73.97%		
McNairy County	Sewer System Improvements													2,524											32	12	365	2,568	1,990	77.49%	
Michie	Water System Rehabilitation													2,659	52										22	336	2,733	2,025	74.09%		
Mt. Pleasant	Sewer System Improvements													2,374	437									3	26	674	2,840	2,321	81.73%		
Newbern	Fire Protection													7,445	1,103										109	987	8,657	5,142	59.40%		
Oliver Springs	Water System Rehabilitation													5,145	56										28	648	5,229	4,220	80.70%		

STATE GRANT PERFORMANCE/EVALUATION REPORT

Locality	Activity	Applicant - Direct Benefit											Beneficiary											Total Served	# OF LMI	% LMI			
		1	2	3	4	5	6	7	8	9	10	11	1	2	3	4	5	6	7	8	9	10	11						
Petersburg	Water System Rehabilitation												888	58			6			14		29	128	995	818	82.21%			
Pickett County	EMS												5,433	33									593	5,473	4,113	75.15%			
Pulaski	Sewer System Improvements												3,203	1,431						25			1,854	4,659	3,928	84.31%			
Ripley	Sewer System Improvements												3,406	1,917	55					41		12	1,092	5,431	3,400	62.60%			
Rogersville	Water System Rehabilitation												6,859	102	41					34		7	34	135	1,161	7,212	4,435	61.49%	
Rutledge	Fire Protection												8,438	26	8								364	938	8,836	7,661	86.70%		
Samburg	Housing Rehabilitation	19							4	3		2												26	26	100.00%			
Sardis	Water System Rehabilitation												984										43	125	1,027	695	67.67%		
Scott County	EMS												18,811	119									45	2,429	18,975	14,136	74.50%		
Scotts Hill	Water System Rehabilitation												3,172	21	12	16						78	75	934	3,407	2,364	69.39%		
Sharon	Water System Rehabilitation												869	109									19	233	997	703	70.51%		
South Carthage	Sewer System Improvements												172	58									5	243	1,290	1,063	82.40%		
Sparta	Sewer System Improvements												3,667	138									20	20	93	1,012	3,938	3,182	80.80%
Tellico Plains	Water System Rehabilitation												3,801											498	3,801	3,086	81.19%		
Trezevant	Water System Rehabilitation												849	107									10	177	966	715	74.02%		
Union County	Water Line Extension	110										14												110	110	100.00%			
Weakley County	Fire Protection												2,969	85									7	279	3,061	2,400	78.41%		
Westmoreland	Water System Rehabilitation												4,168	58	4	16						16	9	158	461	4,429	4,172	94.20%	
																								<b>Total</b>	<b>203,401</b>	<b>152,111</b>	<b>74.80%</b>		

# **Appendix B**

FY 2012-13

Annual Performance Report, HOME Program

Prepared By:

Tennessee Housing Development Agency  
Community Programs Division

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31. Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	This report is for period (mm/dd/yyyy) Starting 07/01/2012	Ending 06/30/2013	Date Submitted (mm/dd/yyyy) 09/30/2013
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## Part I Participant Identification

1. Participant Number SG-47-0100	2. Participant Name State of Tennessee-Tennessee Housing Development Agency		
3. Name of Person completing this report Coralee Holloway	4. Phone Number (Include Area Code) 615-815-2031		
5. Address 404 James Robertson Parkway, Suite 1200	6. City Nashville	7. State TN	8. Zip Code 37243-0900

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$0.00	2. Amount received during Reporting Period \$187,782.02	3. Total amount expended during Reporting Period \$0.00	4. Amount expended for Tenant-Based Rental Assistance \$0.00	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$187,782.02
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## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
<b>A. Contracts</b>						
1. Number	116	0	0	2	0	114
2. Dollar Amount	\$7,726,278	\$0	\$0	\$159,409	\$0	\$7,566,869
<b>B. Sub-Contracts</b>						
1. Number						
2. Dollar Amount						
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
<b>C. Contracts</b>						
1. Number	116	0	116			
2. Dollar Amount	\$7,726,278	\$0	\$7,726,278			
<b>D. Sub-Contracts</b>						
1. Number						
2. Dollar Amounts						

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	61	0	0	0	0	61
2. Dollar Amount	\$1,854,388	\$0	\$0	\$0	\$0	\$0

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	24	\$2,300,700
2. Businesses Displaced	0	\$0
3. Nonprofit Organizations Displaced	0	\$0
4. Households Temporarily Relocated, not Displaced	0	\$0

  

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

# **Appendix C**

FY 2012-13

ESG Demographic Data and Match Report

Prepared By:

Tennessee Housing Development Agency  
Community Programs Division

FY 2012-13 Emergency Solutions Grants Program Participant Report

<b>Grand Division: East</b>	<b>60-80%</b>	<b>30-60%</b>	<b>0-30%</b>	<b>UNAVAILABLE</b>	<b>TOTAL</b>
AIM Center	3	-	-	-	3
Appalachian Reg. Coalition on Homelessness	-	-	-	-	-
City of Bristol	25	4	-	272	301
City of Chattanooga	216	9	1	802	1,028
Chattanooga Reg. Council on Homelessness	791	-	-	-	791
City of Cleveland	503	283	27	-	813
City of Johnson City	1,038	77	41	10	1,166
City of Kingsport	2	-	-	15	17
City of Morristown	76	-	-	-	76
City of Oak Ridge	80	10	-	-	90
Frontier Health	-	-	-	-	-
Good Samaritan Ministries	168	-	-	-	168
Interfaith Hospitality Network of Johnson City	8	1	1	19	29
Johnson County Safe Haven	119	1	-	-	120
Keystone Dental	466	17	-	-	483
Ridgeview, Inc.	9	-	-	-	9
Servolutions Health Services	28	-	-	12	40
Scott County Homeless Shelter	104	1	-	-	105
TN Valley Coalition to End Homelessness	48	13	-	70	131
<b>TOTAL</b>	<b>3,684</b>	<b>416</b>	<b>70</b>	<b>1,200</b>	<b>5,370</b>
<b>Grand Division: Middle</b>	<b>60-80%</b>	<b>30-60%</b>	<b>0-30%</b>	<b>UNAVAILABLE</b>	<b>TOTAL</b>
Advocates for the Upper Cumberland	28	-	-	-	28
Buffalo Valley	42	32	-	-	74
Centerstone	7	-	-	-	7
City of Clarksville	381	14	-	-	395
City of Franklin	222	94	1	-	317
City of Hendersonville	8	-	-	-	8
Genesis House	-	-	-	66	66
City of Murfreesboro	264	24	2	486	776
Dismas House of the Upper Cumberland	24	-	-	-	24
Families in Crisis	17	1	1	14	33
Good Neighbor Mission	33	-	-	-	33
Homesafe of Sumner, Robertson & Trousdale	-	-	-	-	-
Park Center	1	-	-	-	1
<b>TOTAL</b>	<b>1,027</b>	<b>165</b>	<b>4</b>	<b>566</b>	<b>1,762</b>
<b>Grand Division: West</b>	<b>60-80%</b>	<b>30-60%</b>	<b>0-30%</b>	<b>UNAVAILABLE</b>	<b>TOTAL</b>
Behavioral Health Initiatives	-	-	2	-	2
Carey Counseling, Inc.	7	-	-	-	7
City of Jackson	396	8	10	53	467
Damascus Road	71	-	-	-	71
Fayette Cares	7	-	-	-	7
Matthew 25:40	46	4	-	-	50
Northwest Safeline	-	-	-	-	-
Area Relief Ministries	18	-	-	132	150
HOPE Ministries	36	-	-	-	36
West TN Legal Services	36	-	-	-	36
<b>TOTAL</b>	<b>617</b>	<b>12</b>	<b>12</b>	<b>185</b>	<b>826</b>
<b>GRAND TOTAL</b>	<b>5,328</b>	<b>593</b>	<b>86</b>	<b>1,951</b>	<b>7,958</b>

Program Participants by Race

Grand Division: East	WHITE	BLACK AFRICAN AMERICAN	BLACK AFRICAN AMERICAN / WHITE	NATIVE HAWAIIAN PACIFIC ISLANDER	ASIAN	ASIAN / WHITE	AMERICAN INDIAN ALASKAN NATIVE	AMERICAN INDIAN ALASKAN NATIVE WHITE	AMERICAN INDIAN ALASKAN NATIVE AFRICAN AMERICAN	UNAVAIL-ABLE	TOTAL
AIM Center	3	-	-	-	-	-	-	-	-	-	3
Appalachian Reg. Coalition on Homelessness											-
City of Bristol	286	11	-	-	-	-	-	3	1	-	301
City of Chattanooga	312	668	13	2	1	3	2	-	-	27	1,028
Chattanooga Reg. Council on Homelessness	236	525	-	-	-	-	-	-	-	30	791
City of Cleveland	668	56	25	4	2	2	11	19	10	16	813
City of Johnson City	1,034	120	4	1	1	-	-	-	-	6	1,166
City of Kingsport	16	1	-	-	-	-	-	-	-	-	17
City of Morristown	71	2	-	-	-	-	-	1	-	2	76
City of Oak Ridge	57	27	3	-	-	-	-	-	-	3	90
Frontier Health											-
Good Samaritan Ministries	145	21	-	-	-	-	-	-	-	2	168
Interfaith Hospitality Network of Johnson City	28	-	1	-	-	-	-	-	-	-	29
Johnson County Safe Haven	119	1	-	-	-	-	-	-	-	-	120
Keystone Dental	441	14	3	-	1	18	-	-	-	6	483
Ridgeview, Inc.	8	1	-	-	-	-	-	-	-	-	9
Servolutions Health Services	38	1	-	-	-	-	1	-	-	-	40
Scott County Homeless Shelter	104	1	-	-	-	-	-	-	-	-	105
TN Valley Coalition to End Homelessness	115	14	1	-	-	-	1	-	-	-	131
<b>TOTAL</b>	<b>3,681</b>	<b>1,463</b>	<b>50</b>	<b>7</b>	<b>5</b>	<b>23</b>	<b>15</b>	<b>23</b>	<b>11</b>	<b>92</b>	<b>5,370</b>
Grand Division: Middle	WHITE	BLACK AFRICAN AMERICAN	BLACK AFRICAN AMERICAN / WHITE	NATIVE HAWAIIAN PACIFIC ISLANDER	ASIAN	ASIAN / WHITE	AMERICAN INDIAN ALASKAN NATIVE	AMERICAN INDIAN ALASKAN NATIVE WHITE	AMERICAN INDIAN ALASKAN NATIVE AFRICAN AMERICAN	UNAVAIL-ABLE	TOTAL
Advocates for the Upper Cumberland	27	1	-	-	-	-	-	-	-	-	28
Buffalo Valley	51	22	-	-	-	-	-	-	-	1	74
Centerstone	6	1	-	-	-	-	-	-	-	-	7
City of Clarksville	181	173	21	-	6	-	-	-	-	14	395
City of Franklin	-	-	-	-	-	-	-	-	-	317	317
City of Hendersonville	1	7	-	-	-	-	-	-	-	-	8
Genesis House	57	6	-	-	-	-	-	-	-	3	66
City of Murfreesboro	501	251	7	-	2	-	3	3	-	9	776
Dismas House of the Upper Cumberland	20	3	1	-	-	-	-	-	-	-	24
Families in Crisis	-	-	-	-	-	-	-	-	-	33	33
Good Neighbor Mission	29	4	-	-	-	-	-	-	-	-	33
Homesafe of Sumner, Robertson & Trousdale											-
Park Center	1	-	-	-	-	-	-	-	-	-	1
<b>TOTAL</b>	<b>874</b>	<b>468</b>	<b>29</b>	<b>-</b>	<b>8</b>	<b>-</b>	<b>3</b>	<b>3</b>	<b>-</b>	<b>377</b>	<b>1,762</b>

Program Participants by Race

Grand Division: West	WHITE	BLACK AFRICAN AMERICAN	BLACK AFRICAN AMERICAN / WHITE	NATIVE HAWAIIAN PACIFIC ISLANDER	ASIAN	ASIAN / WHITE	AMERICAN INDIAN ALASKAN NATIVE	AMERICAN INDIAN ALASKAN NATIVE WHITE	AMERICAN INDIAN ALASKAN NATIVE AFRICAN AMERICAN	UNAVAIL- ABLE	TOTAL
Behavioral Health Initiatives	-	2	-	-	-	-	-	-	-	-	2
Carey Counseling, Inc.	4	3	-	-	-	-	-	-	-	-	7
City of Jackson	222	219	5	8	-	-	2	1	1	9	467
Damascus Road	54	17	-	-	-	-	-	-	-	-	71
Fayette Cares	6	-	-	-	-	-	-	-	-	1	7
Matthew 25:40	29	17	4	-	-	-	-	-	-	-	50
Northwest Safeline											-
Area Relief Ministries	33	117	-	-	-	-	-	-	-	-	150
HOPE Ministries	36	-	-	-	-	-	-	-	-	-	36
West TN Legal Services	28	5	-	-	-	-	-	-	-	3	36
<b>TOTAL</b>	<b>412</b>	<b>380</b>	<b>9</b>	<b>8</b>	<b>-</b>	<b>-</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>13</b>	<b>826</b>
<b>GRAND TOTAL</b>	<b>4,967</b>	<b>2,311</b>	<b>88</b>	<b>15</b>	<b>13</b>	<b>23</b>	<b>20</b>	<b>27</b>	<b>12</b>	<b>482</b>	<b>7,958</b>

Program Participants by Gender

Grand Division: East	MALE	FEMALE	UNAVAILABLE	TOTAL
AIM Center	1	2	-	3
Appalachian Reg. Coalition on Homelessness	-	-	-	-
City of Bristol	48	253	-	301
City of Chattanooga	400	628	-	1,028
Chattanooga Reg. Council on Homelessness	186	605	-	791
City of Cleveland	425	388	-	813
City of Johnson City	820	346	-	1,166
City of Kingsport	8	9	-	17
City of Morristown	45	31	-	76
City of Oak Ridge	14	33	43	90
Frontier Health	-	-	-	-
Good Samaritan Ministries	82	86	-	168
Interfaith Hospitality Network of Johnson City	11	18	-	29
Johnson County Safe Haven	33	87	-	120
Keystone Dental	167	316	-	483
Ridgeview, Inc.	1	8	-	9
Servolutions Health Services	11	21	8	40
Scott County Homeless Shelter	52	53	-	105
TN Valley Coalition to End Homelessness	33	51	47	131
<b>TOTAL</b>	<b>2,337</b>	<b>2,935</b>	<b>98</b>	<b>5,370</b>
Grand Division: Middle	MALE	FEMALE	UNAVAILABLE	TOTAL
Advocates for the Upper Cumberland	12	16	-	28
Buffalo Valley	35	39	-	74
Centerstone	3	4	-	7
City of Clarksville	75	320	-	395
City of Franklin	59	258	-	317
City of Hendersonville	3	5	-	8
Genesis House	18	48	-	66
City of Murfreesboro	445	331	-	776
Dismas House of the Upper Cumberland	24	-	-	24
Families in Crisis	3	30	-	33
Good Neighbor Mission	18	15	-	33
Homesafe of Sumner, Robertson & Trousale	-	-	-	-
Park Center	-	1	-	1
<b>TOTAL</b>	<b>695</b>	<b>1,067</b>	<b>-</b>	<b>1,762</b>
Grand Division: West	MALE	FEMALE	UNAVAILABLE	TOTAL
Behavioral Health Initiatives	1	1	-	2
Carey Counseling, Inc.	-	7	-	7
City of Jackson	351	116	-	467
Damascus Road	37	34	-	71
Fayette Cares	4	3	-	7
Matthew 25:40	30	20	-	50
Northwest Safeline	-	-	-	-
Area Relief Ministries	116	34	-	150
HOPE Ministries	13	23	-	36
West TN Legal Services	17	19	-	36
<b>TOTAL</b>	<b>569</b>	<b>257</b>	<b>-</b>	<b>826</b>
<b>GRAND TOTAL</b>	<b>3,601</b>	<b>4,259</b>	<b>98</b>	<b>7,958</b>

# **Appendix D**

FY 2012-13  
Annual Performance Report, HOPWA

Prepared By:

State of Tennessee  
Department of Health



# State of Tennessee: Housing Opportunities for Persons with AIDS (HOPWA) Program for 2012-13

## Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

**Overview.** The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, PHP, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

**Continued Use Periods.** Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and

Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

**Final Assembly of Report.** After the entire report is assembled, please number each page sequentially.

**Filing Requirements.** Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at [HOPWA@hud.gov](mailto:HOPWA@hud.gov). Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

**Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

**Definitions**

**Adjustment for Duplication:** Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units	
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies	
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year	
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	<b>Adjustment for duplication (subtract)</b>	
6.	<b>TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)</b>	1

**Administrative Costs:** Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

**Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

**Central Contractor Registration (CCR):** The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

**Chronically Homeless Person:** An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2))This does not include doubled-up or overcrowding situations.

**Disabling Condition:** Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

**Facility-Based Housing Assistance:** All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

**Faith-Based Organization:** Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

**Grassroots Organization:** An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered "grassroots."

**HOPWA Eligible Individual:** The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered "Head of Household." When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

**HOPWA Housing Information Services:** Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

**HOPWA Housing Subsidy Assistance Total:** The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

**Household:** A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

**Housing Stability:** The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

**In-kind Leveraged Resources:** These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

**Leveraged Funds:** The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

**Live-In Aide:** A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See *the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

**Master Leasing:** Applies to a nonprofit or public agency that leases units

of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

**Operating Costs:** Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

**Outcome:** The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

**Output:** The number of units of housing or households that receive HOPWA assistance during the operating year.

**Permanent Housing Placement:** A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

**Program Income:** Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

**Project-Based Rental Assistance (PBRA):** A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

**Project Sponsor Organizations:** Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

**Short-Term Rent, Mortgage, and Utility (STRMU) Assistance:** A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

**Stewardship Units:** Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

**Subrecipient Organization:** Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

**Tenant-Based Rental Assistance (TBRA):** TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

**Transgender:** Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

**Veteran:** A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

# Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

## Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definition section for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

### 1. Grantee Information

<b>HUD Grant Number</b>  5AI-H00		<b>Operating Year for this report</b> <i>From (mm/dd/yy)</i> 7/01/12 <i>To (mm/dd/yy)</i> 06/30/2013			
<b>Grantee Name</b>		State of Tennessee-Department of Health, HIV/STD Program			
<b>Business Address, Telephone, Email</b>	Tommy L. Brame, MS 425 5 <sup>th</sup> Avenue, North, 1 <sup>st</sup> Floor Cordell Hull Building, 1 <sup>st</sup> Floor		(615) 532-7914 fax: 741-3691 Tommy.Brame@tn.gov		
<b>City, County, State, Zip</b>	Nashville	TN	37243	4209	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	62-6001445				
<b>DUN &amp; Bradstreet Number (DUNS):</b>	172636268		<b>Central Contractor Registration (CCR):</b> Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number:		
<b>*Congressional District of Grantee's Business Address</b>	5				
<b>*Congressional District of Primary Service Area(s)</b>	37902    3744402, 37203, 37133, 38261, 38103				
<b>*City(ies) and County(ies) of Primary Service Area(s)</b>	Cities: Nashville		Counties: Davidson		
<b>Organization's Website Address</b>  Health.st.tn.gov	<b>Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.</b>				

\* Service delivery area information only needed for program active  
ities being directly carried out by the grantee.

## 2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and subrecipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> Chattanooga Cares		<b>Parent Company Name, if applicable</b> N/A	
<b>Name and Title of Contact at Project Sponsor Agency</b>	Matt McAdoo, Executive Director Matt Craig Client Service Manager		
<b>Email Address</b>	Mattc@chattangoogacares.org		
<b>City, County, State, Zip,</b>	C1000 East 3 <sup>rd</sup> Street, Suite 301 Chattanooga (Hamilton County), TN 37405		
<b>Phone Number (with area code)</b>	(423) 648-9911	Tina Seagroves, Accountant	423-648-9911
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	62-1325543	<b>Fax Number (with area code)</b> (423) 645-9644	
<b>DUN &amp; Bradstreet Number (DUNS):</b>	6191067746		
<b>Congressional District of Project Sponsor's Business Address</b>	3		
<b>Congressional District(s) of Primary Service Area(s)</b>	2,3,4		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Cities: Bledsoe, Franklin, Grundy, Hamilton, Marion, McMinn, Meigs, Polk, Rhea, Seguatchie		
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$221,400.00	Counties: Hamilton and surrounding counties	
<b>Organization's Website Address</b> www.chattanooga.org	<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>	<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		

### 3. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> Columbia CARES, Inc		<b>Parent Company Name, if applicable</b> N/A			
<b>Name and Title of Contact at Project Sponsor Agency</b>		Tim Jones			
<b>Email Address</b>		timjones@columbiacares.org			
<b>Business Address</b>		1202 So. James Campbell Blvd, Suite 8 B.			
<b>City, County, State, Zip,</b>		Columbia	Maury	State	TN 38401
<b>Phone Number (with area code)</b>		(800) 961-5332 Ext. 12			
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		62-1513020		<b>Fax Number (with area code)</b> (931)388-4584	
<b>DUN &amp; Bradstreet Number (DUNS):</b>		872823844			
<b>Congressional District of Project Sponsor's Business Address</b>		4			
<b>Congressional District(s) of Primary Service Area(s)</b>		4			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Columbia, Lewisburg, Shelbyville, Fayetteville			
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$83,600		Counties: Maury, Marshall, Bedford, Lincoln	
<b>Organization's Website Address</b> www.chattanooga.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No  <b>If yes, explain in the narrative section how this list is administered.</b>			

#### 4. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> East Tennessee Human Resource Agency, Inc		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Pat Ford, Program Manager		
<b>Email Address</b>		pford@ethra.org		
<b>Business Address</b>		9111 Cross Park Drive, Suite D-160		
<b>City, County, State, Zip,</b>		Knoxville	Knox	TN 37923
<b>Phone Number (with area code)</b>		(865) 691-2551 Ext. 4223	<b>Fax Number (with area code)</b> (865) 531-7216	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		62-1493851		(423) 645-9644
<b>DUN &amp; Bradstreet Number (DUNs):</b>		146757880		
<b>Congressional District of Project Sponsor's Business Address</b>		2		
<b>Congressional District(s) of Primary Service Area(s)</b>		2		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Cities: Knoxville and Surrounding Counties		
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$278,000	Counties: Hamilton and surrounding counties	
<b>Organization's Website Address</b> www.chattanooga.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No  <b>If yes, explain in the narrative section how this list is administered.</b>		

### 5 Program Sub-recipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

**Note:** Please see the definition of a subrecipient for more information.

**Note:** Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

**Note:** If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

<b>Sub-recipient Name</b>	Positive Living		<b>Parent Company Name, if applicable</b> N/A	
<b>Name and Title of Contact at Contractor/ Sub-contractor Agency</b>	Steve Jenkins, Leslie Belvins			
<b>Email Address</b>	lfib69@yahoo.com			
<b>Business Address</b>	1521 E. Fifth Ave.			
<b>City, County, State, Zip</b>	Knoxville	Knox	TN	37917
<b>Phone Number (include area code)</b>	865-525-1540 ext230		<b>Fax Number (include area code)</b> 865-525-3772	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	62-169838			
<b>DUN &amp; Bradstreet Number (DUNs)</b>	135622459			
<b>North American Industry Classification System (NAICS) Code</b>	N/A			
<b>Congressional District of the Sub- recipient's Business Address</b>	2			
<b>Congressional District(s) of Primary Service Area</b>	<input checked="" type="checkbox"/> 2			
<b>City(ies) and County(ies) of Primary Service Area</b>	<b>Cities:</b> Knoxville		<b>Counties:</b> Knox and Surrounding Counties	
<b>Total HOPWA Subcontract Amount of this Organization for the operating year</b>	\$69,000			

### 5. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> West TN Legal Service (WTLS)		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Steve Xanthopoulos, Executive Director Yolanda Bateman, HOPWA Coordinator		
<b>Email Address</b>		Xanthopoulos@wtls.org, Yolanda@wtls.org		
<b>Business Address</b>		210 West Main Street		
<b>City, County, State, Zip,</b>		Jackson	Madison	TN 37401
<b>Phone Number (with area code)</b>		(731) 426-1326	<b>Fax Number (with area code)</b>	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		58-1326791		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		055269716		
<b>Congressional District of Project Sponsor's Business Address</b>		7		
<b>Congressional District(s) of Primary Service Area(s)</b>		7,8		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Jackson, Dyersburg, Bolivar		
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$129,700	<b>County(s) of Primary Service Area</b>	Crockett, Gibson, Carroll, Chester, Madison, Henderson, Haywood
<b>Organization's Website Address</b> www.chattanooga.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		

## 6. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> Hope of Tennessee		<b>Parent Company Name, if applicable</b> Frontier Health			
<b>Name and Title of Contact at Project Sponsor Agency</b>		Julie Robinson, Program Manager April Harmon-CM, Christy Riddle-Accountant			
<b>Email Address</b>		jrobinson@frontierhealth.org <a href="mailto:aharmon@frontierhealth.org">aharmon@frontierhealth.org</a> , <a href="mailto:criddle@frontierhealth.org">criddle@frontierhealth.org</a> , Accounting			
<b>Business Address</b>		150 Waverly Road			
<b>City, County, State, Zip,</b>		Kingsport	Sullivan	TN	37664
<b>Phone Number (with area code)</b>		(423)224-1427	<b>Fax Number (with area code)</b>		(423) 224-1336
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		58-1508024			
<b>DUN &amp; Bradstreet Number (DUNs):</b>		081198830			
<b>Congressional District of Project Sponsor's Business Address</b>		1			
<b>Congressional District(s) of Primary Service Area(s)</b>		1			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		Kingsport			
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$99,300	<b>County(s) of Primary Service Area</b>		Carter, Greene, Hancock, Hawkins, Johnson, Sullivan, Washington, Unicoi
<b>Organization's Website Address</b>  www.frontierhealth.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>			

### 7. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

<b>Project Sponsor Agency Name</b> Nashville CARES		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>		Sean Muldoon, LCSW, Director of Case management Services Jessica Hoke, Clinic Coordinator		
<b>Email Address</b>		smuldoon@nashvillecares.org		
<b>Business Address</b>		633 Thompson Lane		
<b>City, County, State, Zip,</b>		Nashville	Davidson	TN 37204
<b>Phone Number (with area code)</b>		615-259-4866	<b>Fax Number (with area code)</b> 615-259-4849	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>		62-1274532		
<b>DUN &amp; Bradstreet Number (DUNs):</b>		884907478		
<b>Congressional District of Project Sponsor's Business Address</b>				
<b>Congressional District(s) of Primary Service Area(s)</b>		TN #5		
<b>City(ies) and County(ies) of Primary Service Area(s)</b>		TN #7		
<b>Total HOPWA contract amount for this Organization for the operating year</b>		\$41,700.00	<b>County(s) of Primary Service Area</b>	
<b>Organization's Website Address</b>  www.chattanooga.org		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>		
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No  <b>If yes, explain in the narrative section how this list is administered.</b>		

### 8. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

**Note:** Please see the definitions for distinctions between project sponsor and sub-recipient.

**Note:** If any information does not apply to your organization, please enter N/A.

Upper Cumberland		<b>Parent Company Name, if applicable</b> N/A		
<b>Name and Title of Contact at Project Sponsor Agency</b>	Lee Webb, Executive Director Jody L. Damitz, Program Coordinator			
<b>Email Address</b>	jdamitz@uchra.com			
<b>Business Address</b>	580 S. Jefferson Ave. Suite B. Cookeville, TN 38501			
<b>City, County, State, Zip,</b>	Cookeville	Putnam	TN	38501
<b>Phone Number (with area code)</b>	931-528-1127	<b>Fax Number (with area code)</b>	(931) 5268305	
<b>Employer Identification Number (EIN) or Tax Identification Number (TIN)</b>	58-1326791			
<b>DUN &amp; Bradstreet Number (DUNs):</b>	055269716			
<b>Congressional District of Project Sponsor's Business Address</b>	7			
<b>Congressional District(s) of Primary Service Area(s)</b>	7,8			
<b>City(ies) and County(ies) of Primary Service Area(s)</b>	Jackson, Dyersburg, Bolivar			
<b>Total HOPWA contract amount for this Organization for the operating year</b>	\$65,000	<b>County of Primary Service Area</b>	Crockett, Gibson, Carroll, Chester, Madison, Henderson, Haywood	
<b>Organization's Website Address</b>  www.chattanooga.org	<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If yes, explain in the narrative section how this list is administered.</b>			
<b>Is the sponsor a nonprofit organization?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. <input type="checkbox"/>		<b>Does your organization maintain a waiting list?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No No  <b>If yes, explain in the narrative section how this list is administered.</b>		

## Grantee Narrative and Performance Assessment

### a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. **Note:** Text fields are expandable.

There were seven (7) HOPWA applicants awarded funding through a competitive application process in 2010 facilitated by Department of Health, Awardees included NEW HOPE Program facilitated by Frontier Mental Health, East TN Human Resource Agency (ETHRA), Chattanooga CARES, Nashville CARES, Columbia CARES and West Tennessee Legal organizations (WTLS).

Within the written criteria developed by Department of Health to request applicants and proposal, criteria was structured using multiple factors to probe, then determine historical potential to meet HUD's minimum scope of public service requirements set-forth for experience and expertise to fulfill specific rules and standards for grantees as specified in written criteria (*Reference: 24 CFR 574 Section 500, HOPWA Oversight Resource Guide, 2010, pages 12-27 and CFR 85 and OMB Circular, 570.502 & 570.506*). From this applications process, seven (7) project sponsor were chosen for funding from 2011-16. The TN Department of Health uses a funding cycles that funds prevention activities from July 1 to last day of June 30<sup>th</sup> or 31<sup>st</sup>. Each of the seven (7) applicants met the minimum specifications for the State of TN HOPWA Grant Programs.

The Department of Health, HIV/STD Program pursuant to fulfillment of statue compliance of 24 CFR, Part 574, Section 500 (a) to plan and educate grantees within this network of seven (7) HOPWA grantees participated in CPDG Training(s) offered throughout 2012-13. There were issues and barriers discussed in meetings with Community Development Block Grant (CDBG) representatives brought in to help THDA Housing Official(s) draft *Tennessee AI*. Planning and training activities were formulated to support THDA with this mission.

The specific inputs would relate to planning and training activities that intersect directly to our (Department of Health) using both remote and on-site reviews along with internal reports and invoices to rate project technical assistance needs and implementation status using the below identified timeframes.

#### STATE of TN HOPWA GRANTEE OVERSIGHT FIVE (5) YEAR PLANNING MAP & METHOD



Projected input activity related deliverables are below:

Year 1

- 1) Drafted Oversight Plan and distributed to Project Sponsors and Internal Staff for input and promulgated at least 1 year before being incorporated;
- 2) Integrated feedback from meetings with Project Sponsors, HUD & THDA Officials into plans for development;
- 3) Distribute HUD recommendations how to quantify outcomes and forms to all personnel (suggesting internal QA);
- 4) Create IDIS Draw Structure Using CAPER reported to state data;
- 5) Work to understand IDIS development strategies;

Year 2

- 1) Expand Oversight Monitoring to address remote monitoring and best practice development;
- 2) Integrate HUD suggested fiscal tracking model by data capture;
- 3) Work with internal and external personnel to streamline understanding of reports;
- 4) Test reliability of our understanding what term means with Project Sponsor, THDA and HUD;
- 5) Integrate merit of lesson learn model to control growth and inspire growth and change where applicable;

Year 3-5

- 1) Conduct audits that test and expand on understanding interpretation of CAPER terms, definitions;
- 2) Investigate training and resource development areas and needs;
- 3) Investigate merits of paperless reporting of Project Sponsors via IDIS;

The breakdown of output related system activities would include the below deliverables:

- Define- Investigate publish materials and distribute to seven (7) Project Sponsor in two (2) annual meetings;
- Measure-Define in both qualitative and quantitative measurable terms as documented in written reports to Project Sponsors, ARP CAPER using D1110 C & D formats, Annual Summative Report internal document to investigate capture a four (4) and five (5) year developmental perspective and analysis various strategies and community needs;

- Analyze-Rate level of competency to avoid incident of non-compliance by Department of Health and Project Sponsors because of lack of not knowing appropriate methods how to achieve forecasted outcomes correctly. Also, Project Sponsor expressed in our network trainings and planning meetings the desire to design exemplary program models by using data driven tracked instrumentation of the adapted model of the HUD suggested Risk Assessment promulgated that was introduced to them in 2011;
- Improve-Expand on current training and planning accomplishments by recognizing participant contributions and communicating attributes in writing. Basically, integrate exemplary best practice opportunities for planning and training;
- Control-Use information distribution strategies to build on the awesome THDA Planning instrumentation around Fair Housing, use of network training events, case management info distribution, newsletters and use of HUD web-in-airs;

The above planning and training activities were structured in an effort to encourage HOPWA personnel with a vision for how to build productive practices for HOPWA participants.

**b. Annual Performance under the Action Plan**

Provide a narrative addressing each of the following four items:

**1. Outputs Reported.** Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

A regional formula was applied to determine funding.

**Table 1.1**

HOPWA 2013	%Fund	2012 Budget	Regional%
Chatt CRS	21%	\$ 221,400.00	22
Columbia CRS	9%	\$ 81,500.00	9
East TN HRA	30%	\$ 279,900.00	30
Frontier Health	11%	\$ 98,200.00	11
Nashville CRS	5%	\$ 45,400.00	5
UC HRA	7%	\$ 61,700.00	7
WTLS	16%	\$ 141,900.00	16
<b>Total</b>	<b>100%</b>	<b>\$ 930,000.00</b>	
<b>State Admin</b>		<b>\$ 17,455.00</b>	<b>3</b>
		<b>\$ 947,455.00</b>	

**2. Outcomes Assessed.** Assess your program’s success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

**3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Per TN HOPWA Oversight Plan, HUD published info was distributed statewide as earlier identified. Templates showing Fair Housing statues and grantee responsibilities relative to expected content of activities for the HOPWA programs were discussed. Material distribution materials passed are routinely passed on to participants and disclosure specific to fulfill HUD advocacy expectations conveyed.

HOPWA planning and training system input and output specification are below.

**TABLE STATE TN HOPWA COORDINATION**

I#	Input	Output	Status
1	Address concerns of funding sources, grantees and internal stakeholders	Investigate published guidance and info on Fair Housing, HOPWA Client Basics, Risk Analysis	1st Yr Goal Achieved
2	Respond to concerns, issues & identified problems in 2010 grantee Survey;	Remove IDIS flag and record TN Formula achievement for 2010-11 in system	1st Yr Goal Achieved
3	Conduct a Remote Audit of Grantees	Involve Grantees in planning for criteria to be used in 5 Yr Oversight Plan	1st Yr Goal Achieved
4	Distribute HUD Materials to Grantees with resources that include: <u>US Department of Community Development, 2011, HOPWA Financial Management Training Manual, <a href="http://hopawbt.hudhre.info">http://hopawbt.hudhre.info</a></u>  <i>Housing Opportunities for People with AIDS (HOPWA) Resource Guide , Office of HIV/AIDS Housing, Update 2010.</i>	Involve Grantees in discussion about expectation and communicate details of expected performance.	1st Yr Goal Achieved
5	With Grantee feedback, create skill based scorekeeping best practice model	Collect client basic performance data and provide written feedback.	1st Yr Goal Achieved
6	Encourage participation in training events, web-in-airs and on-line trainings	One grantee completed the On-line HOWPA Management training course for HOPWA personnel, became the first person in Tennessee to complete this course; four (4) attend the CDBG training in Murfreesboro in 2013 with one (1) receiving a certificate of completion.	1st Yr Goal Achieved
1 <sup>st</sup> Year <i>Planning and Training Objectives</i> achieved. All grantees rated compliant in 50 of the 70 Best Practice indicators developed by State of TN HOPWA network in fiscal year 2011-12.			

**4. Technical Assistance.** Describe any program technical assistance needs and how they would benefit program beneficiaries.

Toward pursuant of fulfillment of state and federal statutory expectation, the Department of Health uses a Contract Templates that possess language to included in it references about disability and employment practices. In addition, hands outs have been crafted that describe 24 CFR 574.3 HUD expectations for oversight elements, 24 CFR 982.306 (d) to prohibit from renting to relatives 24 CFR 574.330 regarding HOPWA participation timeframes, 24 CFR 574.330(e) for supportive services minimum expectation. Our initial focus has been toward a structure to reinforce client basics for STRMU operations. Next we schedule to focus more on Supportive Services and resource development.

**c. Barriers and Trends Overview**

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program’s ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

In a earlier survey of Tennessee HOPWA grantees, respondents disclose difficulty understanding state and federal operational requirements. Since then, we have sought to build on their

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

**All input and output goals were met by grantee and project sponsors in 2011, except for which recorded a 97% target compliance.**

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

All Project Sponsors were evaluated using the HUD suggested model to track eligibility of participants for HOPWA in 2010, 11 and 12. These outcomes were downloaded into IDIS. Grantee goals were drafted as part of a 5 Year Oversight Plan to upgrade level of data being reported, measured and analyze ratio trends for CAPER Reports and offer network focus groups and feedback loops to reinforce development of best practice skills, models of service delivery needed to improve and control practice and policy outcomes. Everyone agrees to want better more efficient ways to aggregate and automate routine documentation.

**d. Unmet Housing Needs: An Assessment of Unmet Housing Needs**

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

**Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

**Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

**1. Planning Estimate of Area’s Unmet Needs for HOPWA-Eligible Households**

1. Total number of households that have unmet housing subsidy assistance need.	
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<input checked="" type="checkbox"/> HOPWA/HUD Regulations	<input checked="" type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input checked="" type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input checked="" type="checkbox"/> Technical Assistance or Training
<input checked="" type="checkbox"/> Supportive Services	<input checked="" type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input type="checkbox"/> Housing Affordability	<input checked="" type="checkbox"/> Geography/Rural Access	<input type="checkbox"/> Other, please explain further	

<p>2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:</p> <p>a. Tenant-Based Rental Assistance (TBRA)</p> <p>b. Short-Term Rent, Mortgage and Utility payments (STRMU)</p> <ul style="list-style-type: none"> <li>• Assistance with rental costs</li> <li>• Assistance with mortgage payments</li> <li>• Assistance with utility costs.</li> </ul> <p>c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities</p>	<p>2 b. See table for specifics. Monitors from HIV/STD Program tracked grantees record documentation for 2012 with no incident of noncompliance reported.</p>
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**2. Recommended Data Sources for Assessing Unmet Need (check all sources used)**

<p><input checked="" type="checkbox"/> = Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives</p>
<p><input type="checkbox"/> = Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care</p>
<p><input type="checkbox"/> = Data from client information provided in Homeless Management Information Systems (HMIS)</p>
<p><input type="checkbox"/> = Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.</p>
<p><input type="checkbox"/> = Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted</p>
<p><input type="checkbox"/> = Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing</p>
<p><input type="checkbox"/> = Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data</p>

**End of PART 1**

**As earlier mention surveillance data indicate upward trends for HIV/AIDS in Knoxville and Chattanooga area. Our programs in those areas have received increased number of referrals as indicated.**

**PART 2: Sources of Leveraging and Program Income**

**1. Sources of Leveraging**

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

**Note:** Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

**A. Source of Leveraging Chart**

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
<b>Public Funding</b>			
Public Funding			<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Housing Assistance: Hamilton & Surrounding Co	\$4,611.00	Utility Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White	\$75,400	Case Mgt./Food	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White: Other Hamilton & Surrounding Co	\$4605.01	Nutrition, Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White: Putnam & Surrounding Counties	\$44,000	Davidson & Surrounding Counties	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White Part D Davidson & Surrounding Counties	\$6,428.28	Case Management	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White –Other Part D Davidson & Surrounding Counties	\$59,454.48	Case Management Nutrition	<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
LIHEAP- Putnam & Surrounding Counties	\$27,000.00	Housing Assistance	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Ryan White-Madison & Surrounding Counties	\$105,000.00	Nutrition, Transportation	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Knox Surrounding Counties	\$800.00	Food Grant	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Knox Surrounding Counties	\$1,000.00	Cash	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: Conductors/Floral Fund Davidson & Sur Co	\$483.45	Housing	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
<b>Private Funding</b>			
Grants: United Way Maury County	\$1,000.00	Community Support	<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Grants: Broadway Cares/Equity Fight AIDS Maury Co	\$500.00	Community Support	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Grants: Speedway Children Charities	\$5,000.00	Assistance for Children	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
In-kind Resources: Knox & Surrounding Counties	\$4500.00	Clothing	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Knox & Surrounding Counties	\$25,000.00	Fundraising Donations	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Private: Knox & Surrounding Counties	\$15,600.00	Volunteers/Donated	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
<b>Other Funding</b>			

Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord			
<b>TOTAL (Sum of all Rows)</b>	<b>\$375,771.00</b>		

**2. Program Income and Resident Rent Payments**

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

*Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).*

**A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year**

<b>Program Income and Resident Rent Payments Collected</b>		<b>Total Amount of Program Income (for this operating year)</b>
1.	Program income (e.g. repayments)	
2.	Resident Rent Payments made directly to HOPWA Program	
3.	<b>Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)</b>	

**B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households**

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

<b>Program Income and Resident Rent Payment Expended on HOPWA programs</b>		<b>Total Amount of Program Income Expended (for this operating year)</b>
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	
3.	<b>Total Program Income Expended (Sum of Rows 1 and 2)</b>	

**End of PART 2**

**PART 3: Accomplishment Data Planned Goal and Actual Outputs**

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

**Note:** The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

**1. HOPWA Performance Planned Goal and Actual Outputs**

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
<b>HOPWA Housing Subsidy Assistance</b>		<b>[1] Output: Households</b>				<b>[2] Output: Funding</b>	
1.	Tenant-Based Rental Assistance						
2a.	<b>Permanent Housing Facilities:</b> Received Operating Subsidies/Leased units (Households Served)						
2b.	<b>Transitional/Short-term Facilities:</b> Received Operating Subsidies/Leased units (Households Served) (Households Served)						
3a.	<b>Permanent Housing Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
3b.	<b>Transitional/Short-term Facilities:</b> Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance	435	468			\$459,300.00	\$459,300.00
5.	Permanent Housing Placement Services						
6.	Adjustments for duplication (subtract)						
7.	<b>Total HOPWA Housing Subsidy Assistance</b> (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)						
<b>Housing Development (Construction and Stewardship of facility based housing)</b>		<b>[1] Output: Housing Units</b>				<b>[2] Output: Funding</b>	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	<b>Total Housing Developed</b> (Sum of Rows 78 & 9)						
<b>Supportive Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	972	999			\$427,600.00	427,600.00
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)	972	999				
13.	<b>Total Supportive Services</b> (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	972	999			\$427,600.00	427,600.00
<b>Housing Information Services</b>		<b>[1] Output Households</b>				<b>[2] Output: Funding</b>	
14.	Housing Information Services	484				\$547.00	\$600.00

15. <b>Total Housing Information Services</b>					
<b>Grant Administration and Other Activities</b>	<b>[1] Output Households</b>			<b>[2] Output: Funding</b>	
16. Resource Identification to establish, coordinate and develop housing assistance resources					
17. Technical Assistance (if approved in grant agreement)					
18. Grantee Administration (maximum 3% of total HOPWA grant)				\$17,455.00	\$17,455.00
19. Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)				\$43,100.00	\$43,100.00
20. <b>Total Grant Administration and Other Activities (Sum of Rows 17 – 20)</b>				\$947,455.00	\$947,455.00
<b>Total Expended</b>				<b>[2] Outputs: HOPWA Funds Expended</b>	
				<b>Budget</b>	<b>Actual</b>
21. <b>Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)</b>				\$947,455.00	\$947,455.00

## 2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

**Data check:** Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	878	
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services	83	
11.	Mental health services		
12.	Outreach		
13.	Transportation	136	
14.	Other Activity (if approved in grant agreement). <b>Specify:</b>		
15.	<b>Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)</b>	1032	
16.	<b>Adjustment for Duplication (subtract)</b>	33	
17.	<b>TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)</b>	999	\$427,600.00

### 3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

**Data Check:** The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

**Data Check:** The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	468	\$459,300.00
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	49	\$73,482.00
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	17	\$39,320.00
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	222	\$191,400.00
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	40	\$39,750.00
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	140	\$115,348.00
g.	Direct program delivery costs (e.g., program operations staff time)		

End of PART 3

**Part 4: Summary of Performance Outcomes**

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

**Data Check:** The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

**Note:** Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

**Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)**

**A. Permanent Housing Subsidy Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		Life Event
Permanent Supportive Housing Facilities/ Units			1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing		Temporarily Stable, with Reduced Risk of Homelessness
			3 Private Housing		Stable/Permanent Housing (PH)
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		Unstable Arrangements
			7 Jail/Prison		
			8 Disconnected/Unknown		
			9 Death		Life Event

**B. Transitional Housing Assistance**

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	468		1 Emergency Shelter/Streets		Unstable Arrangements
			2 Temporary Housing	15	Temporarily Stable with Reduced Risk of Homelessness
			3 Private Housing	8	Stable/Permanent Housing (PH)
			4 Other HOPWA	17	
			5 Other Subsidy	15	
			6 Institution		Unstable Arrangements
			7 Jail/Prison	4	
			8 Disconnected/unknown		

		9 Death	1	Life Event
B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months		8		

**Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)**

Report the total number of households that received STRMU assistance in Column [1].

In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.

Information in Column [3] provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

**Data Check:** The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

**Data Check:** The sum of Column [2] should equal the number of households reported in Column [1].

**Assessment of Households that Received STRMU Assistance**

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
478	<b>Maintain Private Housing without subsidy</b> <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	54	<i>Stable/Permanent Housing (PH)</i>
	<b>Other Private Housing without subsidy</b> <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	<b>Institution</b> <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	84	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	<b>Transitional Facilities/Short-term</b> <i>(e.g. temporary or transitional arrangement)</i>		
	<b>Temporary/Non-Permanent Housing arrangement</b> <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street	1	<i>Unstable Arrangements</i>
	Jail/Prison	1	
Disconnected			
Death	1	<i>Life Event</i>	
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			225
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			153



### Section 3. HOPWA Outcomes on Access to Care and Support

#### 1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

**Note:** These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	468
b. Case Management	878
c. Adjustment for duplication (subtraction)	33
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	468
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following HOPWA-funded service:	
a. HOPWA Case Management	878
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	999

#### 1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

**Note:** For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	468		Support for Stable Housing
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	468		Access to Support
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	468		Access to Health Care
4. Accessed and maintained medical insurance/assistance	468		Access to Health Care
5. Successfully accessed or maintained qualification for sources of income	412		Sources of Income

**Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• MEDICAID Health Insurance Program, or use local program name</li> <li>• MEDICARE Health Insurance Program, or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Veterans Affairs Medical Services</li> <li>• AIDS Drug Assistance Program (ADAP)</li> <li>• State Children’s Health Insurance Program (SCHIP), or use local program name</li> </ul>	<ul style="list-style-type: none"> <li>• Ryan White-funded Medical or Dental Assistance</li> </ul>
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**Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)**

<ul style="list-style-type: none"> <li>• Earned Income</li> <li>• Veteran’s Pension</li> <li>• Unemployment Insurance</li> <li>• Pension from Former Job</li> <li>• Supplemental Security Income (SSI)</li> </ul>	<ul style="list-style-type: none"> <li>• Child Support</li> <li>• Social Security Disability Income (SSDI)</li> <li>• Alimony or other Spousal Support</li> <li>• Veteran’s Disability Payment</li> <li>• Retirement Income from Social Security</li> <li>• Worker’s Compensation</li> </ul>	<ul style="list-style-type: none"> <li>• General Assistance (GA), or use local program name</li> <li>• Private Disability Insurance</li> <li>• Temporary Assistance for Needy Families (TANF)</li> <li>• Other Income Sources</li> </ul>
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**1c. Households that Obtained Employment**

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

**Note:** This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

**Note:** Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1 For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	15	

**End of PART 4**

**PART 5: Worksheet - Determining Housing Stability Outcomes (optional)**

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
<b>Total Permanent HOPWA Housing Subsidy Assistance</b>				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)	468	4	1	1
<b>Total HOPWA Housing Subsidy Assistance</b>	468			

**Background on HOPWA Housing Stability Codes**

**Stable Permanent Housing/Ongoing Participation**

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

**Temporary Housing**

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

**Unstable Arrangements**

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

**Life Event**

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

**Tenant-based Rental Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed

assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Permanent Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Transitional/Short-Term Facility-Based Housing Assistance:** Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

**Tenure Assessment.** A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

**STRMU Assistance:** Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

**End of PART 5**

**PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)**

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

**Note:** See definition of Stewardship Units.

**1. General information**

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr  <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6;  <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

**2. Number of Units and Non-HOPWA Expenditures**

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

**3. Details of Project Site**

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
<b>If the site is not confidential:</b> Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

**Part 7: Summary Overview of Grant Activities****A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

**Note:** Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

**Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance****a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	468

**Chart b. Prior Living Situation**

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

**Data Check:** The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	378
<b>New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year</b>		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	<b>Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)</b>	90
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	8
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	
13.	House you own	
14.	Staying or living in someone else's (family and friends) room, apartment, or house	
15.	Hotel or motel paid for without emergency shelter voucher	

16.	Other	
17.	Don't Know or Refused	
18.	<b>TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)</b>	468

### c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	1	3

## Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

**Note:** See definition of HOPWA Eligible Individual

**Note:** See definition of Transgender.

**Note:** See definition of Beneficiaries.

**Data Check:** The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

### a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	999
2. Number of ALL other persons <b>diagnosed</b> as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	468
3. Number of ALL other persons <b>NOT diagnosed</b> as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	1034
<b>4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, &amp; 3)</b>	999

**b. Age and Gender**

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

<b>HOPWA Eligible Individuals (Chart a, Row 1)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
1.	Under 18	10	6			16
2.	18 to 30 years	53	55			108
3.	31 to 50 years	94	73	2		169
4.	51 years and Older	99	76			175
5.	<b>Subtotal (Sum of Rows 1-4)</b>	256	210	2		468
<b>All Other Beneficiaries (Chart a, Rows 2 and 3)</b>						
		<b>A.</b>	<b>B.</b>	<b>C.</b>	<b>D.</b>	<b>E.</b>
		<b>Male</b>	<b>Female</b>	<b>Transgender M to F</b>	<b>Transgender F to M</b>	<b>TOTAL (Sum of Columns A-D)</b>
6.	Under 18	64	66			130
7.	18 to 30 years	123	128			251
8.	31 to 50 years	212	175			387
9.	51 years and Older	119	112			231
10.	<b>Subtotal (Sum of Rows 6-9)</b>	518	481			999
<b>Total Beneficiaries (Chart a, Row 4)</b>						
11.	<b>TOTAL (Sum of Rows 5 &amp; 10)</b>	774	691			1465

**c. Race and Ethnicity\***

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	2			
2.	Asian				
3.	Black/African American	114	21	273	18
4.	Native Hawaiian/Other Pacific Islander				
5.	White	315	6	613	12
6.	American Indian/Alaskan Native & White				
7.	Asian & White			8	
8.	Black/African American & White	6	4	12	9
9.	American Indian/Alaskan Native & Black/African American				2
10.	Other Multi-Racial			46	6
11.	Column Totals (Sum of Rows 1-10)	437	31	952	47

**Data Check:** Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.

\*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

**Section 3. Households**

**Household Area Median Income**

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

**Data Check:** The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

**Note:** Refer to [http://www.huduser.org/portal/datasets/il/il2010/select\\_Geography\\_mfi.odn](http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn) for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	287
2.	31-50% of area median income (very low)	257
3.	51-80% of area median income (low)	455
4.	<b>Total (Sum of Rows 1-3)</b>	<b>999</b>

**Part 7: Summary Overview of Grant Activities**

**B. Facility-Based Housing Assistance**

Complete one Part 7B for each facility developed or supported through HOPWA funds.

**Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds.** If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

**1. Project Sponsor/Subrecipient Agency Name (Required)**

--

**2. Capital Development**

**2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)**

*Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."*

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/>	New construction	\$	\$	<b>Type of Facility [Check only one box.]</b> <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/>	Rehabilitation	\$	\$	
<input type="checkbox"/>	Acquisition	\$	\$	
<input type="checkbox"/>	Operating	\$	\$	
a.	Purchase/lease of property:			Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:			Date started: <span style="float: right;">Date Completed:</span>
c.	Operation dates:			Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:			Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:			HOPWA-funded units = <span style="float: right;">Total Units =</span>
f.	Is a waiting list maintained for the facility?			<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?			

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public
----	--	--

**2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)**

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired <u>with or without rehab</u>				
Rental units rehabbed				
Homeownership units constructed (if approved)				

**3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient**

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

**Note:** The number units may not equal the total number of households served.

**Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.**

**3a. Check one only**

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

**3b. Type of Facility**

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

**Name of Project Sponsor/Agency Operating the Facility/Leased Units:**

Type of housing facility operated by the project sponsor/subrecipient	Total Number of <u>Units</u> in use during the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility <u>Specify:</u>						

**4. Households and Housing Expenditures**

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a. Leasing Costs		
b. Operating Costs		

c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) <b>Specify:</b>		
e.	<b>Adjustment to eliminate duplication (subtract)</b>		
f.	<b>TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)</b>		

# **Appendix E**

FY 2012-13

State Table 3B: State Annual Housing Completion Goals

Prepared By:

Tennessee Department of Community and Economic  
Development, Tennessee Housing Development Agency  
and Tennessee Department of Health

**Optional Table 3B**  
**STATE ANNUAL HOUSING COMPLETION GOALS**

Grantee Name: State of Tennessee Program Year: FY 2012-13	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed*	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
<b>ANNUAL AFFORDABLE RENTAL HOUSING GOALS (SEC. 215)</b>						
Acquisition of existing units	2	0	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Production of new units	6	88	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	5	3	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	0	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Rental</b>	<b>13</b>	<b>91</b>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>ANNUAL AFFORDABLE OWNER HOUSING GOALS (SEC. 215)</b>						
Acquisition of existing units	-	14	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Production of new units	6	25	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Rehabilitation of existing units	180-190	215	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
Homebuyer Assistance	10	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Owner</b>	<b>196-206</b>	<b>254</b>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>ANNUAL AFFORDABLE HOUSING GOALS (SEC. 215)</b>						
Acquisition of existing units	2	14	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Production of new units	12	113	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	185-195	218	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Homebuyer Assistance	10	0	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Housing</b>	<b>209-219</b>	<b>345</b>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>ANNUAL AFFORDABLE HOUSING GOALS (SEC.215)</b>						
Homeless households	2,061	5,293	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
...Non-homeless households	709	2,665	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
...Special needs households	1,021	1,467	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>ANNUAL HOUSING GOALS</b>						
Annual Rental Housing Goal	13	91	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	196-206	254	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total Annual Housing Goal</b>	<b>209-219</b>	<b>345</b>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\* Numbers represent actual units completed during FY 2012-13 with funds from multiple grant years with regards to HOME and CDBG programs. HOPWA and ESG numbers represent those served during FY 2012-13 with FY 2012-13 funds and the numbers represent total number of beneficiaries and not households.

## **Optional Table 3B**

### **STATE ANNUAL HOUSING COMPLETION GOALS**

In Fiscal Year 2011-12, the Consolidated Partners incorporated State Table 3B: State Annual Housing Completion Goals (State Table 3B) and State Table 3C: Annual Action Plan Planned Project Results (State Table 3C) into Consolidated Planning submissions. In May 2012, the Consolidated Partners submitted State Table 3B and State Table 3C with the FY 2012-13 Annual Action Plan. The column titled "Actual Annual Number of Units Completed" represents the actual units or persons served during FY 2012-13. With regards to the HOME and CDBG programs, the units completed during the reporting period were funded during various grant years. The following sections outline how the State accomplished specific one-year affordable housing goals, through the four formula programs. The four priority areas of the State of Tennessee, which represent long-term goals, and the corresponding action steps, which represent short-term or one year goals, as outlined in the five-year Consolidated Plan are as follows:

#### **Housing**

1. Preserve the affordable housing stock, increase the amount of affordable housing and increase home ownership opportunities.

##### **Action Steps:**

- 1) Preserve the affordable housing stock through housing rehabilitation targeted toward very low, low and moderate income populations in the state.
- 2) Encourage the production of multifamily housing to serve low income individuals in the state.
- 3) Target funds towards housing for elderly residents in the state with an emphasis on handicapped accessibility.
- 4) Encourage the preservation of 2-3 bedroom affordable housing units for low income families in the state.
- 5) Increase/maintain the number of housing facilities in the state for homeless individuals.
- 6) Increase the ownership rates, especially among lower income and minority households.

#### **Non-Housing Community Development Needs**

2. Provide for the viability of communities through ensuring infrastructure, community livability, health and safety, and economic development.

##### **Action Steps:**

- 7) Provide for the safety and well-being of low and moderate income families in the state by improving the quality and quantity of water in areas, which do not have safe, reliable water sources.
- 8) Provide safe, reliable wastewater services to low and moderate income families in underserved areas of the state.
- 9) Provide economic development opportunities through financing of infrastructure development, manufacturing facilities and equipment that support job creation for low and moderate income people.
- 10) General enhancement of quality of life of low and moderate income neighborhoods throughout the state.

## **Optional Table 3B**

### **STATE ANNUAL HOUSING COMPLETION GOALS**

3. Provide for the housing and supportive services needs of homeless individuals and other special needs populations.

Action Steps:

- 11) Support the acquisition and rehabilitation of facilities to house homeless persons or those at risk of homelessness.
  - 12) Provide funds to assist persons at risk of homelessness.
  - 13) Increase the amount of services provided to mentally ill homeless.
  - 14) Encourage programs to support children in homeless facilities to receive preventative and emergency medical care, as well as other development or cognitive services.
  - 15) Provide supportive services and housing-related services for persons who are HIV positive or have AIDS.
4. Affirmatively further fair housing and ensure access to business opportunities in the state for women and minority-owned businesses.

Action Steps:

- 16) Conduct an Analysis of Impediments to Fair Housing Choice in the state.
- 17) Convene fair housing and Title VI workshops in the state for local governments, grantees, housing providers, advocates and consumers.
- 18) Provide fair housing information throughout the state, informing citizens of their housing rights.
- 19) Encourage reporting of fair housing violations by making citizens aware of their rights and providing information on access to fair housing advocates and organizations in the state.

A discussion of the State's progress in the longer-term four priority areas is discussed in pages 51-53 of the FY 2012-13 CAPER. The following information is an explanation of the State's progress and accomplishments with regards to the action steps associated with each priority area.

A. Community Development Block Grant (CDBG) Small Cities Program

During the reporting period, CDBG funds assisted with 33 affordable housing activities. The CDBG program acquired six existing units, created nine new units and rehabilitated 18 existing units. Rehabilitation activities delivered through the CDBG Program specifically address Action Steps 1, 4, and 10.

## **Optional Table 3B**

### **STATE ANNUAL HOUSING COMPLETION GOALS**

#### HOME Investment Partnership (HOME)

The HOME Program works to provide affordable housing through homeowner rehabilitation, rental rehabilitation, homeownership and new construction. In FY 2012-13, the HOME Program contributed to the completion of 312 units of affordable housing, utilizing funds from various program years. Out of the 312 units assisted during the reporting period, 200 units, or 65 percent included the rehabilitation of existing units. HOME funds additionally created 104 new units and acquired 8 existing units. All beneficiaries of the HOME program are low and moderate income. The activities provided by the HOME Program specifically address Action Steps, 1, 2, 3, 4, 6 and 10.

#### C. Emergency Shelter Grant/Emergency Solutions Grant (ESG)

ESG works to provide affordable housing through its Homeless Assistance and Homeless Prevention activities. During the reporting period, the ESG Program assisted 7,958 Tennesseans and provided 788 bed spaces. Activities and services delivered through the ESG Program, specifically address Action Steps 5, 11, 12, 13 and 14.

#### D. Housing Opportunities for Persons with AIDS (HOPWA)

During the reporting period, the HOPWA program assisted 468 individuals with HIV/AIDS and 999 family members. The HOPWA Program provides for affordable housing through its Housing Information Services Program, Short-term Rent, Mortgage and Utility Payment Program and its Permanent Housing Placement Program. The programs and services offered through the HOPWA Program specifically address Action Steps 11, 12, 14 and 15.